

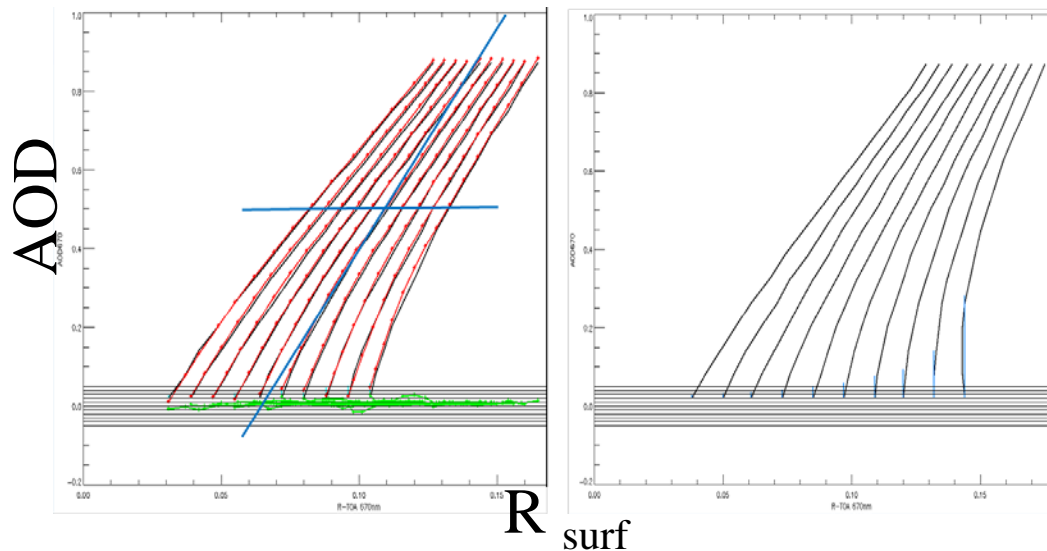
Pixel level uncertainties

Overview + introduction

Thomas Popp (DLR)

The concept of pixel-level uncertainties

- **Sensitivity to AOD varies largely with pixel conditions**
 - AOD
 - Aerosol properties, surface brightness / bi-directionality
 - Geometry, cloud situation, ...



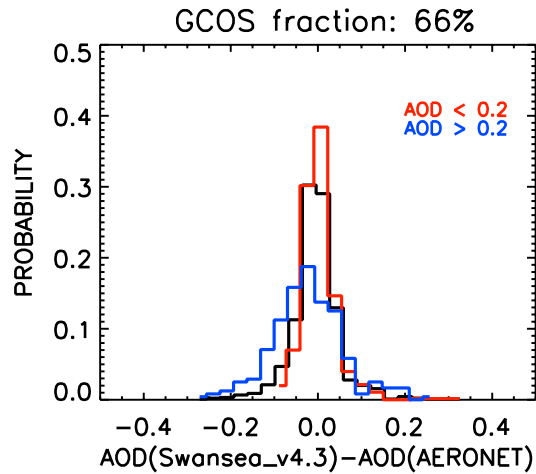
Example nadir radiometer: Dominant uncertainty terms
 bias corrected as much as we can do – **random STD uncertainty**

$$\sigma_{AOD} = \sqrt{\left(\frac{\partial AOD}{\partial R_{TOA}} \sigma R_{TOA}\right)^2 + \left(\frac{\partial AOD}{\partial Alb_{surf}} \sigma Alb_{surf}\right)^2 + (\sigma_{AOD}^{ensemble})^2 + \sigma^2(0)}$$

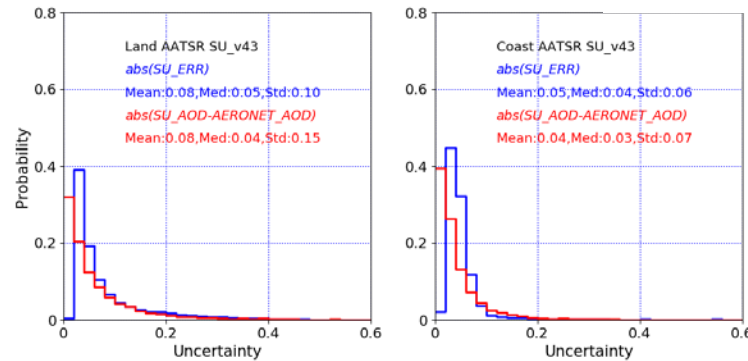
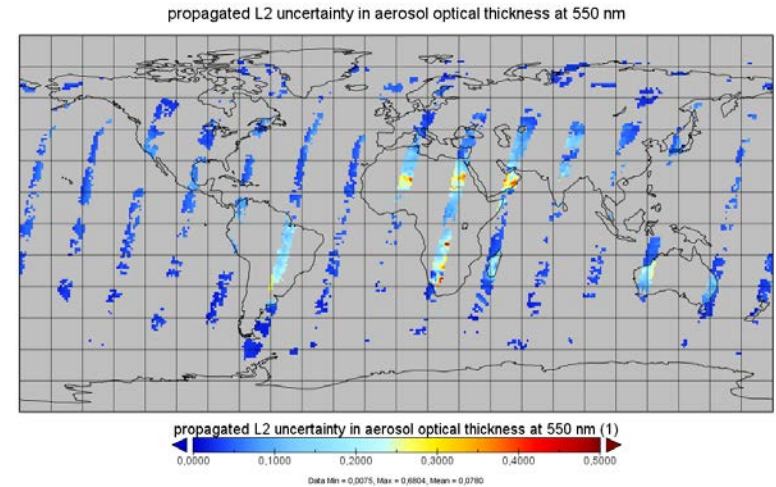
Uncertainties and validation

Ex posteriori validation and pixel-level uncertainty prediction need to be consistent

Validation to reference data stratified for different conditions



Prediction of pixel-level uncertainties by error propagation

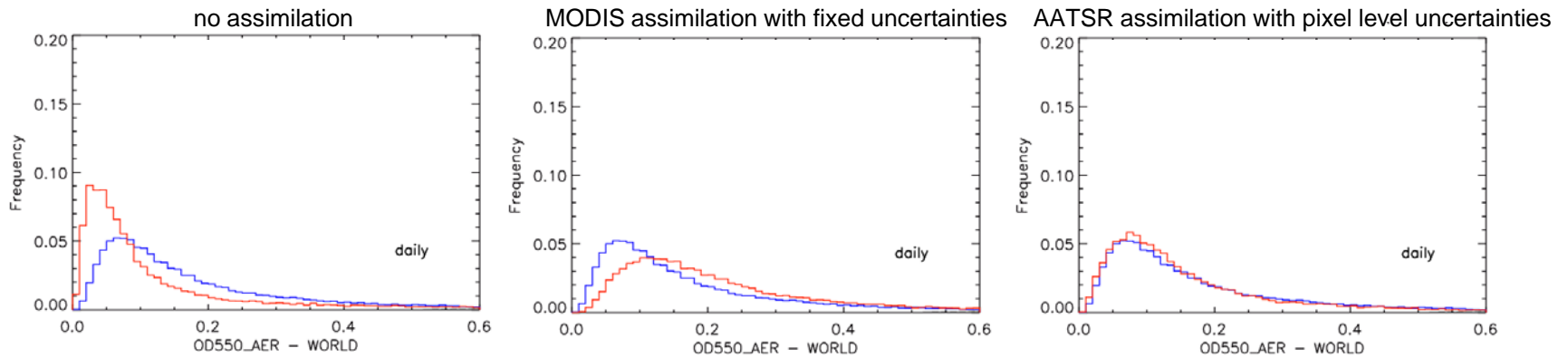


Validation of predicted uncertainties to reference data

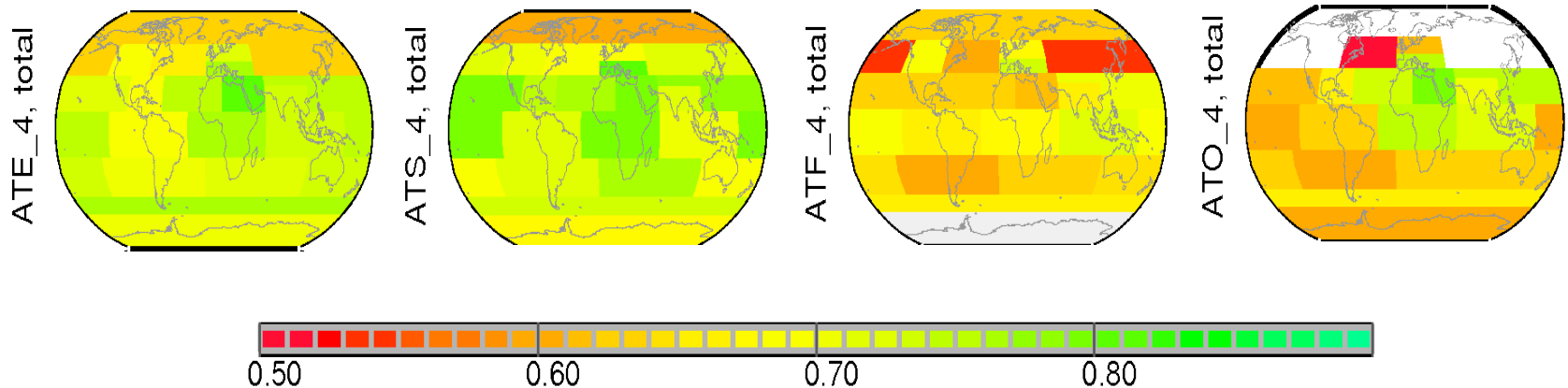
Document untreated / unvalidated uncertainties quality flags / quality statements (e. g. near clouds)

Who needs pixel-level uncertainties?

➤ Data assimilation



➤ Consistent data integration



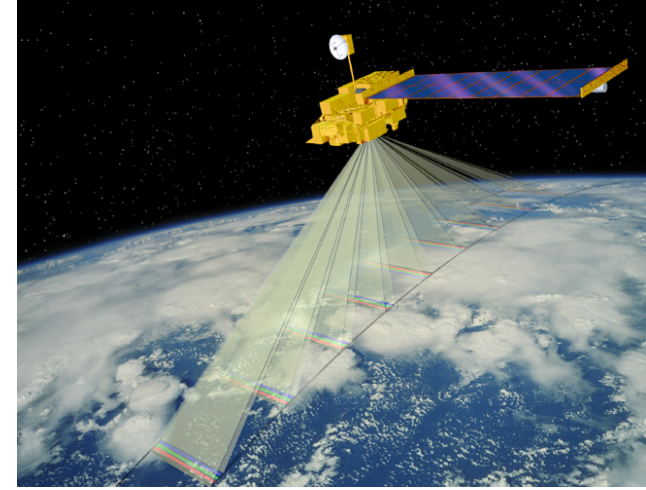
Components of Level 2 Error Model (requires lots of data to pull out)

- **Can be as simple as RMSE as a function of AOD**
 - AOD can be from AERONET (diagnostic) or own AOD (prognostic).
 - But, RMSE is symmetric nor does it address massive outliers which are often the problem
- **Terms include:**
 - Differential Signal to Noise: Lower boundary minus total, including view angle/optical path length.
 - Lower Boundary Condition:
 - Ocean: Wind/glint/whitecap, class 2 waters, sea ice
 - Land: Surface reflectance model, snow, view angle/BRDF/hotspot
 - Cloud mask
 - Microphysical: Fine coarse/partition, $P(\theta)/g$, ω_o , AOD
- **Biases are often folded into “random” error models. If they are known, why not correct for them?**
- **Radiance Calibration: Individual wavelengths propagate non-linear through retrievals and are not easy to incorporate.**
- **Verification of errors is also needed**

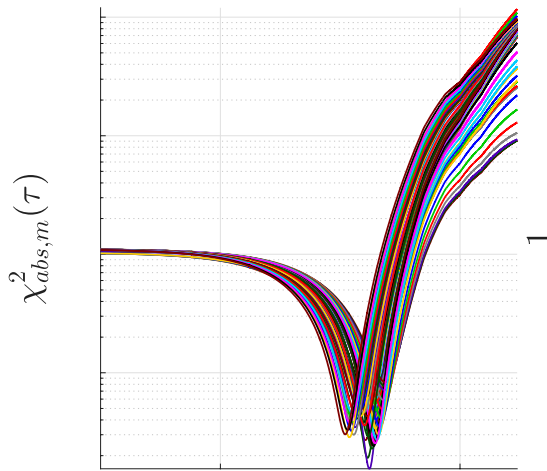
New MISR V23 dark water uncertainties

see poster by M. Witek / JPL

- MISR's aerosol retrieval algorithm calculates cost functions (χ^2_{abs}) between observed and pre-simulated radiances for a range of AODs and a prescribed set of aerosol mixtures (74).
- The new approach in dark water retrievals considers the entire range of χ^2_{abs} for all mixtures and does not impose thresholds on χ^2_{abs} to determine the success or failure of a particular mixture.
- The uncertainty depends on the combination of:
 - a) absolute values of χ^2_{abs} for each aerosol mixture,
 - b) widths of χ^2_{abs} distributions,
 - c) spread of χ^2_{abs} distributions among the ensemble of mixtures.

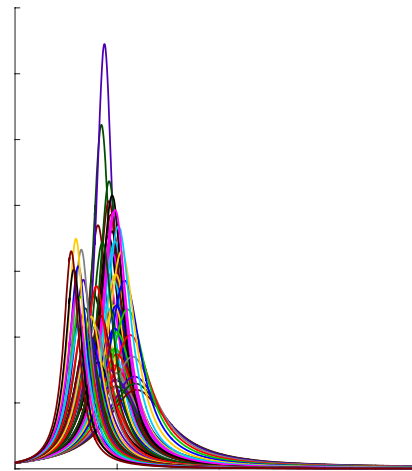


$$\chi^2_{abs}(\tau) = \frac{\sum_{l=1}^4 w_l \cdot \left[\sum_{j=1}^9 v(l,j) \cdot \frac{[\rho_{MISR}(l,j) - \rho_m(l,j)]^2}{\sigma_{abs}^2(l,j)} \right]}{\sum_{l=1}^4 w_l \cdot \left[\sum_{j=1}^9 v(l,j) \right]}$$



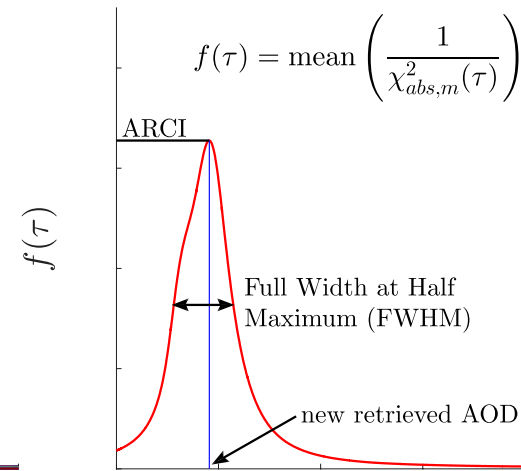
τ

V22: $\tau = 0.174 \pm 0.003$



τ

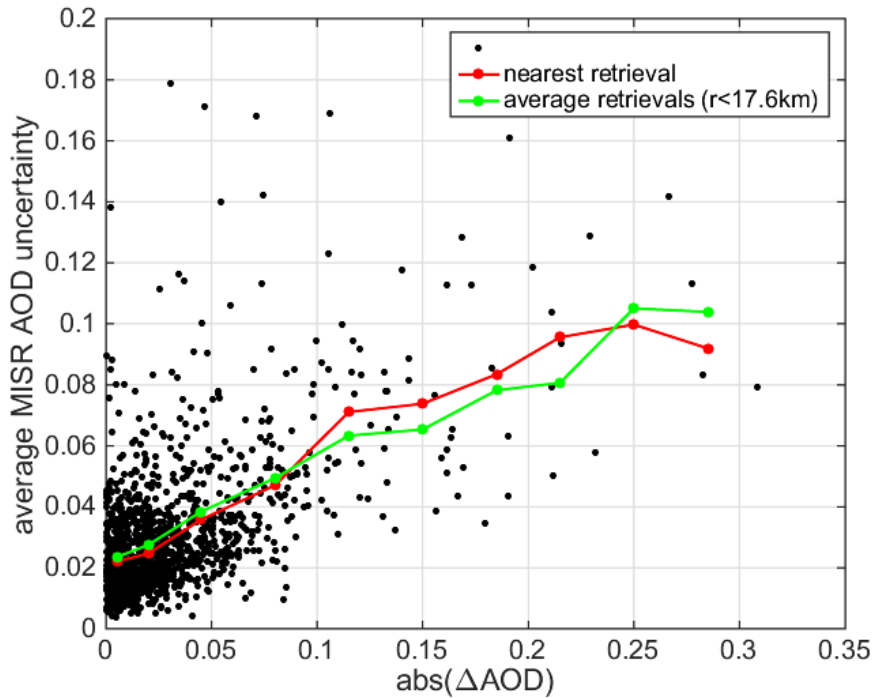
V23: $\tau = 0.182 \pm 0.049$



τ

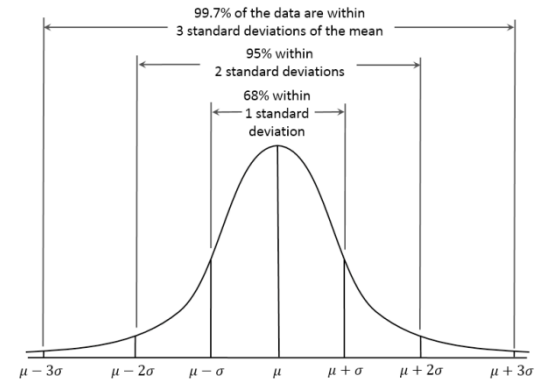
MISR uncertainty evaluation

Uncertainty generally increases with the difference between MISR and Aeronet AOD (based on ~1300 collocations)

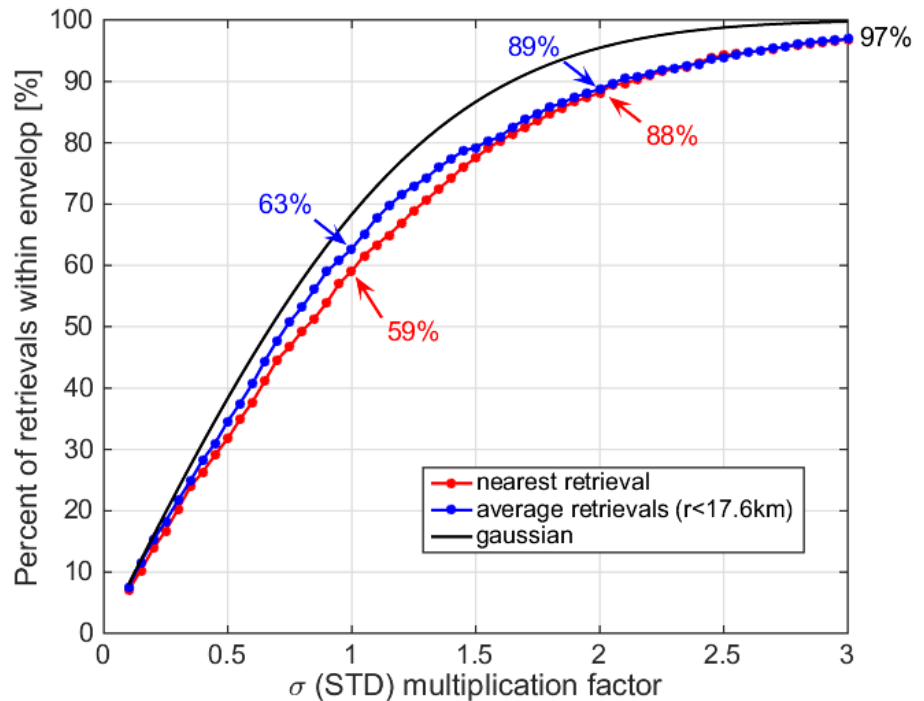


Legend explanation:

- “Nearest retrieval” - MISR retrieval closest to the Aeronet location
- “Average retrievals (r<17.6 km)” - all MISR retrievals that are within 17.6 radius from the Aeronet location



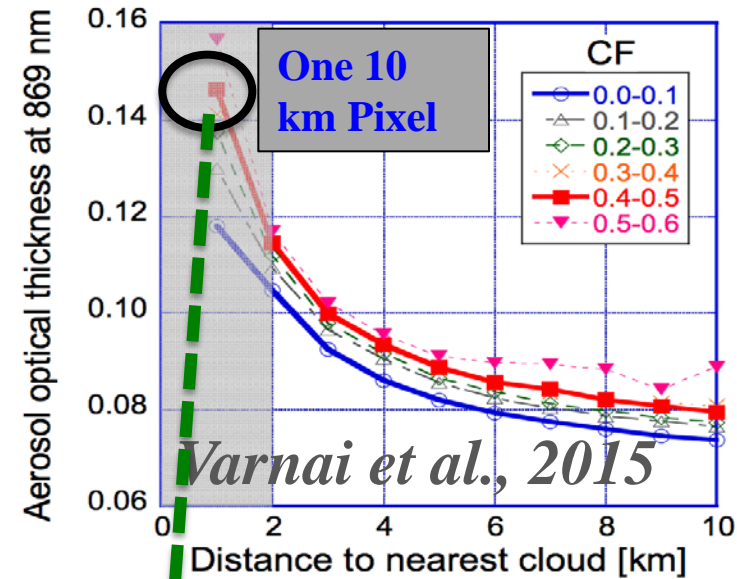
Retrieved uncertainty has characteristics similar to the standard deviation of the normal distribution: the 3-sigma rule (68-95-99.7) is followed closely.



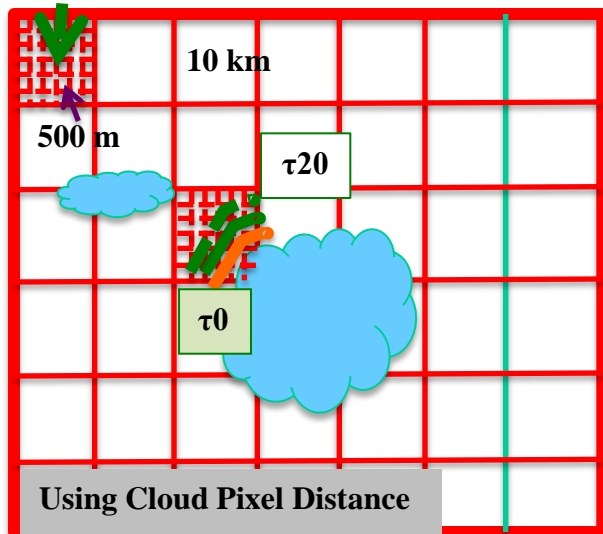
MODIS Dark Target Retrievals in Cloud Vicinity

see poster by F. Patadia / GSFC

Enhanced AOD near clouds



Schematic of One MODIS Granule



- MODIS has :
 - (1) Observations at 500 m
 - (2) Distance of every 500m pixel from a cloud
- To estimate cloud effect, retrievals were done as a function of distance to cloud

- 1) τ_0 : All pixels used in C6
- 2) τ_{20} : pixels with cloud pixel distance > 20 (1 km away from clouds)

Hypothesis :

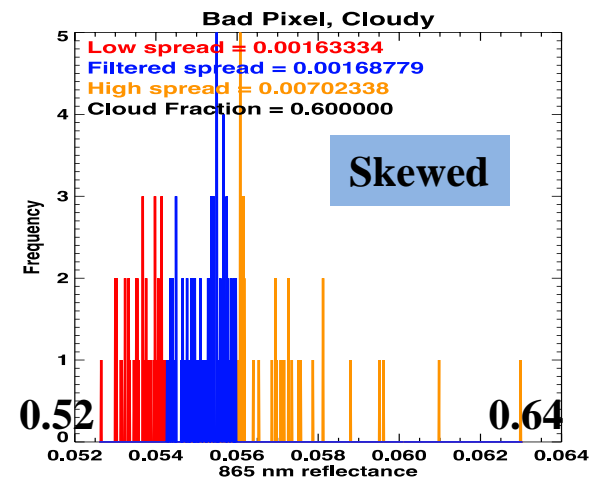
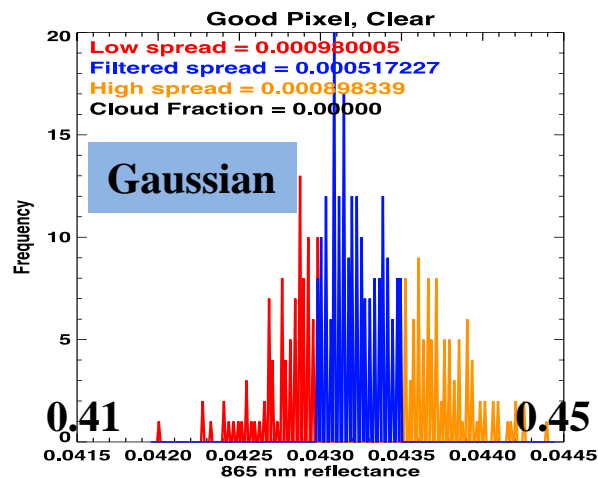
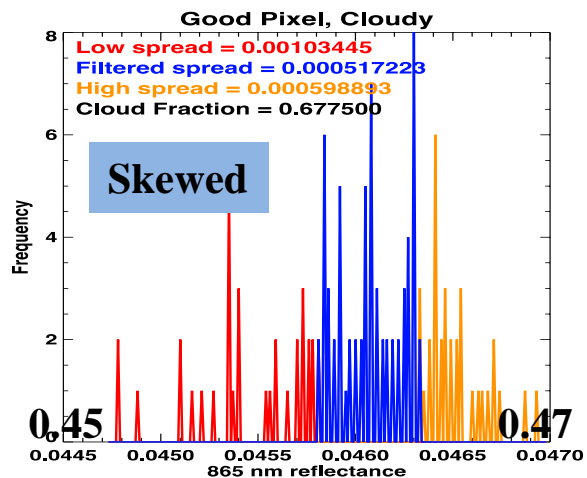
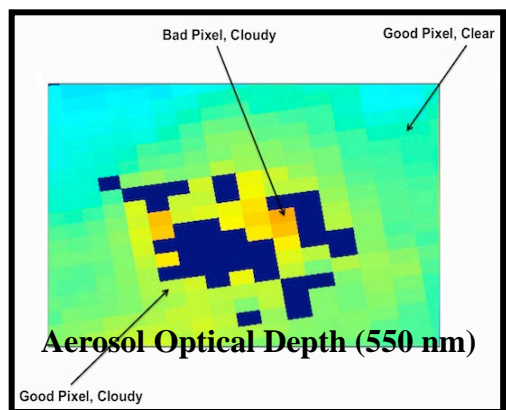
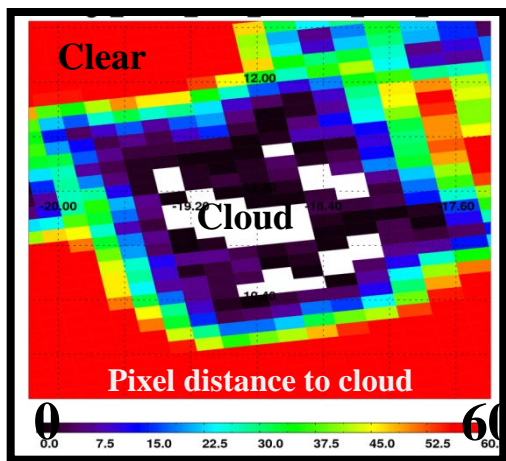
If C6 AOD is elevated due to clouds then $\tau_{20} - \tau_0 = \underline{\text{Negative}}$

Investigating Reflectance Histograms @ 500 m

Histograms of 865 nm reflectance pixels with good and bad AOD retrievals, shows that

- Reflectance histogram of **Clear-sky pixels** is **Gaussian**
- Reflectance histogram of **Cloudy region pixels** are **skewed**
- Filter cut-off will govern high / low bias in AOD

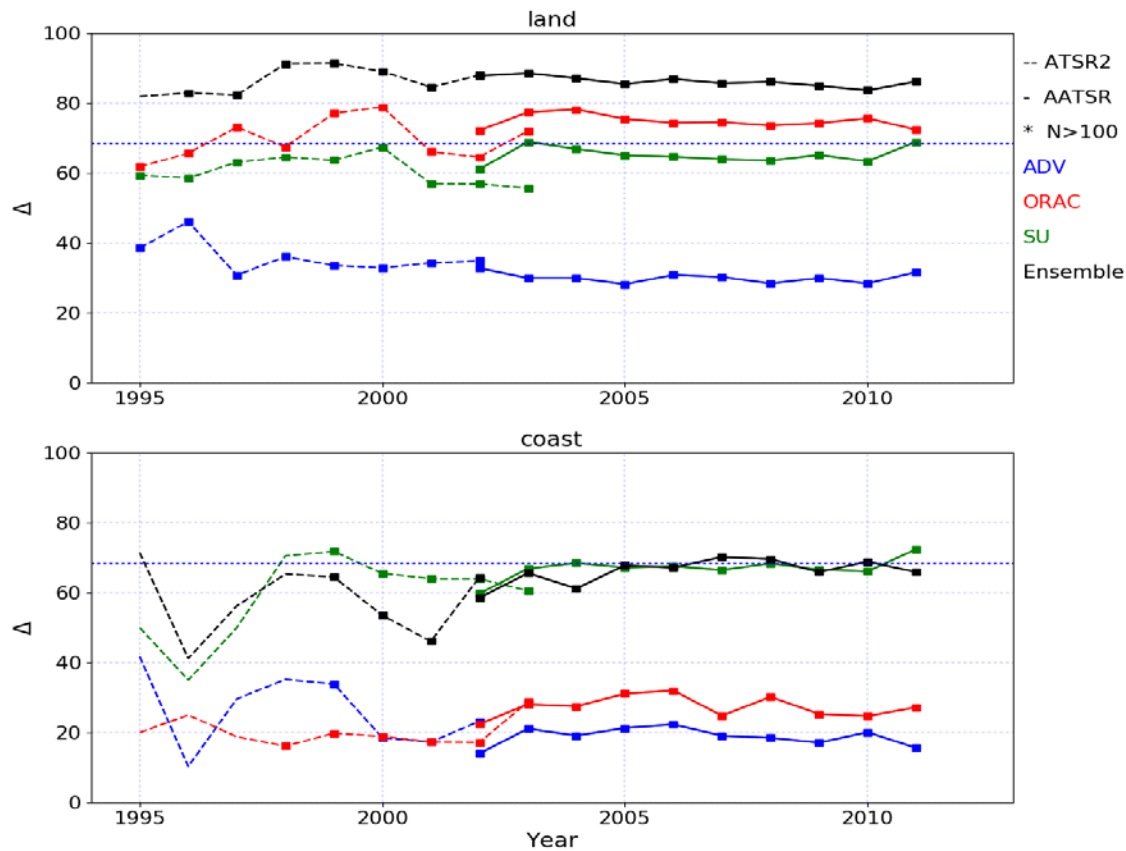
Per-pixel reflectance histograms suggests retrieval possibility using median reflectance values (work in progress)



Aerosol_cci progress

➤ Long-term consistency of uncertainties (Aerosol_cci: ATSR 1995 – 2017)

$$\Delta = \frac{AOD_{\text{ATSR}} - AOD_{\text{AERONET}}}{\sigma_{\text{ATSR}}}$$



-> talk K. Stebel

Questions

- Can we achieve consistency validation \leftrightarrow error propagation?
- How can we treat non-Gaussian distributions?
- How best validate pixel-level uncertainties?
- How to treat propagation from lv2 to lv3 (correlations)?
- How can we provide uncertainties for derived properties?
- Goals / deliverables until AEROSAT 2018
 - Overview / recommendation paper (-> talk A. Sayer)

AEROSAT 2016 / Beijing

- Use of uncertainties in models
 - Matching satellite – model on daily / hourly + collocation step needed (Schuttgens)
 - Large uncertainties in monthly means due to sampling
 - Satellite sampling in 1 degree box can provide histograms
 - More validation data as reference needed
 - How separate systematic and random uncertainties
 - Good discussion of basic principles
 - Use of linear regression and alternatives
 - Uncertainties of metrics need to be considered
 - Independent (trend) analysis need to be consistent
 - Obvious analysis create higher confidence than those highly tuned
 - Uncertainties on different scales
 - Be aware of limitations in error propagation and in validating propagated uncertainties
- > conclusion: review / synthesis paper on characterizing uncertainties