

# Towards Process-level Evaluation of Aerosol Effects on Ice Clouds in Global Aerosol-Climate Models

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# Typical pure ice phase clouds (cirrus)

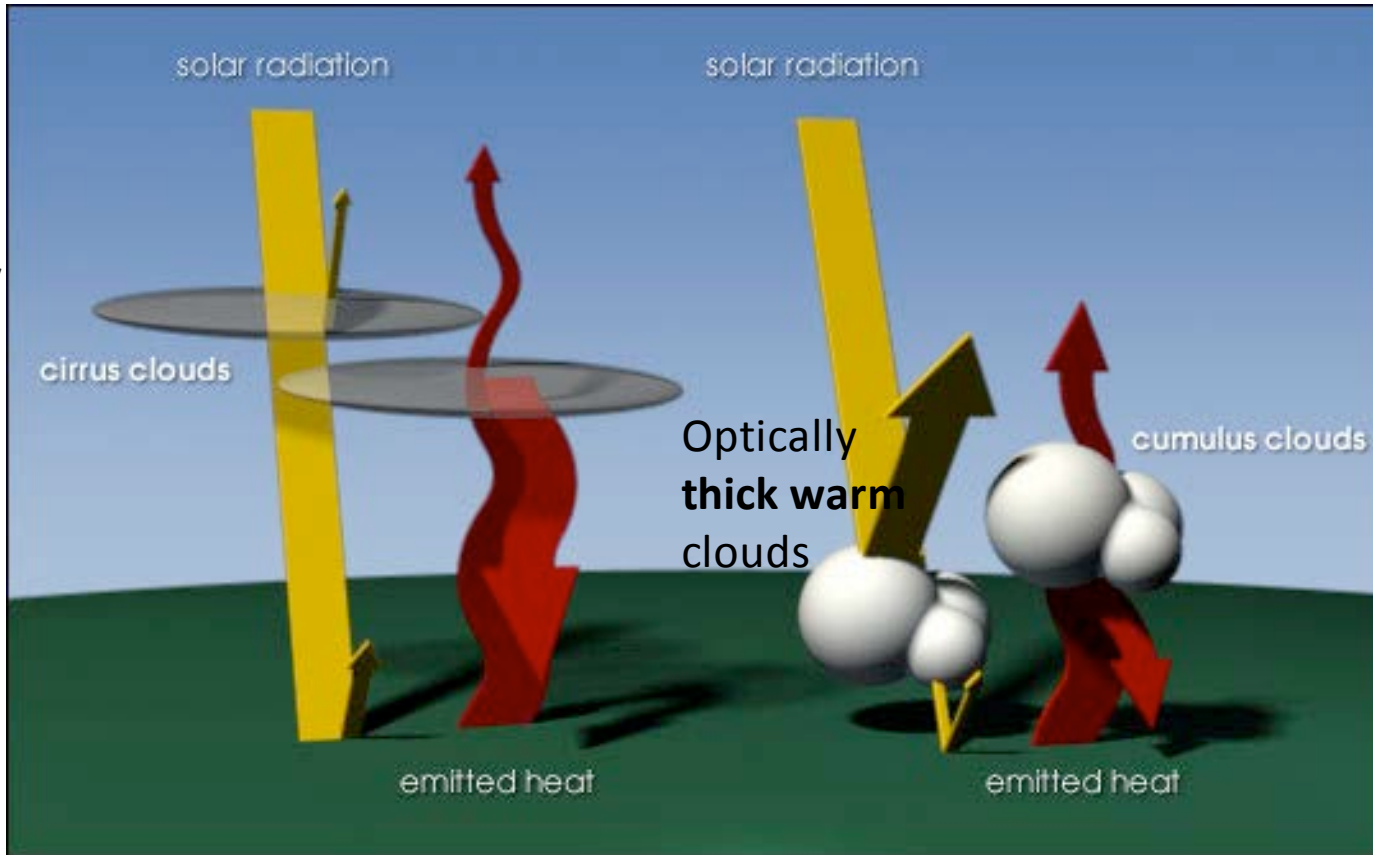
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# Why do we care about ice clouds?

Source: <https://earthobservatory.nasa.gov/Features/DelicateBalance>

Optically  
**thin ice**  
clouds



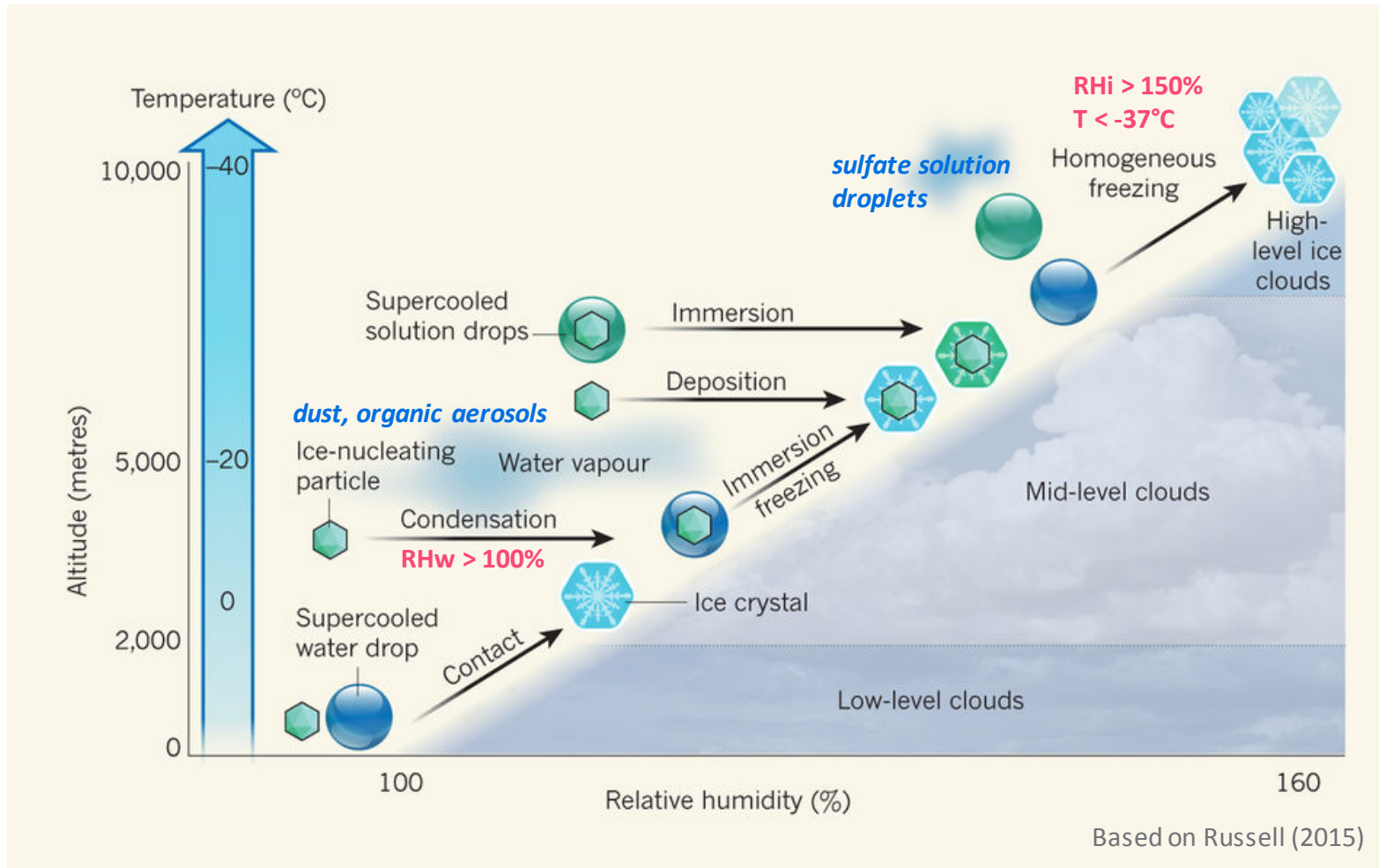
Optically  
**thick liquid**  
and ice  
clouds

Absorb **longwave** radiation  
**heat** the atmosphere

Reflect **shortwave** radiation  
**cool** the atmosphere



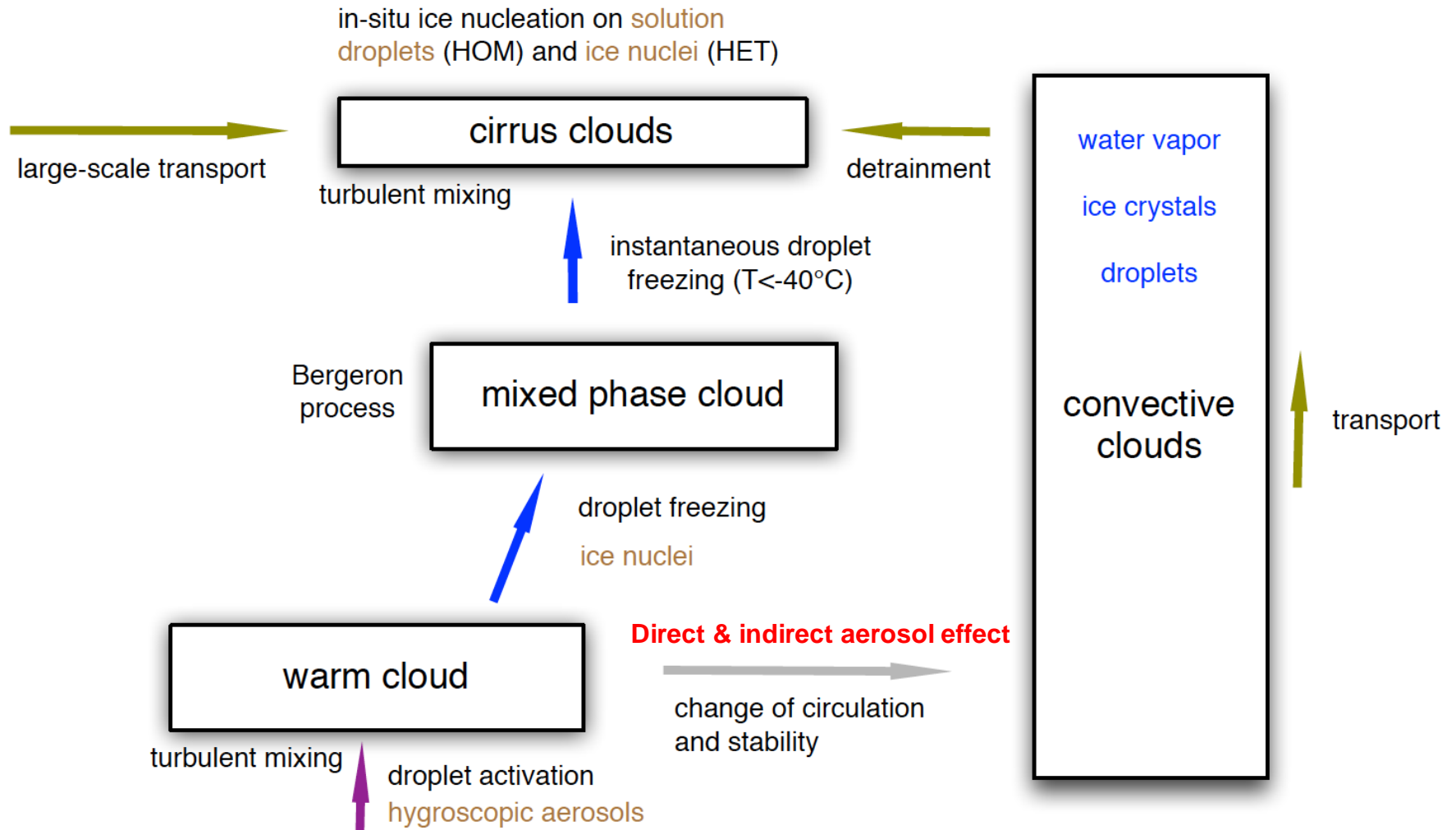
# Ice formation mechanisms



# Which dynamical processes are important for ice cloud formation?

- ▶ Large scale **advection** of moist air and ice nucleating aerosols to cold places
  - moisture source for high relative humidity
- ▶ Strong **convection**
  - Moisture / aerosol transport; forms and detrains ice crystals at upper levels
- ▶ Strong **turbulence**
  - sustains the supersaturation in ice clouds

# Effect of aerosols on ice clouds



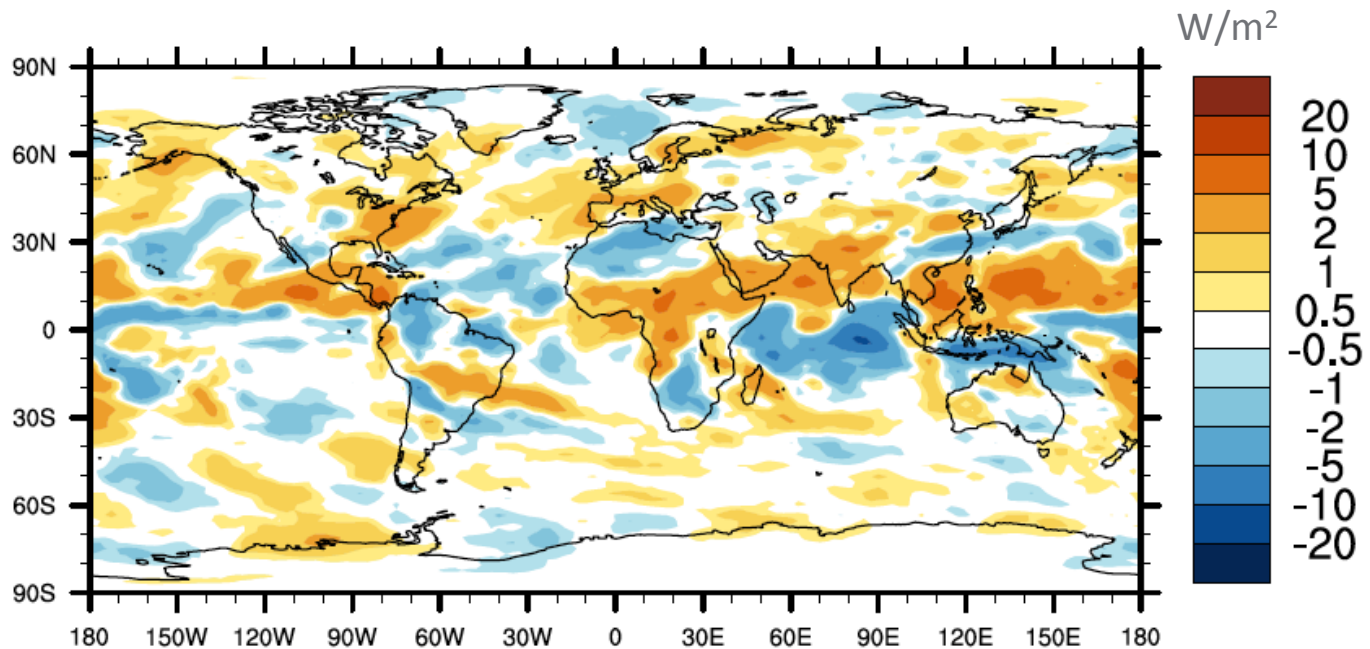
# Difficulty in identifying the aerosol effect

- ▶ Cloud forcing is often **1-2 orders of magnitude larger** than aerosol forcing
  - A small buffering effect by cloud and convection processes can override the aerosol effect
  - Due to the complicated microphysical and dynamical processes that affecting ice cloud formation, it's more difficult to quantify the aerosol effect on ice clouds.
- ▶ Noises caused by the chaotic behavior of the atmosphere can **hinder signal detection** (between the simulations with pre-industrial and present-day emissions)
- ▶ **Very long simulations** are needed to get statistically robust results
  - A big effort for developing high resolution models

# Signal vs. noise

Longwave Cloud Forcing, PD – PI Difference (5-yr mean)

Free-running



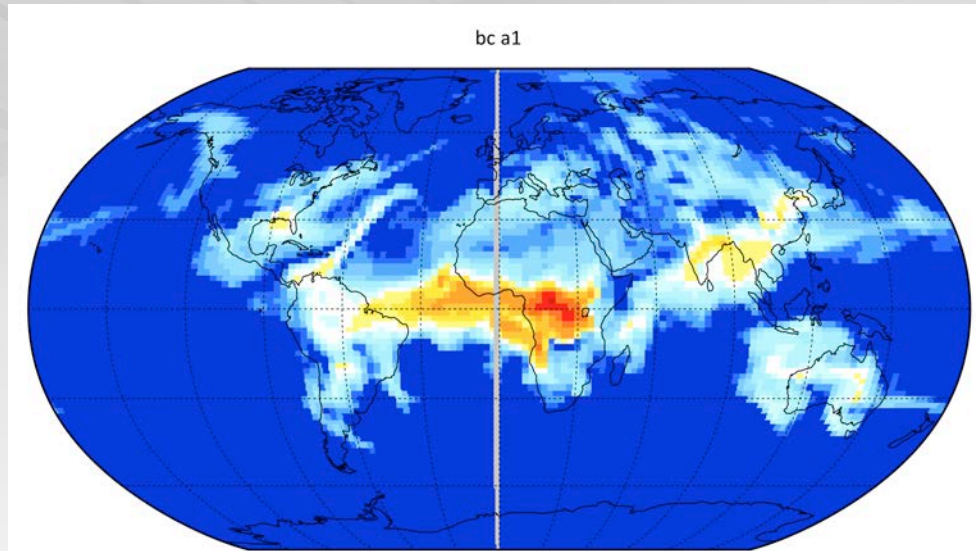


# Solution

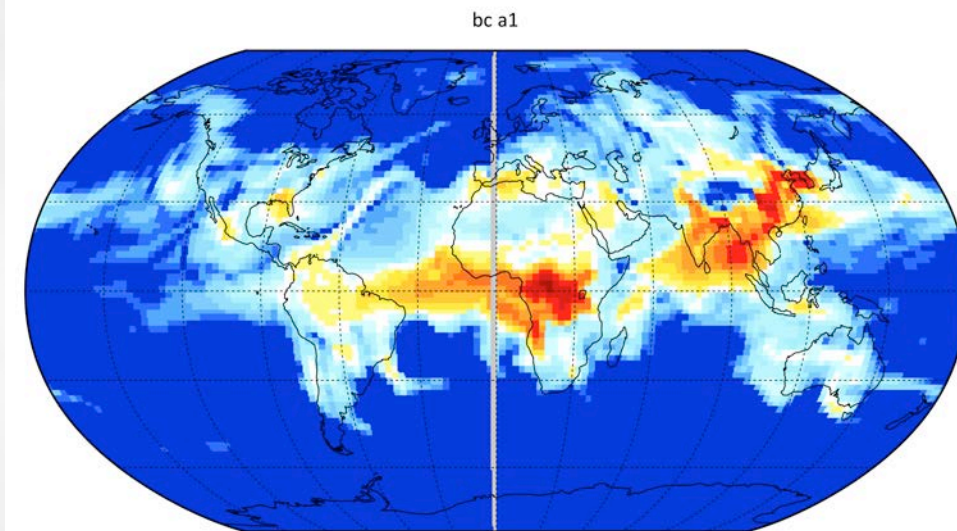
- ▶ Nudged simulations (Kooperman et al, 2012; Zhang et al. 2014)
  - Simple assimilation technique, easy to implement
  - Only dynamical variables are nudged, other fields (clouds) can still freely evolve.
  - Only provide a single estimate if not combined with ensembles
  - Caution needed when selecting nudged variables
- ▶ Short hindcast ensemble simulations (based on Wan et al., 2014 and Phillips et al., 2004)
  - Fast; can be done in parallel
  - Nudged or initialized ensembles
  - Can provide an estimate on when and where the aerosol effect (or any other perturbation) is significant

# PD and PI daily mean aerosol concentrations

PI



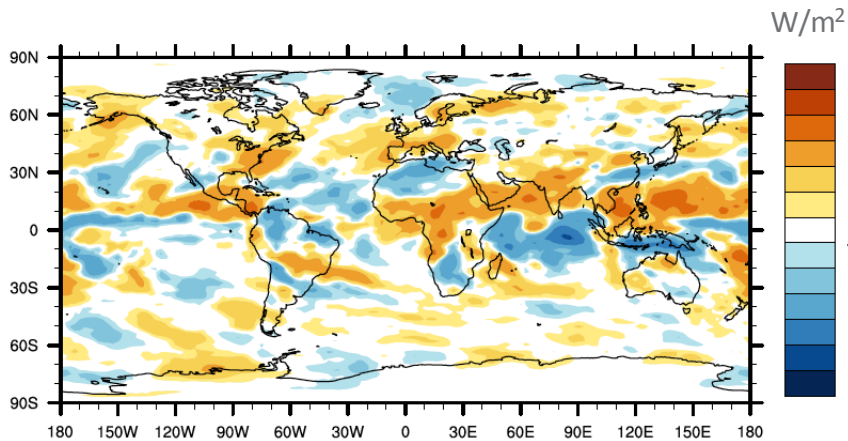
PD



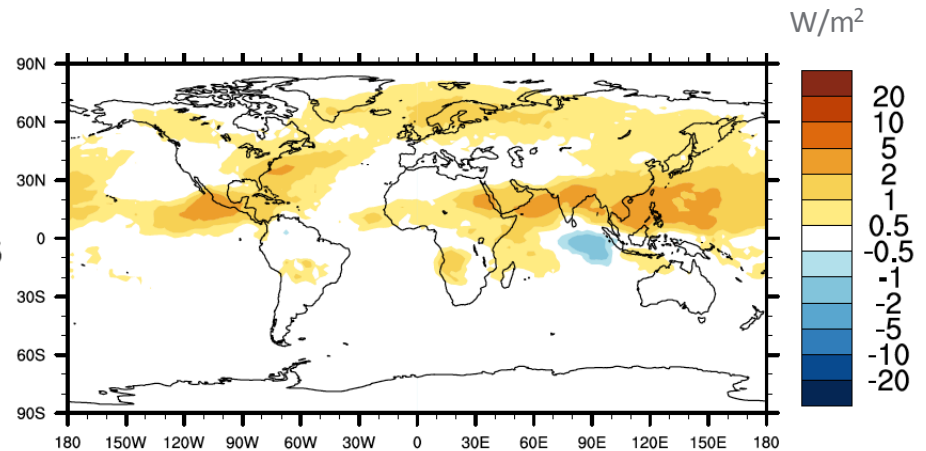
# Impact of Nudging

## Longwave Cloud Forcing, PD – PI Difference (5-yr mean)

**Free-running**



**Nudged**

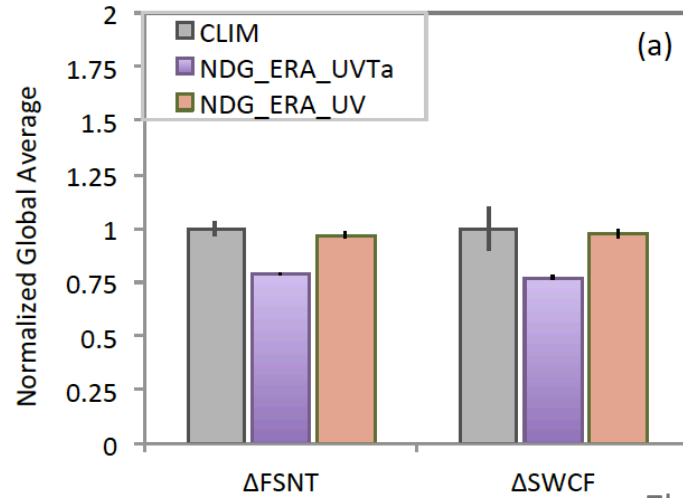
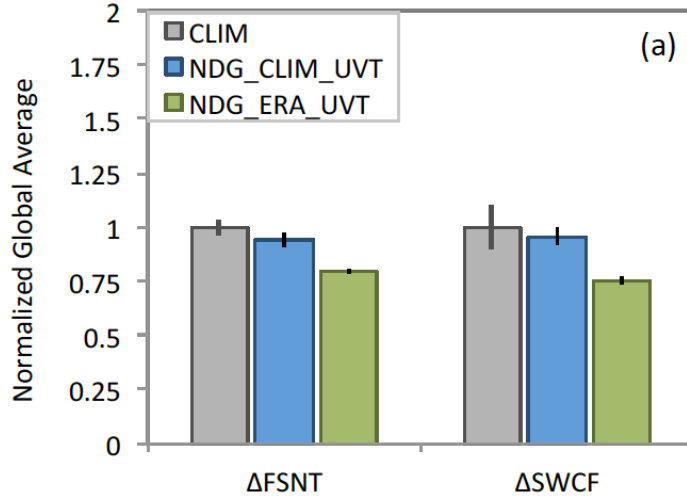


# Identify the best nudging strategy

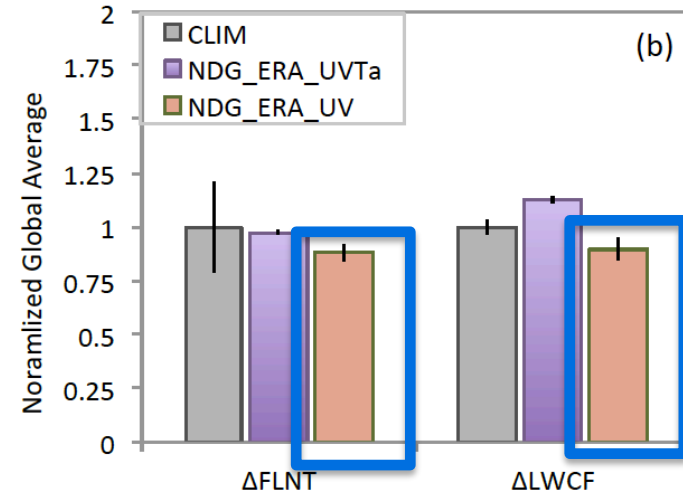
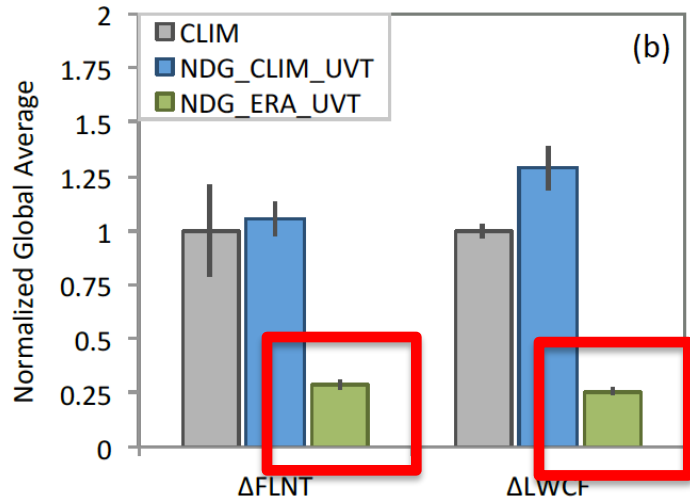
## Impact of UVT Nudging

## Impact of UV Nudging

SW



LW



Zhang et al. (2014)

Convective precipitation reduced by 30%.

# AeroCom inter-comparison of aerosol indirect effect through cirrus clouds

- ▶ Global climate models have started to include the treatment of aerosol effect on ice nucleation
- ▶ Assess the impact of aerosols on cirrus clouds more systematically
- ▶ Improve the model and reduce the uncertainty in the AIE estimation

## CTRL

- Reference model. Both homogeneous and heterogeneous ice nucleation in cirrus clouds are considered. Direct aerosol effect and aerosol indirect effect through warm clouds are also included.

## FIX as CTRL, but

- For  $T < -37\text{ C}^\circ$ , using a constant ice number
- Aerosol effect on ice nucleation in cirrus clouds is not considered.

## HOM as CTRL, but

- Only homogeneous nucleation is considered for cirrus cloud condition.



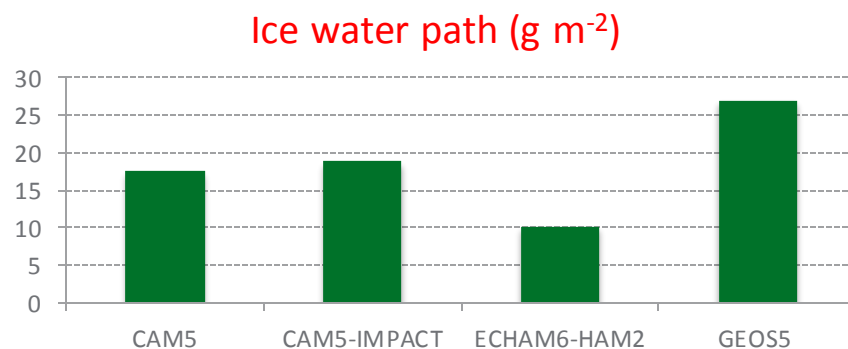
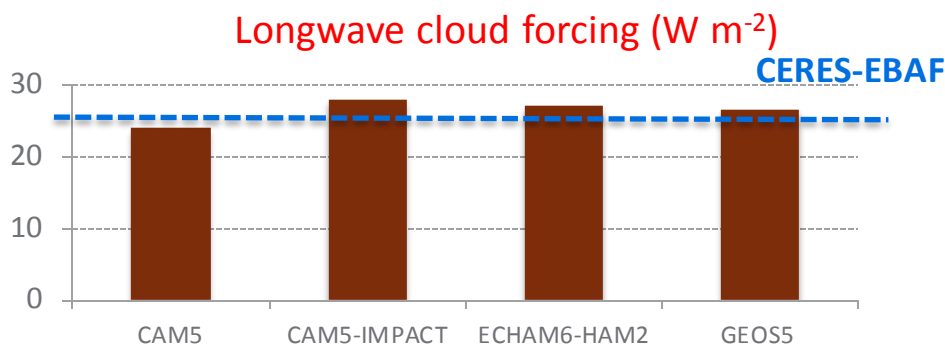
# Participating models and groups

- ▶ CAM5 ( $2^\circ \times 2.5^\circ$ )
  - ▶ CAM5-IMPACT ( $2^\circ \times 2.5^\circ$ )
  - ▶ ECHAM6-HAM2 ( $1.9^\circ \times 1.9^\circ$ )
  - ▶ GEOS5 ( $2^\circ \times 2^\circ$ )
- 
- ▶ Prescribed SST
  - ▶ Nudged towards re-analysis data (wind)
  - ▶ Present-day and pre-industrial emissions

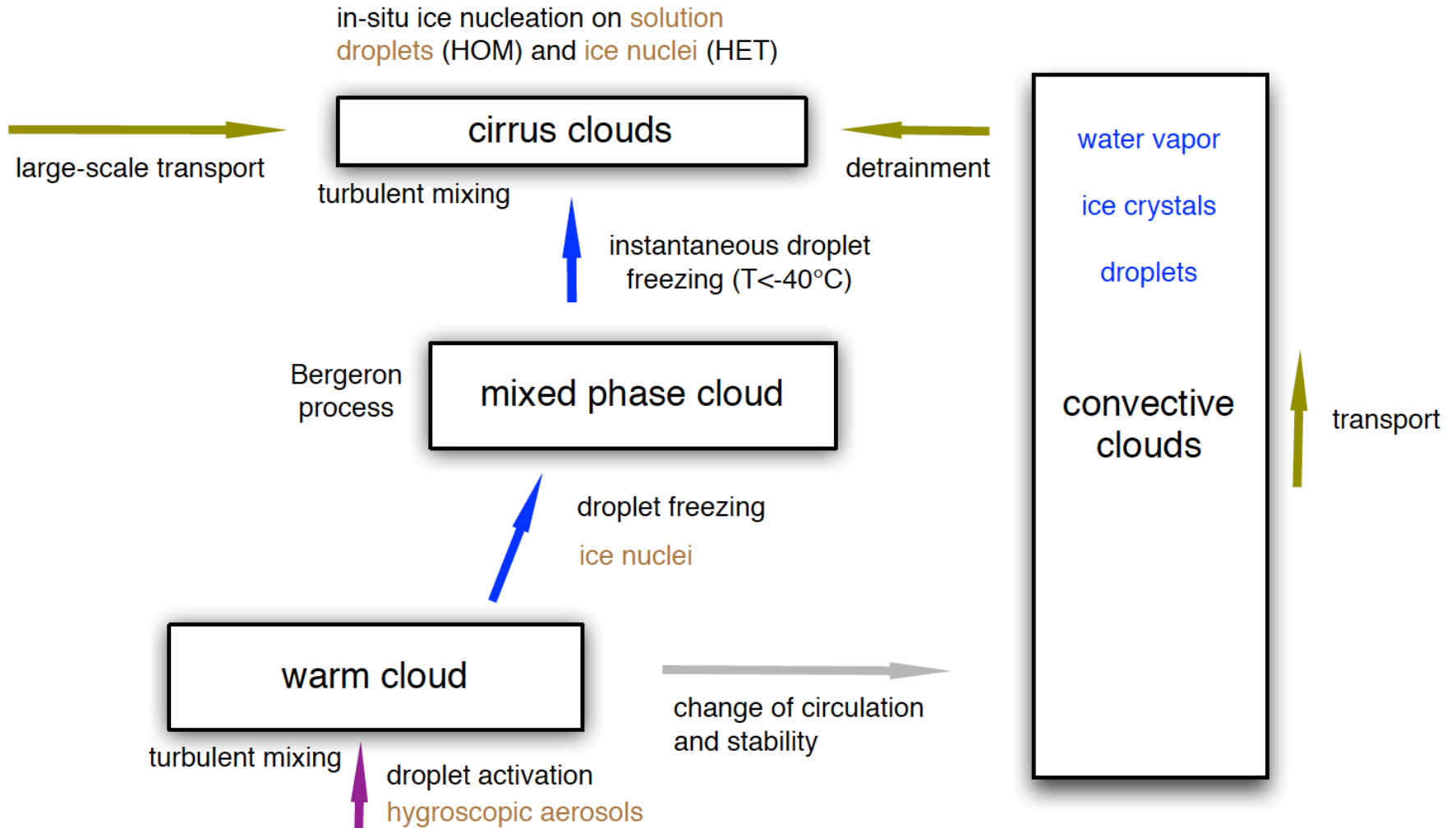


# What did we learn?

- ▶ Models have similar longwave cloud forcing, but very different ice water path and ice crystal sizes – indicates compensating errors exist
- ▶ With nudging, the simulated daily global pattern of ice cloud distribution (ice water path) in all four models are similar.
- ▶ The global annual mean anthropogenic aerosol effect through cirrus clouds is estimated to be 0.5-0.6  $\text{W m}^{-2}$  for the longwave, and 0.1-0.3  $\text{W m}^{-2}$  for the net effect (SW+LW). The contributions from changes of individual cloud types are very different.



# Experiment CTRL

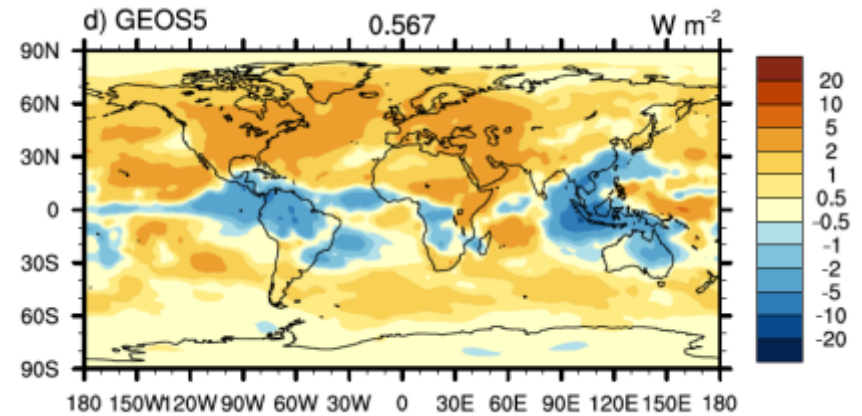
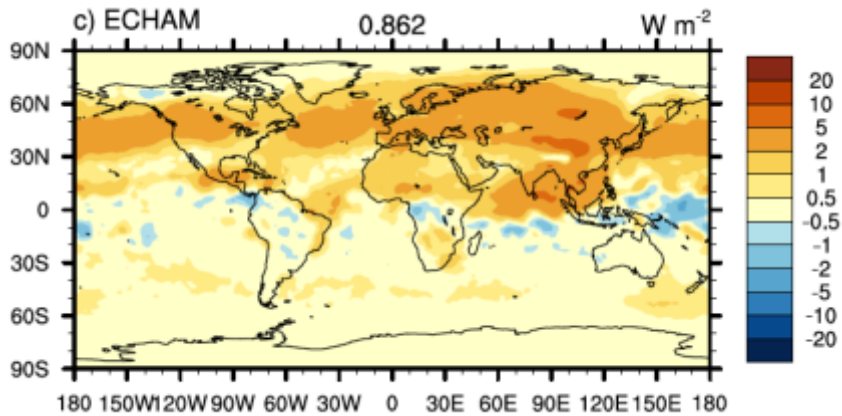
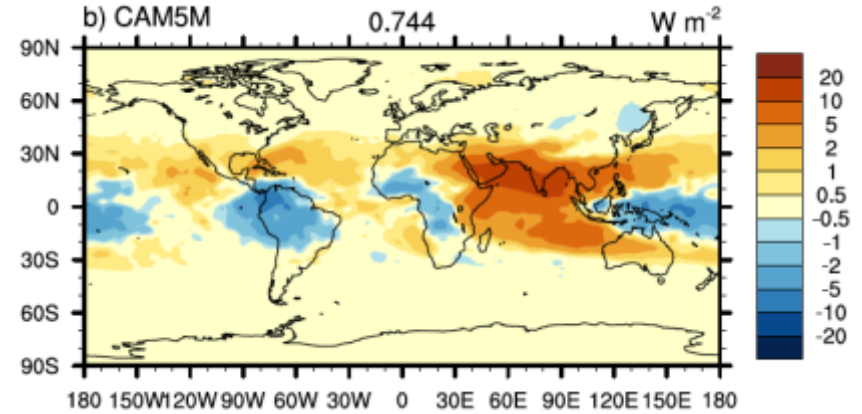
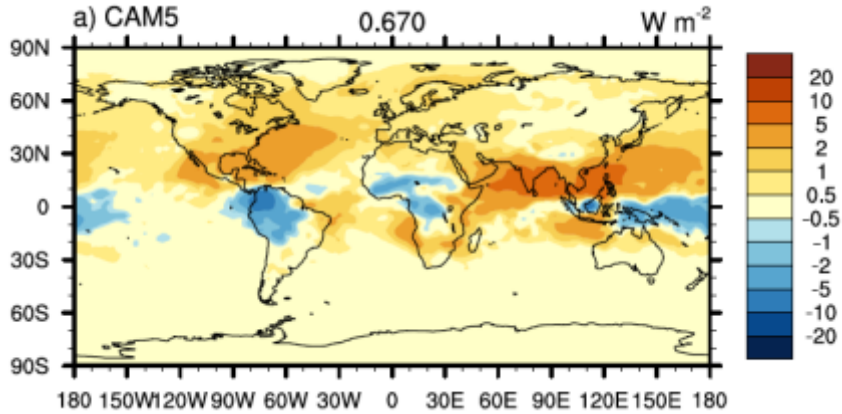


# TOA LW Flux Change (PD-PI) total ant. aerosol effect

$\Delta$ FLNT

CAM5

CAM5-IMPACT



ECHAM6-HAM2

GEOS5

Liu et al. (in preparation)

## New endeavors

- ▶ For existing AeroCom model simulations, use high-frequency (3h) data to conditionally sample data for certain cloud types
- ▶ New model simulations using short hindcast ensembles



# Isolate the impact on ice / liquid / overlapped (including mixed-phase) clouds

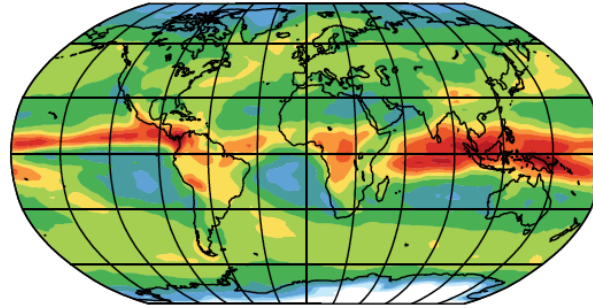
- ▶ Conditional sampling of high frequency data (e.g. 3h)
  - Pure ice clouds ( $IWP > \epsilon$ ,  $LWP < \epsilon$ )
  - Pure warm clouds ( $IWP < \epsilon$ ,  $LWP > \epsilon$ )
  - Co-existing ice and warm clouds in column ( $IWP > \epsilon$ ,  $LWP > \epsilon$ )
  - Vertical profiles of IWC and LWC are needed for diagnosing mixed-phase cloud forcing
  
- ▶ Compare PD and PI results

# Conditional sampling (cloud phase) - CAM5

Present-day

Total

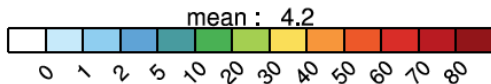
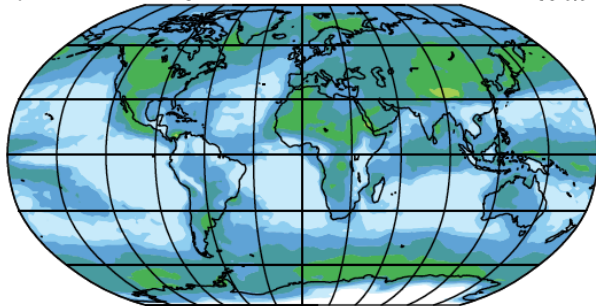
a) LWCF Total  $W m^{-2}$



Zhang et al. (in preparation)

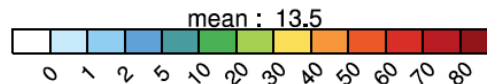
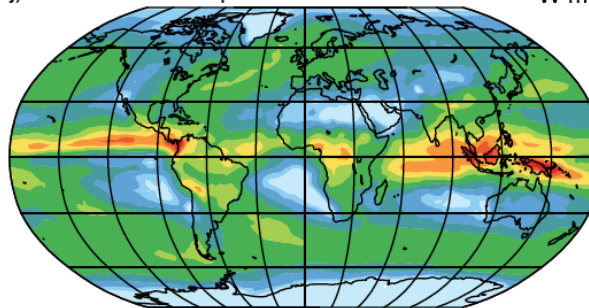
Pure Ice

k) LWCF Ice Only  $W m^{-2}$



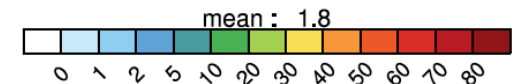
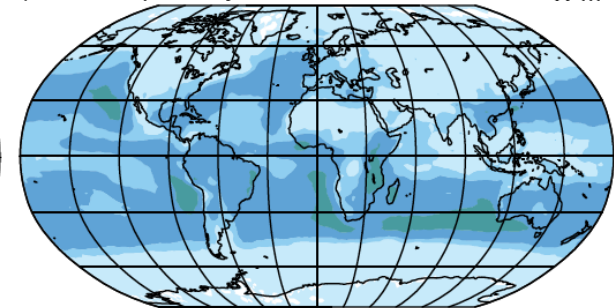
Liquid and Ice (>threshold)

j) LWCF Ice and Liquid  $W m^{-2}$



Pure Liquid

l) LWCF Liquid Only  $W m^{-2}$

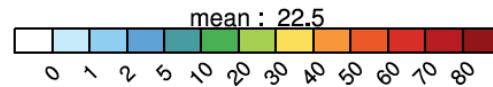
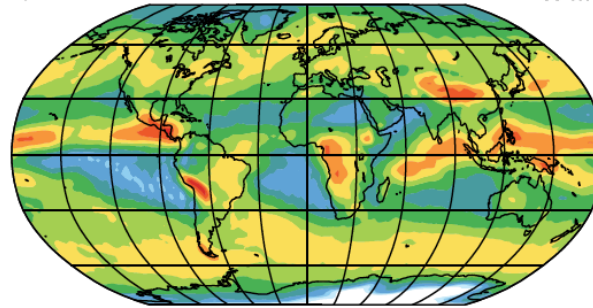


# Conditional sampling (cloud phase) – ECHAM6-HAM

Present-day

Total

a) LWCF Total  $W m^{-2}$



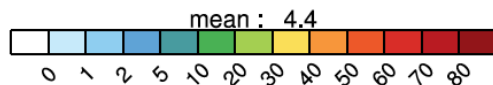
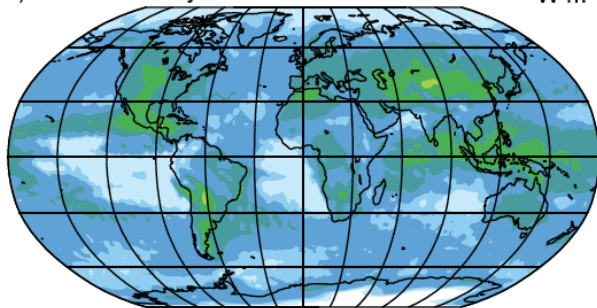
Zhang et al. (in preparation)

Pure Ice

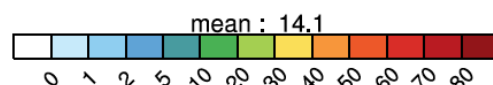
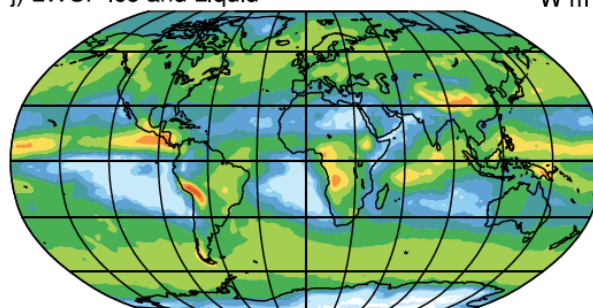
Liquid and Ice (>threshold)

Pure Liquid

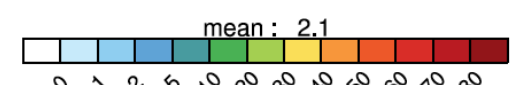
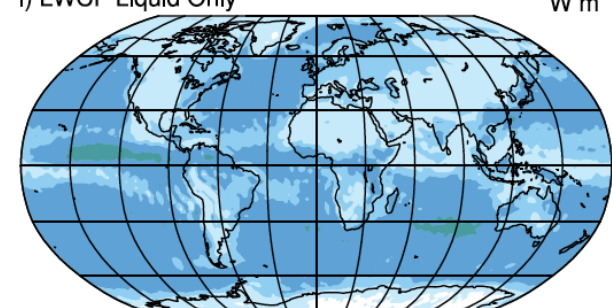
k) LWCF Ice Only  $W m^{-2}$



j) LWCF Ice and Liquid  $W m^{-2}$



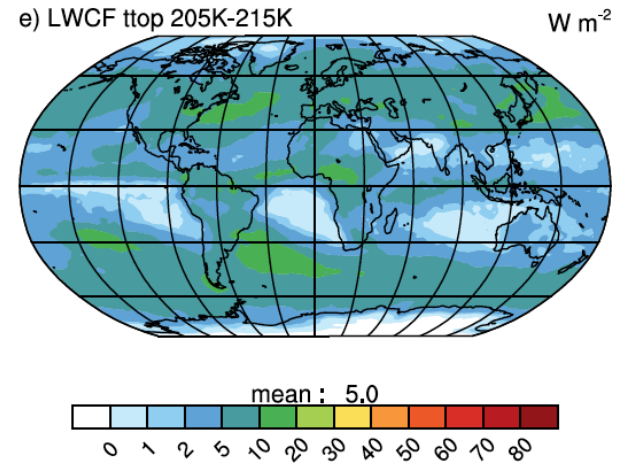
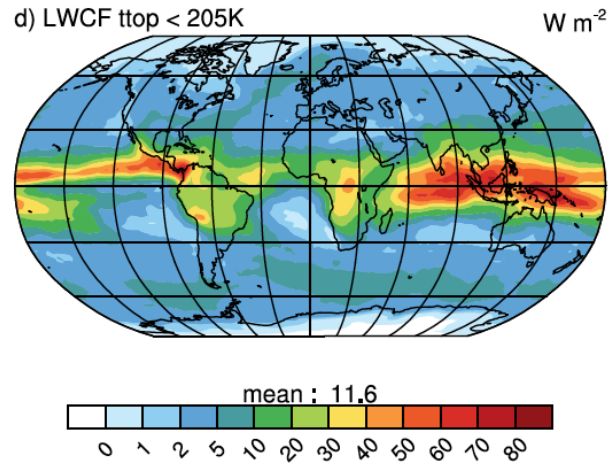
l) LWCF Liquid Only  $W m^{-2}$



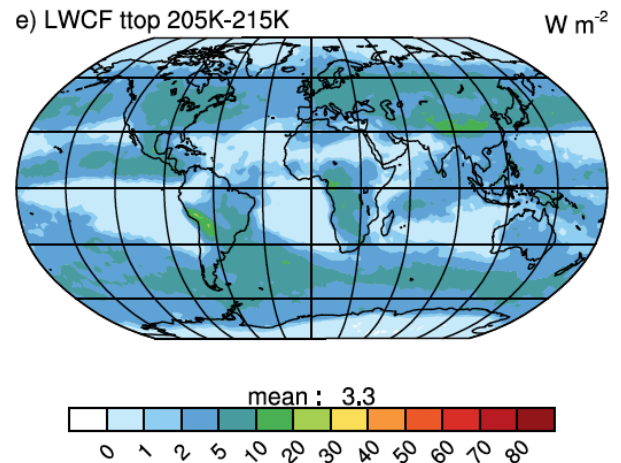
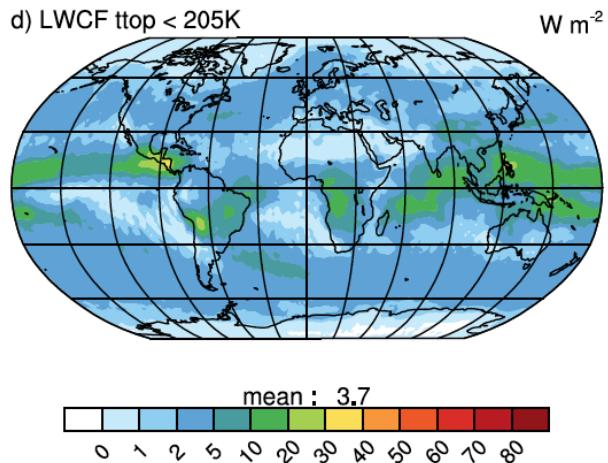
# Conditional sampling (Cloud top temperature)

Present-day

CAM5



ECHAM6-HAM2

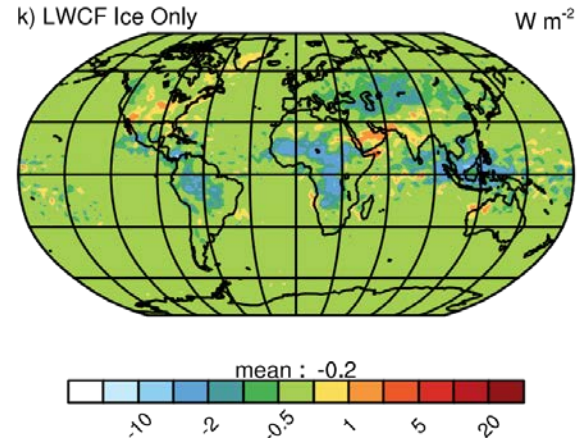
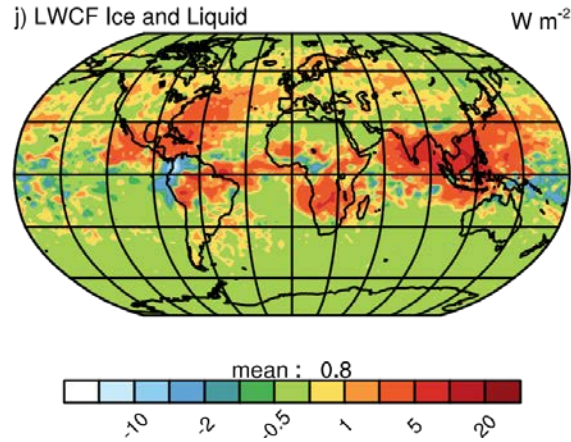


Zhang et al. (in preparation)



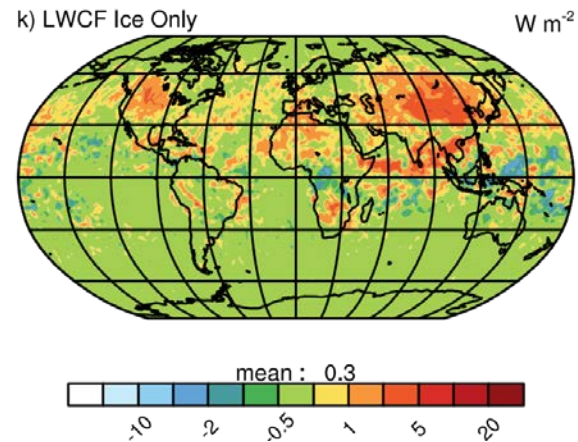
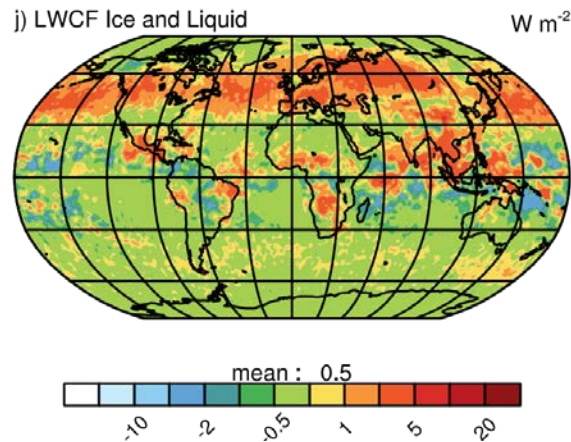
# Conditionally sampled LWCF (PD – PI)

CAM5



Weakened  
upward  
motion

ECHAM6-HAM2

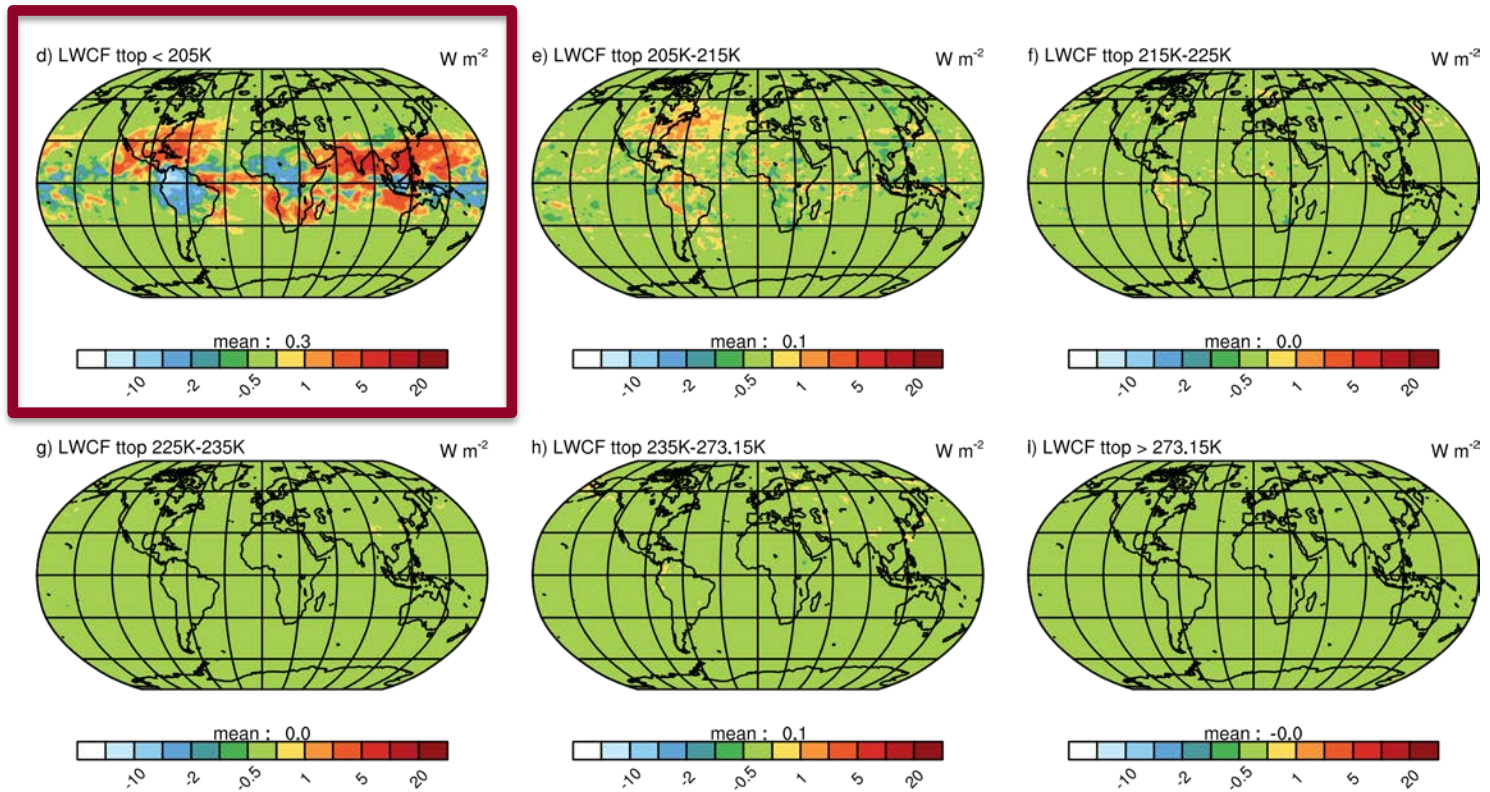


Zhang et al. (in preparation)



# PD – PI Conditionally sampled for each TTOP range

## CAM5

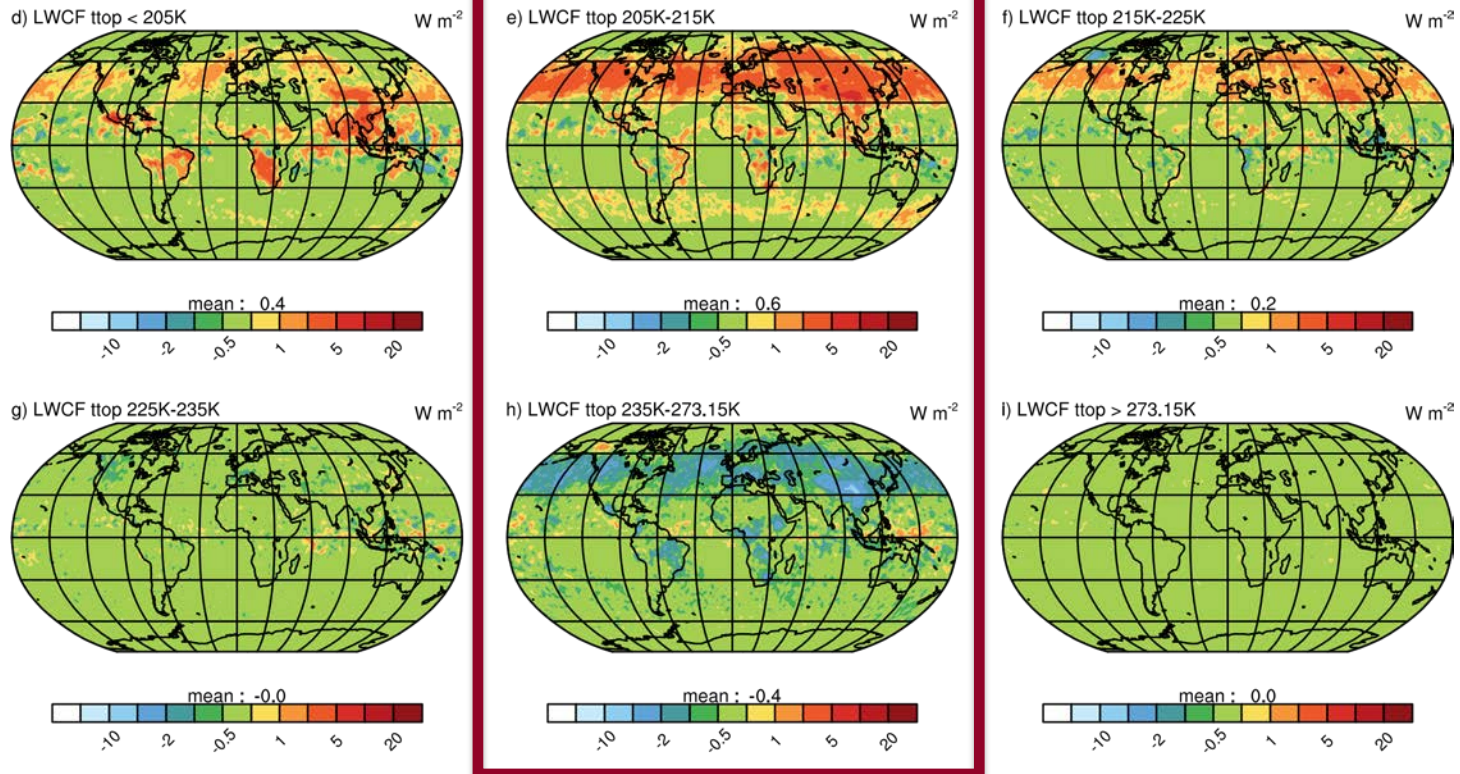


Most changes in CAM5 are associated with deep convective clouds.

Zhang et al. (in preparation)

# PD – PI Conditionally sampled for each TTOP range

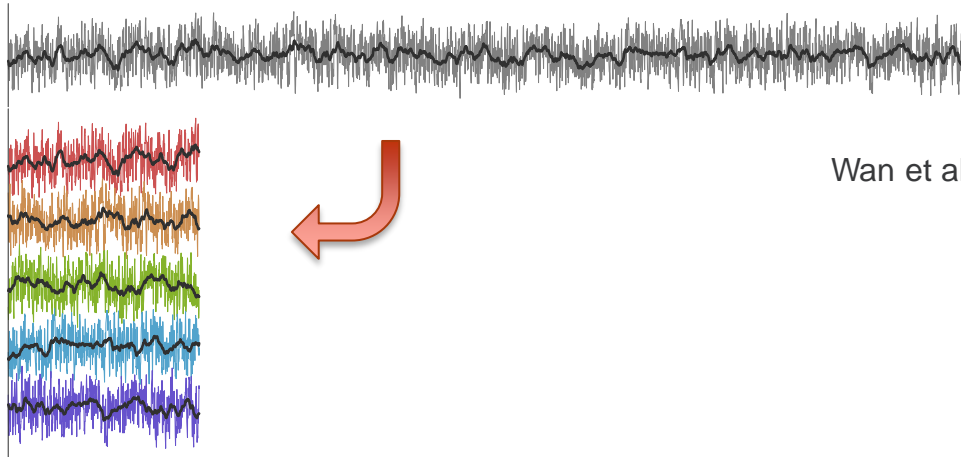
## ECHAM6-HAM2



Changes in heterogeneous ice nucleation and its competition with homogenous ice nucleation in ECHAM6-HAM2 leads to differences in different cloud types.

Zhang et al. (in preparation)

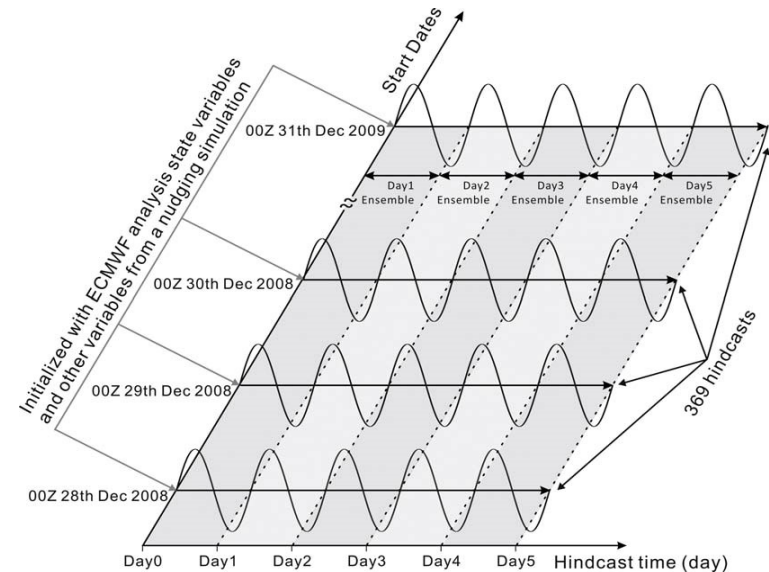
# Short nudged or initialized ensemble hindcast simulations



Wan et al. (2014)

## Methods to create ensembles

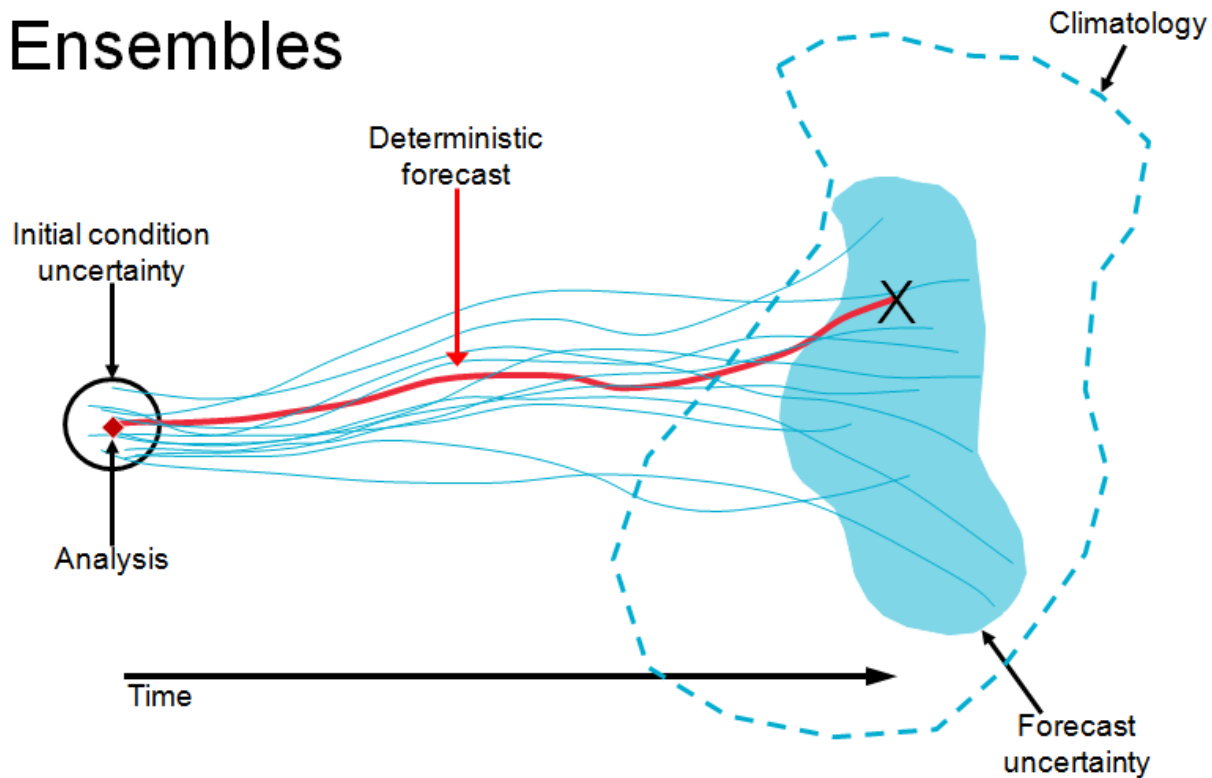
1. Perturbing nudging coefficients in nudged simulations - **nudged hindcast ensembles**
2. Perturbing initial conditions in transpose-AMIP type (free-running) simulations - **initialized hindcast ensembles**



Ma et al. (2015)

# Ensemble forecast in weather models

## Ensembles



Source: MetOffice







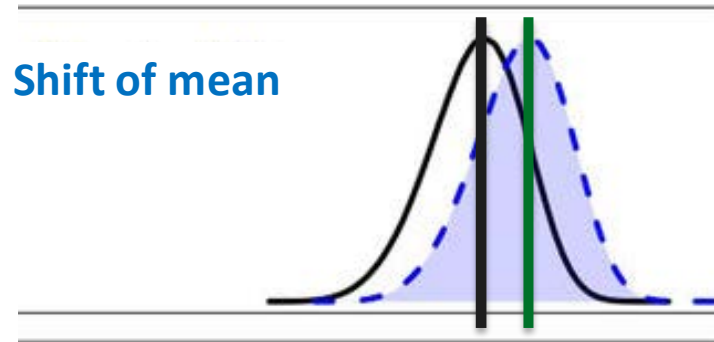
# Compare both mean and distribution (e.g. PD vs. PI)

Single estimate

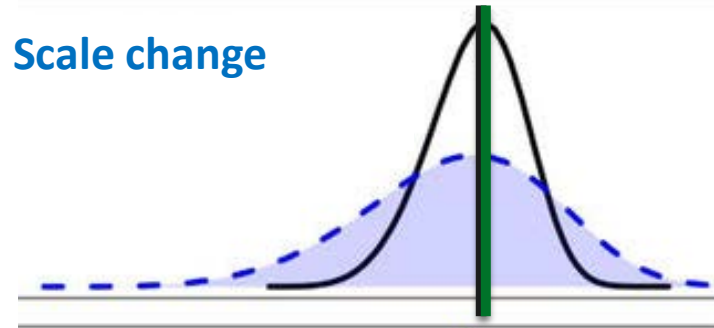


Ensemble estimate

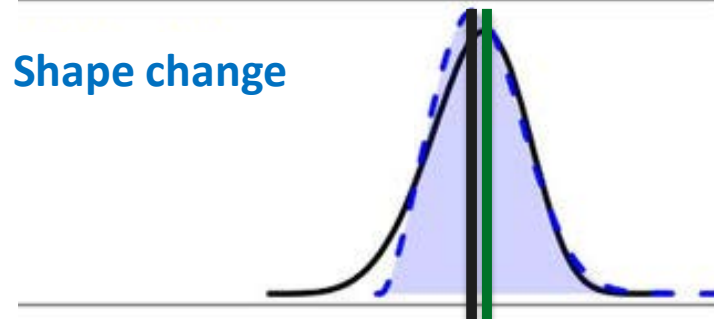
Shift of mean



Scale change

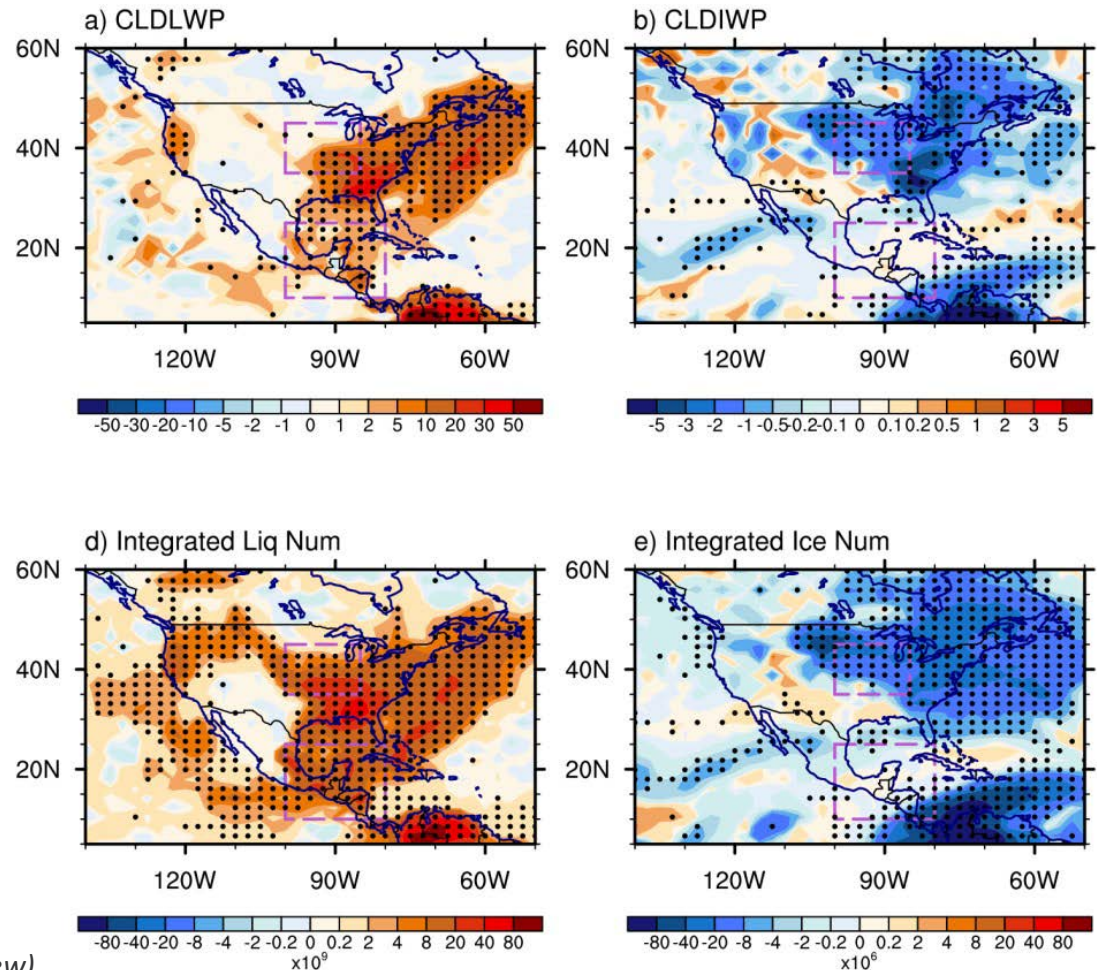


Shape change



# Impact of fire aerosols on liquid and ice clouds

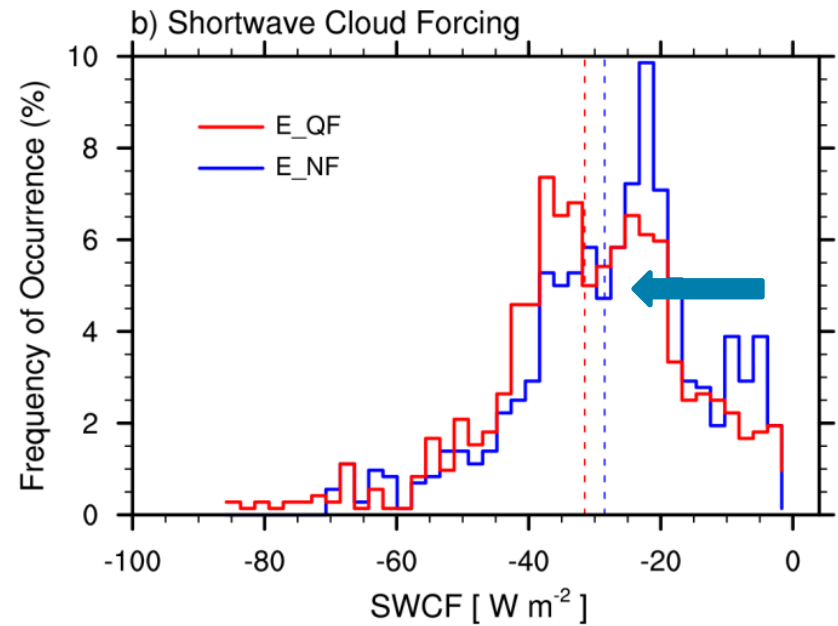
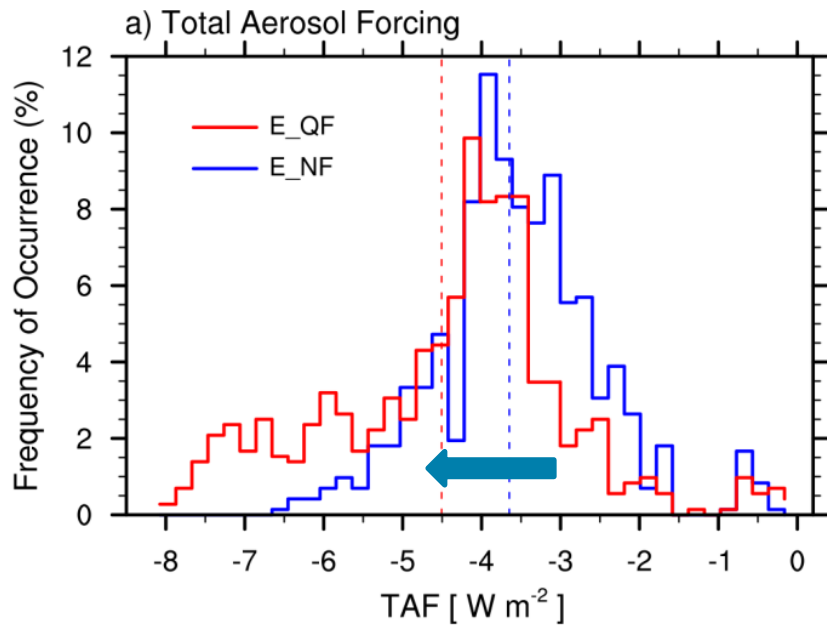
Difference between two group of 10-day **nudged hindcast ensemble** simulations (with and w/o fire aerosol emissions).



*Liu et al. (2017, under review)*

# Changes in probability distribution (No fire vs. with fire)

## Southern Mexico



*Liu et al. (2017, under review)*

72 grid boxes, 10 ensemble members, 720 samples

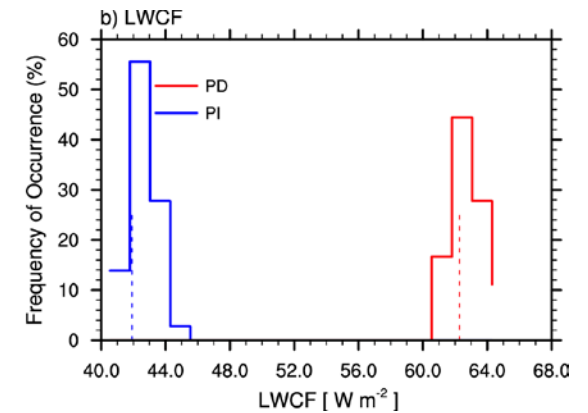
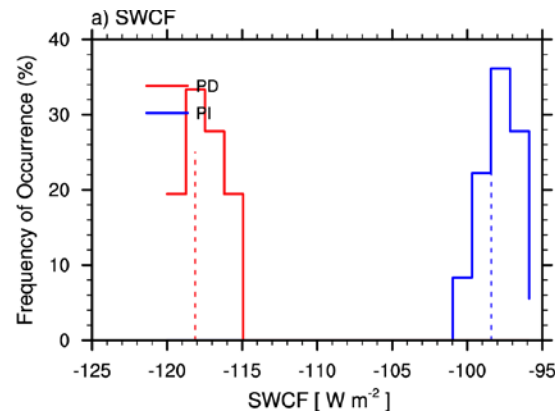
# Initialized ensemble hindcast (PD vs. PI)

12/31/2005

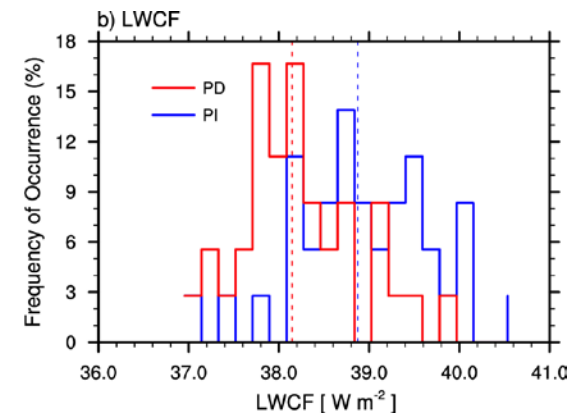
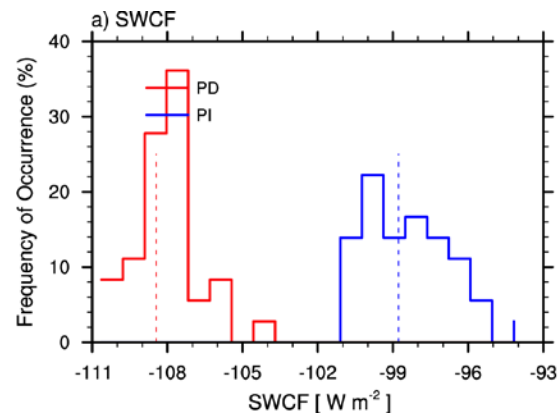


Day 2 ensemble hindcast

GMT 00:00 Dec 31, 2005



GMT 00:00 Jan 1, 2006



# Summary

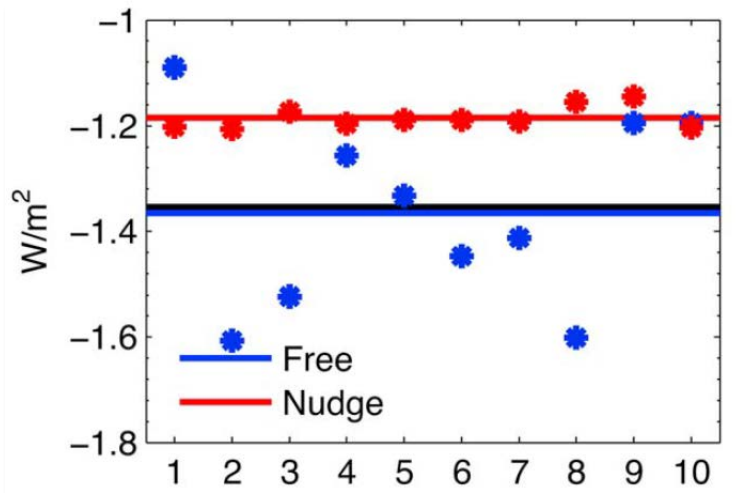
- ▶ With **nudging** and **short hindcast ensembles**, it is much easier to detect the aerosol-effect signal from the noise.
- ▶ **Conditional sampling** helps isolate the impact on ice, liquid, and overlapped clouds and look at aerosol effects on different types of clouds.
- ▶ Short hindcast ensembles can provide an **uncertainty estimate** and help us evaluate whether the aerosol effect is robust.
- ▶ Both the **microphysical** and **dynamical** effects of aerosols are important for the impact on ice clouds.



# Assessing the anthropogenic aerosol effect

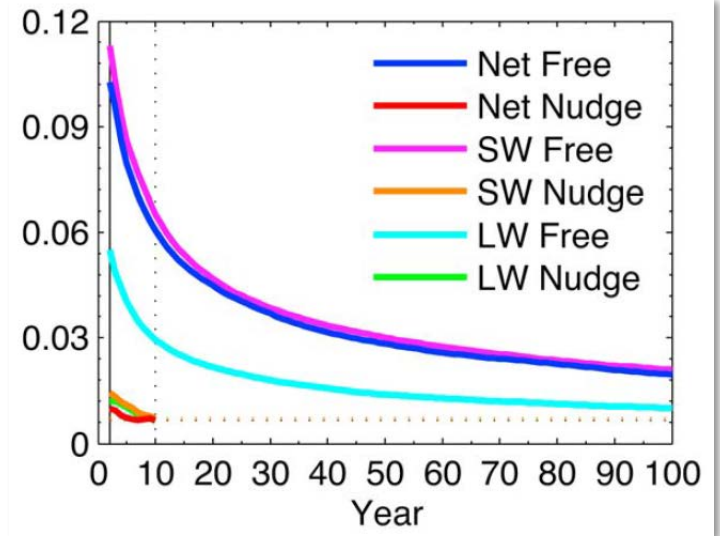
Geophysical Research Letters

## Net Indirect Effect



Year

## Standard Error



Year

Kooperman et al. (JGR2012)