



# Overview of Cloud Microphysics and Aerosol-Cloud Interactions in GCMs

*Xiaohong Liu*  
*University of Wyoming*

International Lecture Course on Atmospheric Aerosol  
September 25-27, 2016 Beijing, China

# Cloud microphysics important for

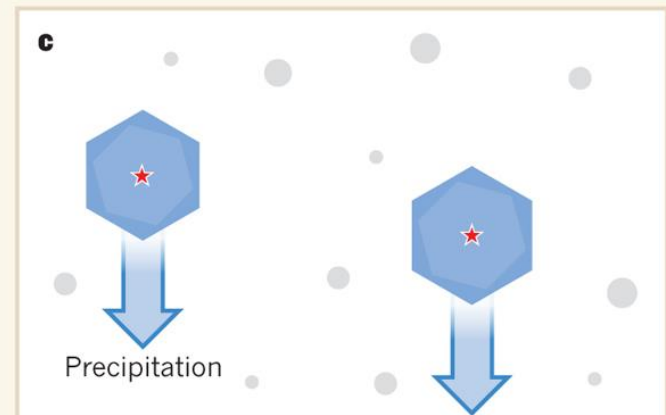
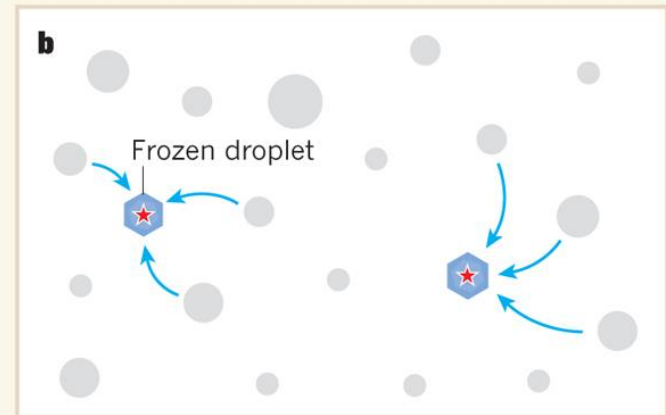
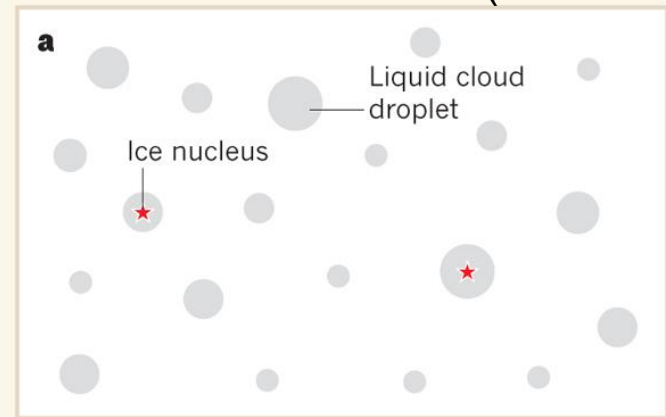
- radiation,
- precipitation formation,
- chemistry/aerosol,
- climate

Ice Nucleation

Bergeron-F. Process

Precipitation Initiation

( $T < 0^\circ \text{C}$ )



Koop, Nature (2013)

# Development of cloud microphysics in GCMs

## Single-Moment Microphysics IPCC AR4 (2007)

### CAM3/CAM4

- Single moment (M)
- No ice nucleation
- Phase partitioning prescribed as  $f(T)$

### GFDLAM2

- Single moment (M)
- Ice nucleation  $f(T, RH)$
- Phase partitioning calculated

## Two-Moment Microphysics IPCC AR5 (2013)

### CAM5, ECHAM5

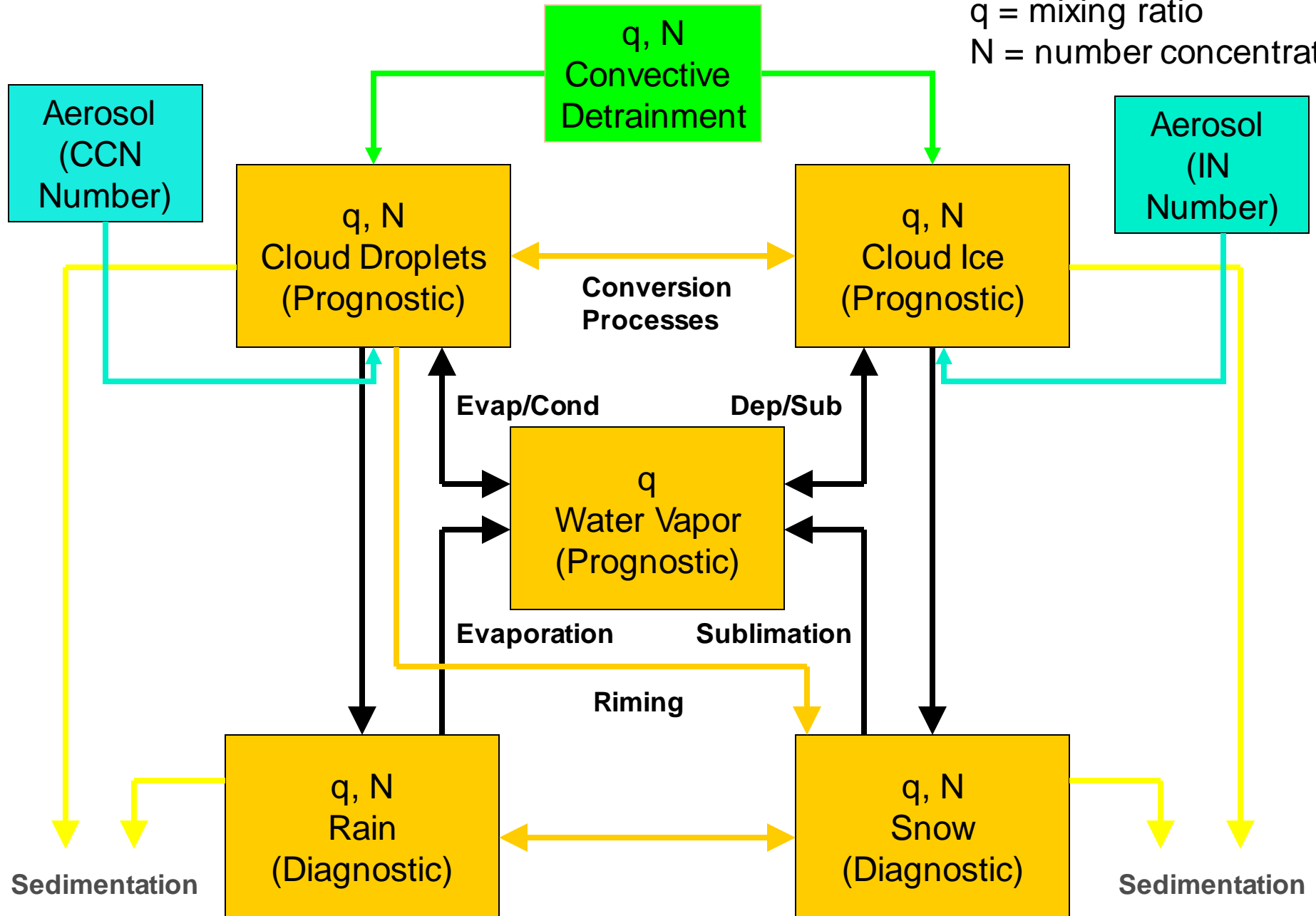
- Two moment (M,N) for liquid/ice
- Aerosol-cloud interactions (warm & cold clouds)
- Phase partitioning calculated

### GFDLAM3

- Two moment (M,N) for liquid
- Aerosol-cloud interactions (warm clouds)
- Phase partitioning calculated

# Cloud microphysics in advanced GCMs

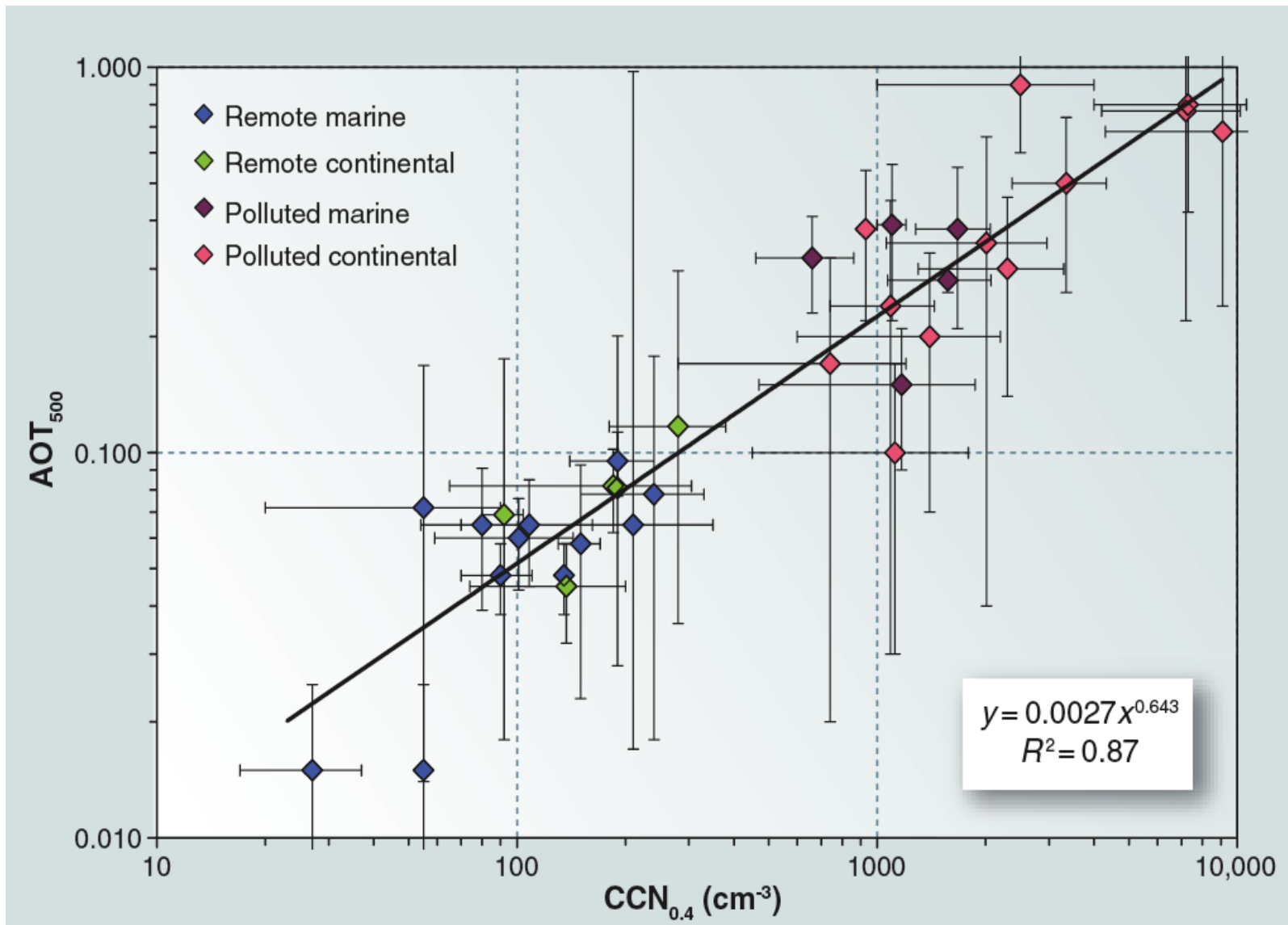
$q$  = mixing ratio  
 $N$  = number concentration



# Warm Cloud Microphysics Process

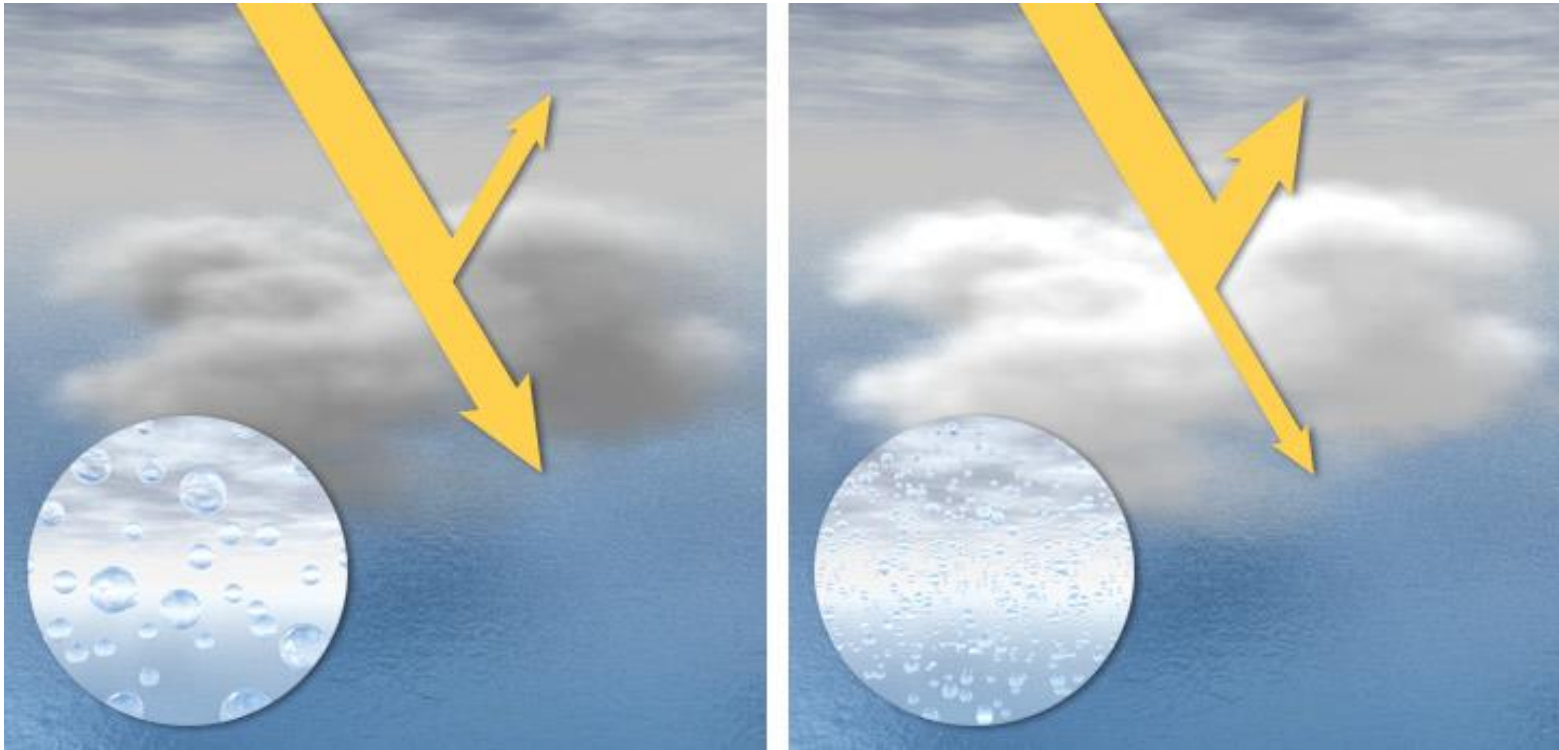
- ▶ Droplet formation  $\Longrightarrow$  aerosol first indirect effect
- ▶ Vapor condensation/evaporation
- ▶ Autoconversion of cloud droplets to rain  $\Longrightarrow$  aerosol second indirect effect
- ▶ Accretion of cloud droplets by rain
- ▶ Convective detrainment

# CCN vs. Aerosol Optical Thickness



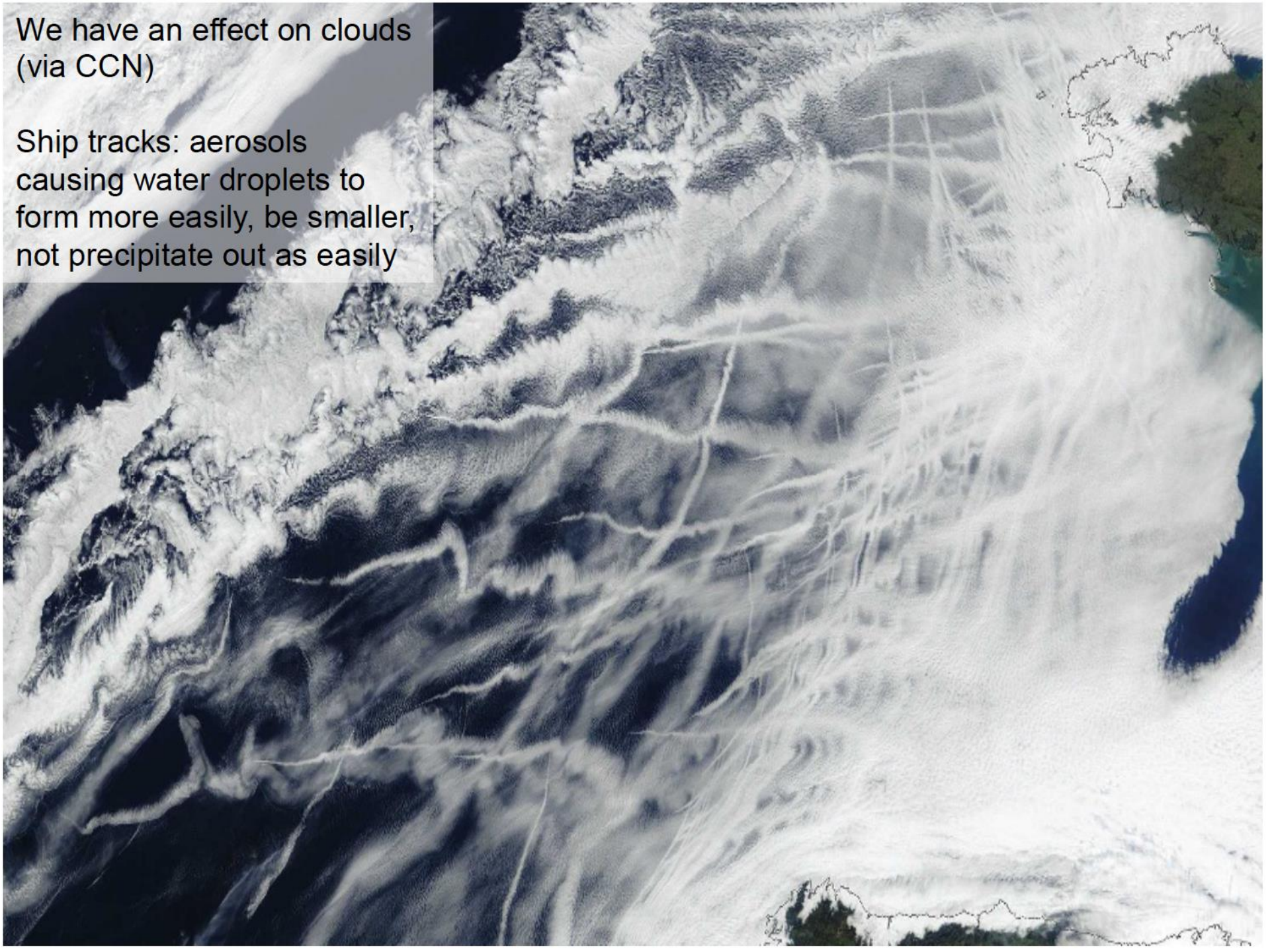
Andrea 2009

# Polluted vs. clean cloud droplets



We have an effect on clouds  
(via CCN)

Ship tracks: aerosols  
causing water droplets to  
form more easily, be smaller,  
not precipitate out as easily





Aerosol  
modification  
of marine  
clouds  
observed  
during the  
Monterey  
Area Ship  
Track  
experiment

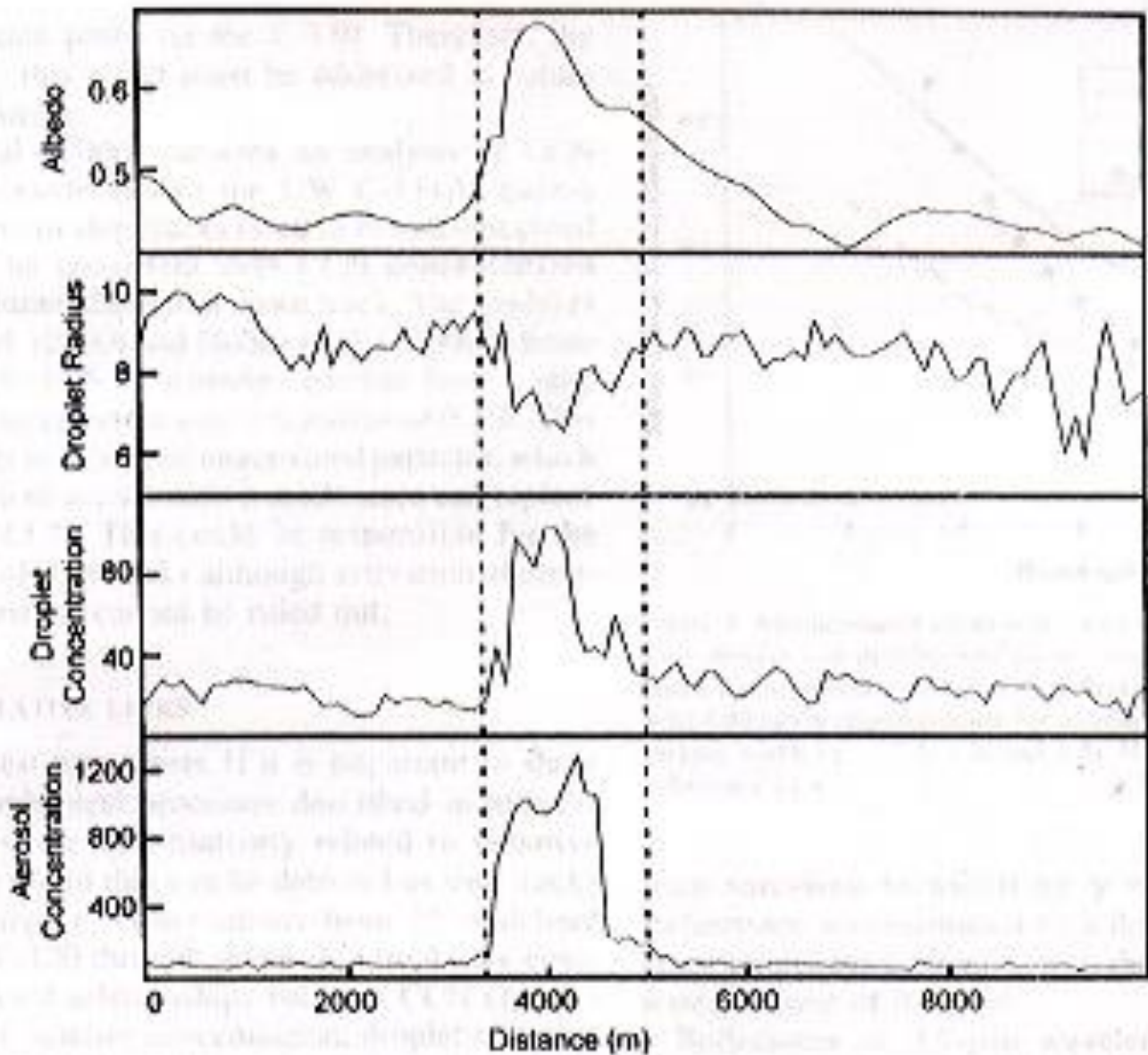
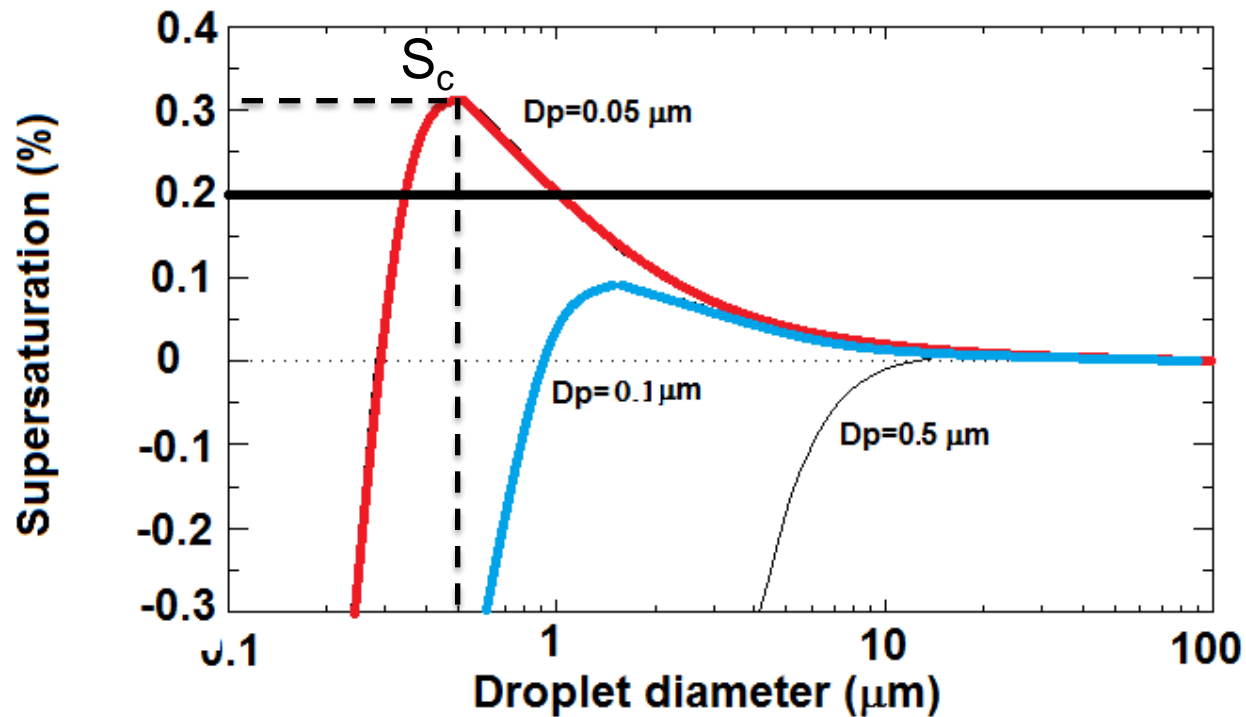


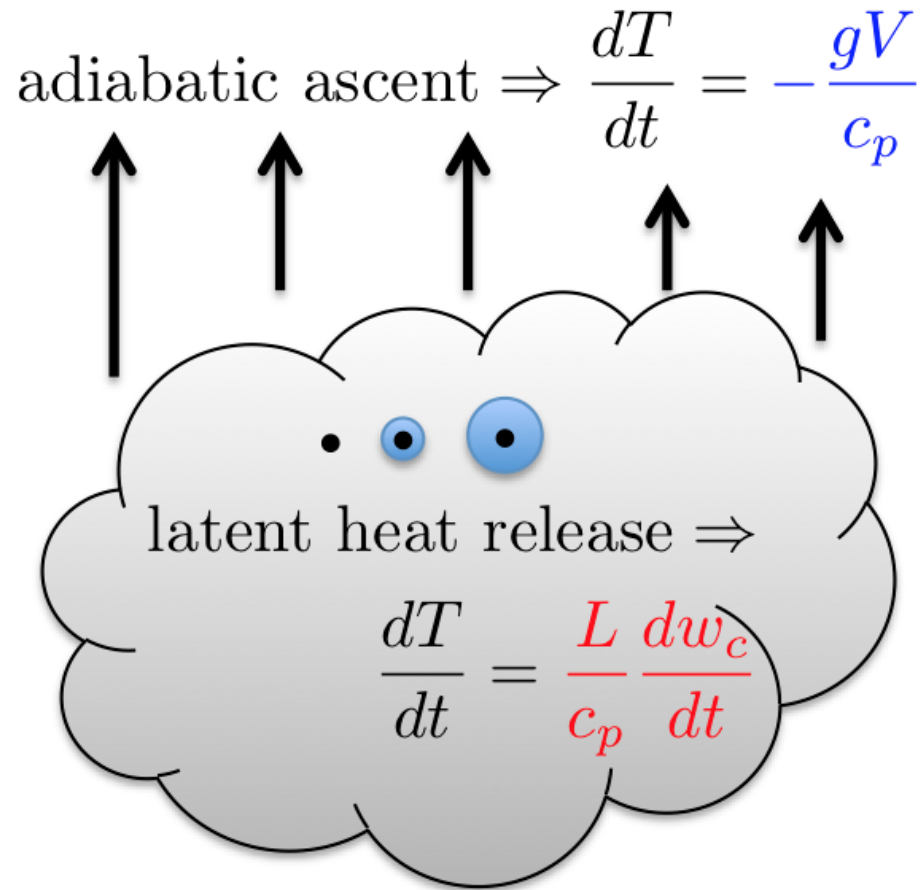
FIG. 3. Measurements made from the C-130 of albedo, cloud droplet radius ( $\mu\text{m}$ ), and droplet concentrations ( $\text{cm}^{-3}$ ), and accumulation-mode aerosol concentrations across the ship track produced by the *Hanjin Barcelona* on 29 Jun 1994. The ship track is between the dashed vertical lines. The measurements were made about 30 min after emission from the ship.

# Kohler theory

$$S = \frac{A}{r} - \frac{\kappa r_d^3}{r^3} \quad S_c \equiv \left( \frac{4A^3}{27\kappa r_d^3} \right)^{1/2}$$



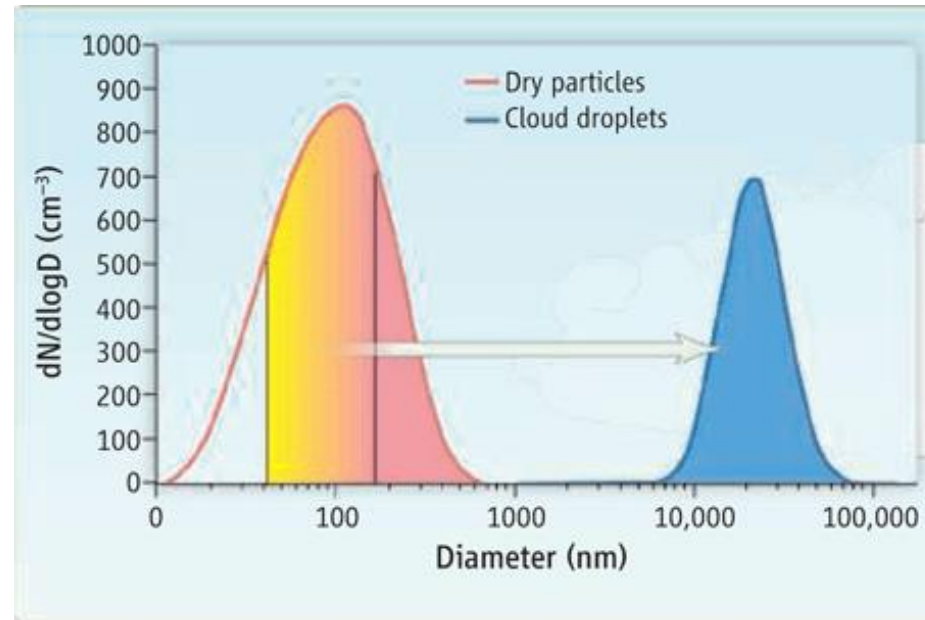
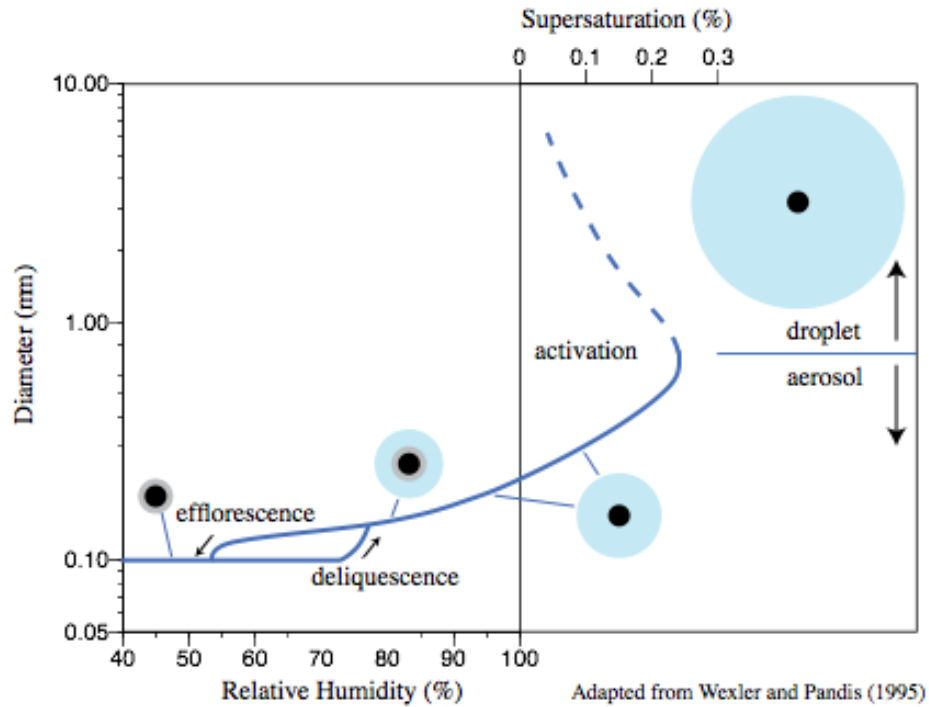
# How cloud droplets are formed?



Supersaturation balance :

$$\frac{dS}{dt} = \alpha(T, P)V - \gamma(T, P) \frac{dw_c}{dt}$$

# How cloud droplets are formed?



# Parameterization of droplet activation in GCMs

- ▶ Abdul-Razzak and Ghan (2000)
- ▶ Nenes and Seinfeld (2003)

$$S_{\max}^2 = 1 / \sum_m \frac{1}{S_m^2} \left[ f_m \left( \frac{\zeta}{\eta_m} \right)^{3/2} + g_m \left( \frac{S_m^2}{\eta_m + 3\zeta} \right)^{3/4} \right]$$

***Average rain drop  
size - 2 millimeters***

***Average cloud droplet  
size - 0.02 millimeters***



***Average condensation  
nucleus size -  
0.0002 millimeters***



# Parameterization of auto-conversion/accretion in GCMs

## ▶ Autoconversion

$$P_{auto} = 1350 q_c^{2.47} N_c^{-1.79}$$

## ▶ Accretion

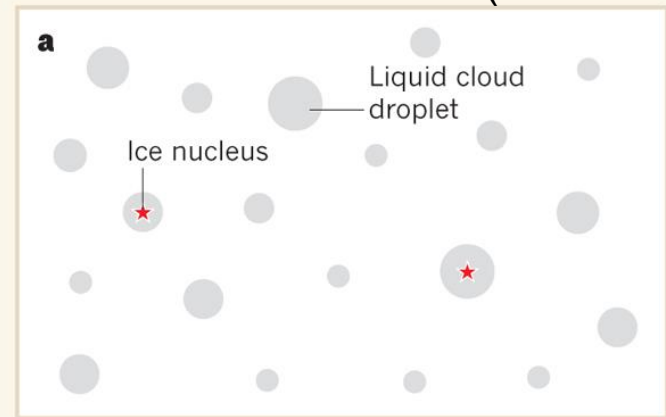
$$P_{acc} = 67 (q_c q_r)^{1.15}$$

Khairoutdinov and Kogan (2000)

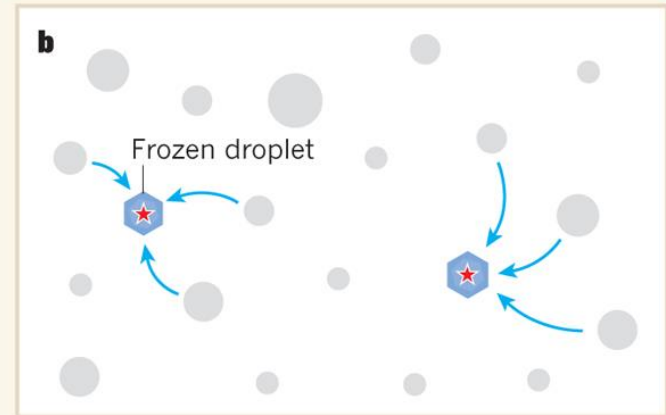
- ▶ Aerosol-cloud interactions dependent on process rate
- ▶ Too much autoconversion in GCMs: or too little accretion
- ▶ Accretion depends on rain, and diagnostic rain may be the problem
- ▶ How to correct it? Prognostic rain (MG2)

# Ice microphysics

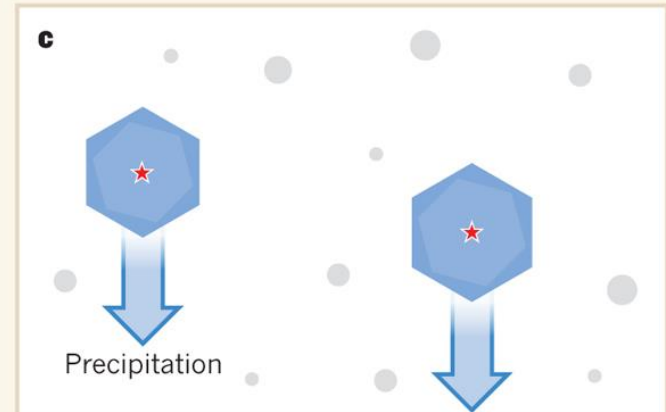
Ice Nucleation



Bergeron-F. Process



Precipitation Initiation



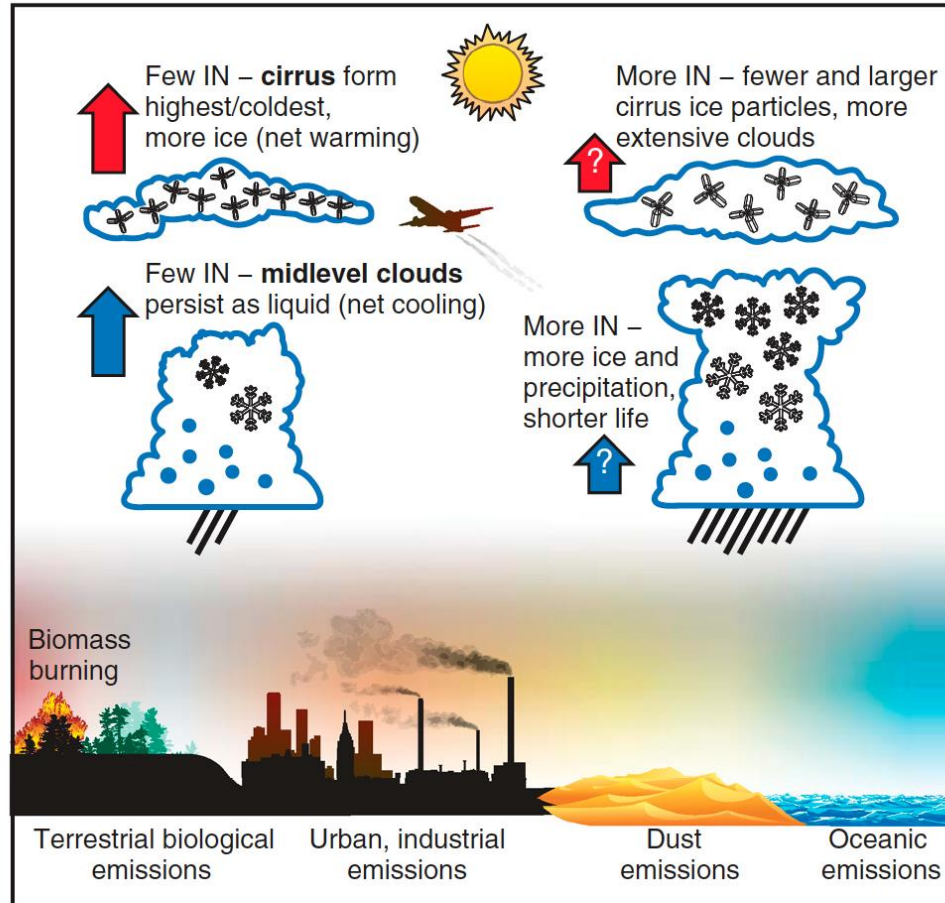
Koop, Nature (2013)



# Ice Microphysics Process

- ▶ Ice formation: ice nucleation and droplet freezing, secondary ice production
- ▶ Vapor deposition and Wegener-Bergeron-Findeisen (WBF) process
- ▶ Sedimentation
- ▶ Autoconversion of cloud ice to snow
- ▶ Accretion of cloud ice by snow
- ▶ Melting/sublimation
- ▶ Convective detrainment

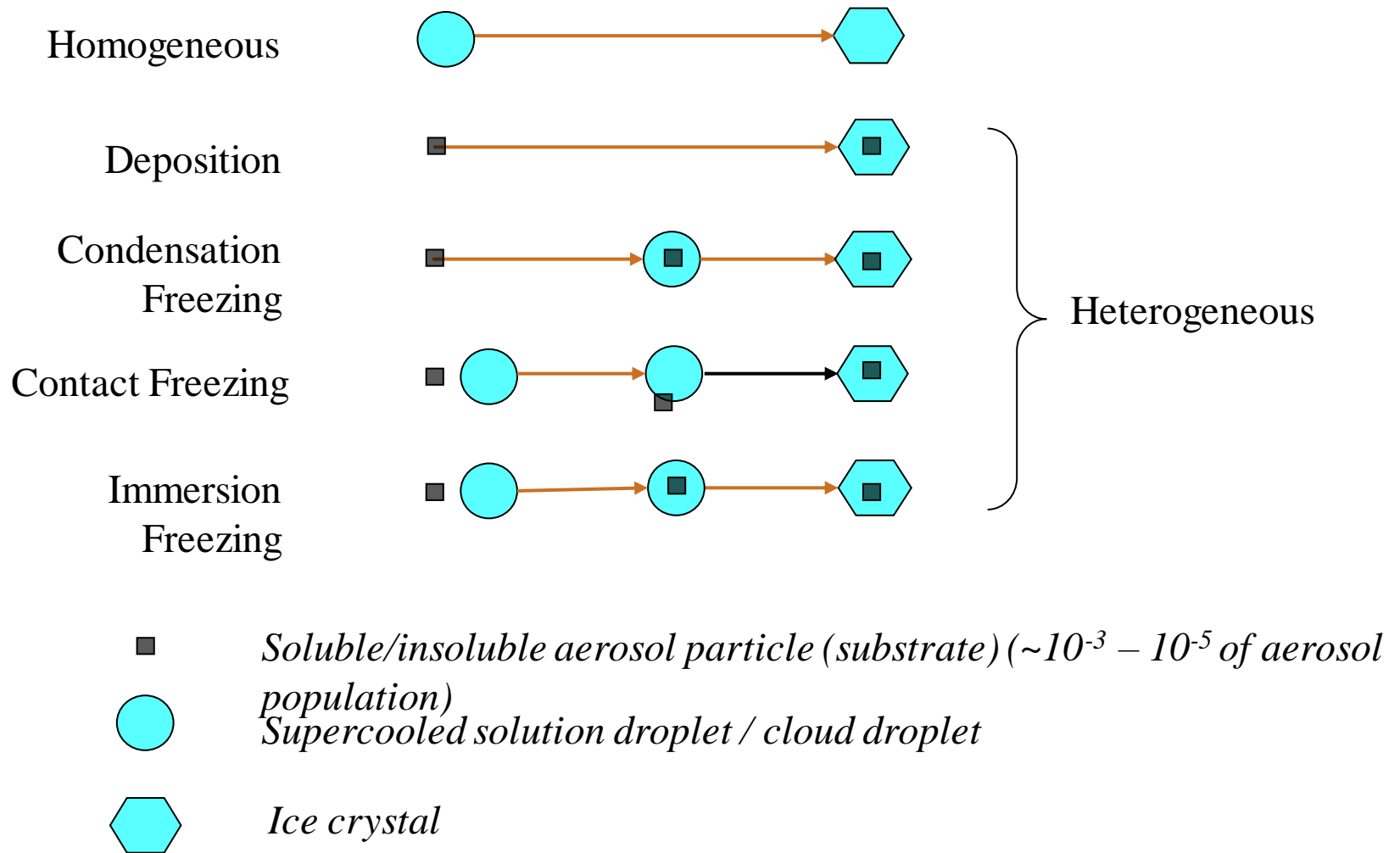
# Aerosol effects on ice and mixed-phase clouds



**Fig. 1.** Schematic diagram of the effect of ice nuclei from various possible aerosol sources on midlevel precipitating clouds and cirrus ice clouds. The likely but uncertain change in the magnitude of the general cooling impact (blue arrows) of midlevel clouds and warming impact (red arrows) of high cirrus clouds in response to increases in the relative number concentrations of IN is indicated (see text for further description).

# How ice crystals are formed?

## Multiple Ice Nucleation Mechanisms

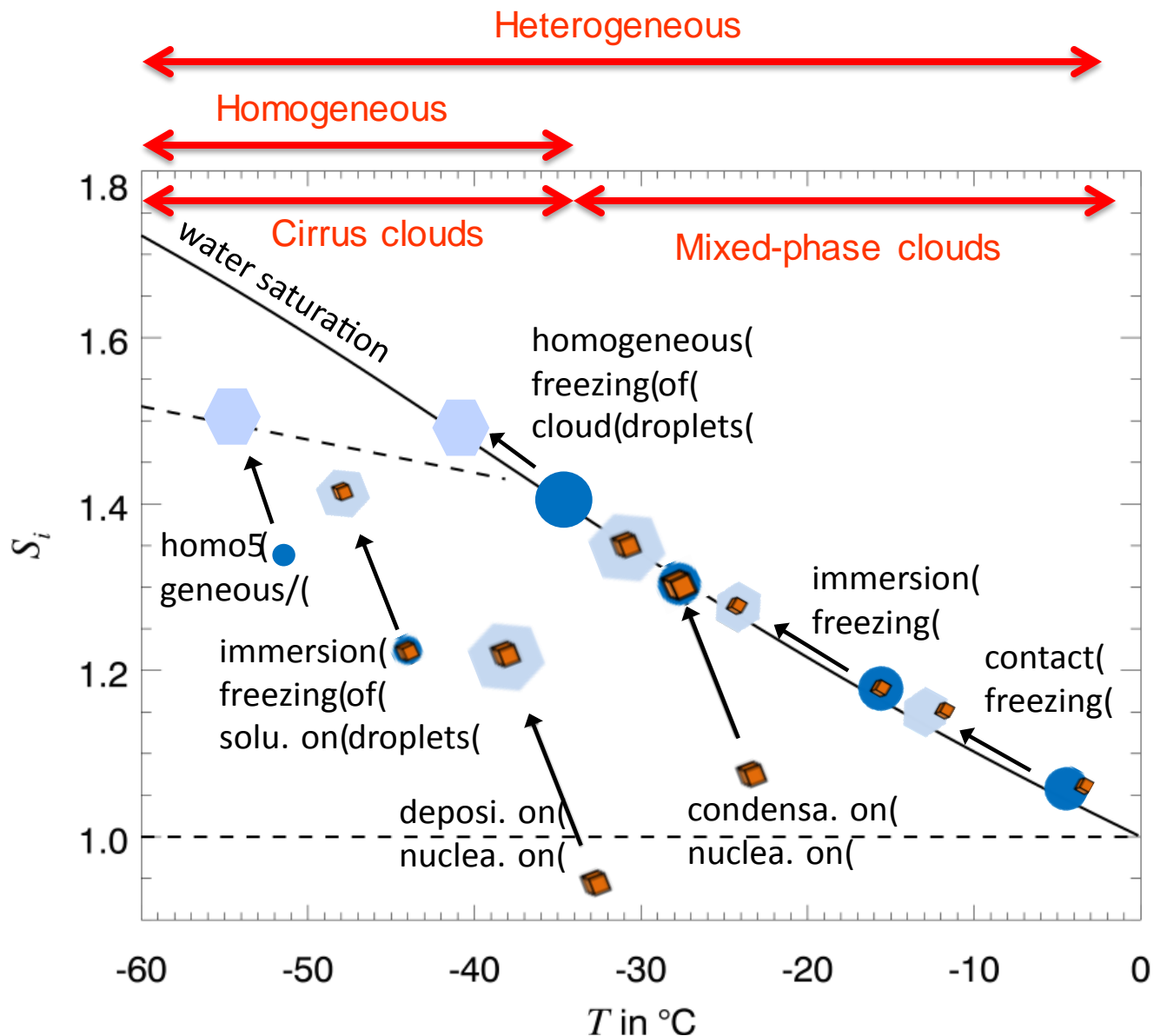


# Modes of ice nucleation

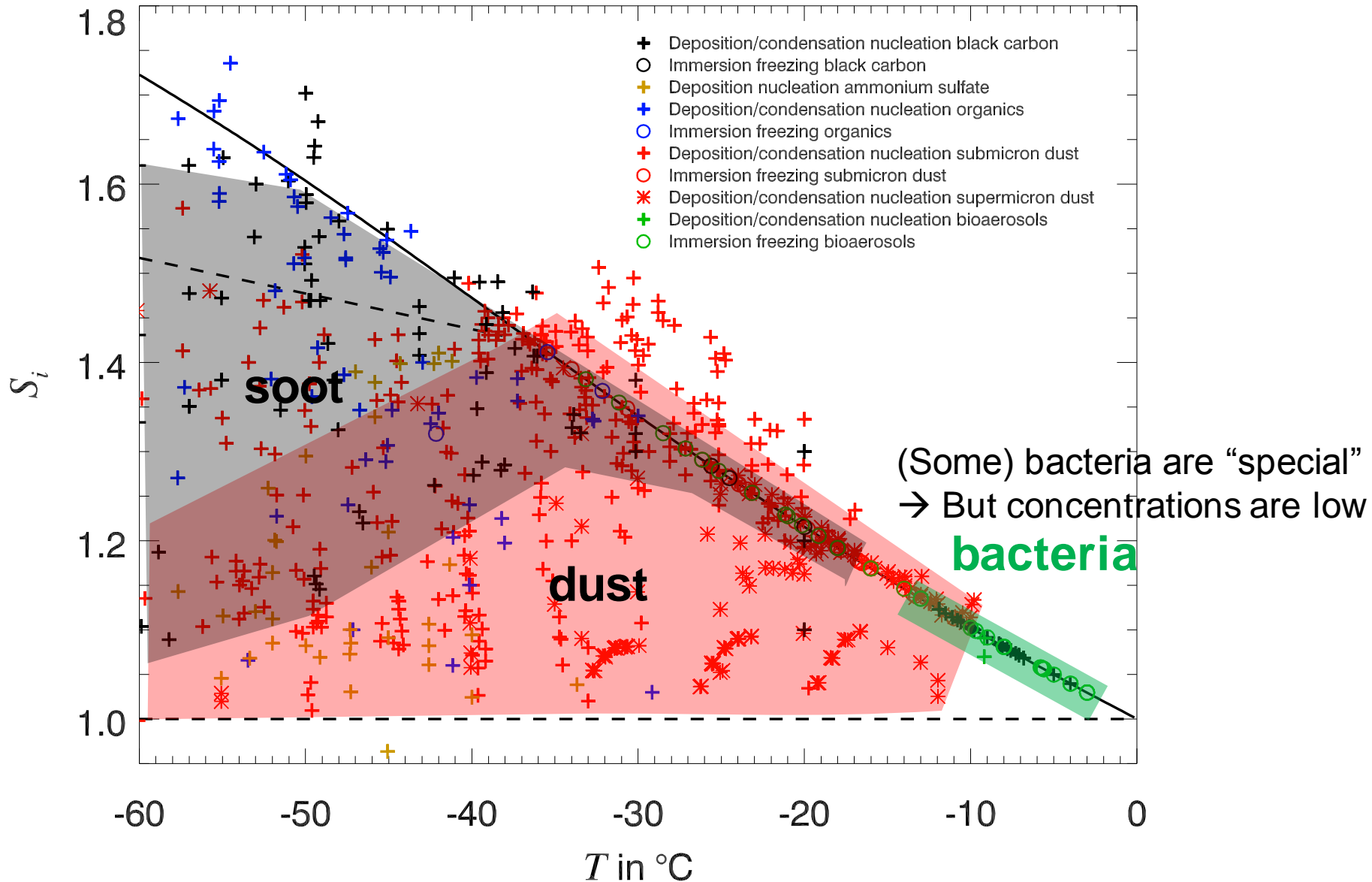
No clouds  
without aerosol  
particles  
→ CCN

No clouds  
without aerosol  
particles  
→ IN (ice nuclei)

Except freezing  
of water droplets  
at  $-36^\circ\text{C}$



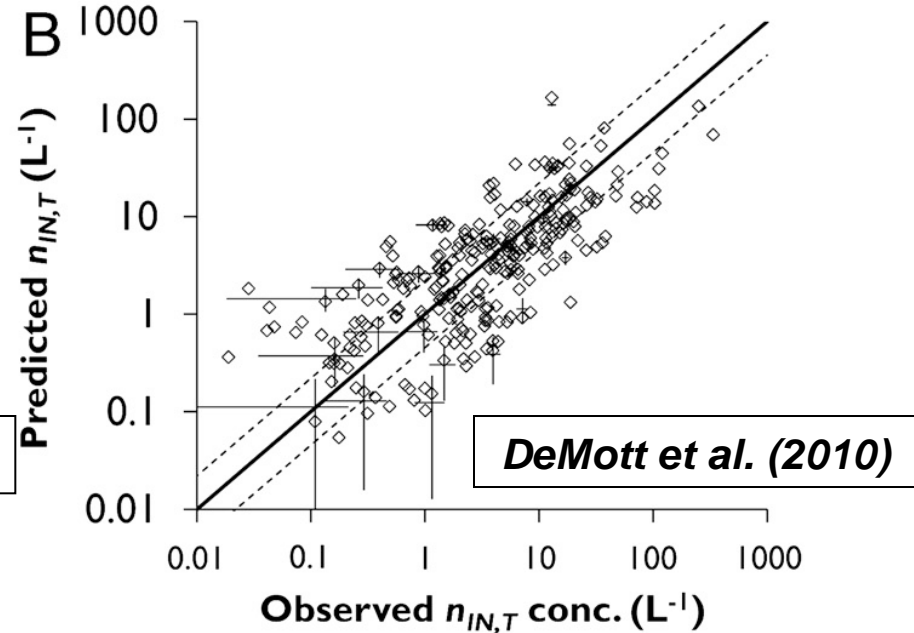
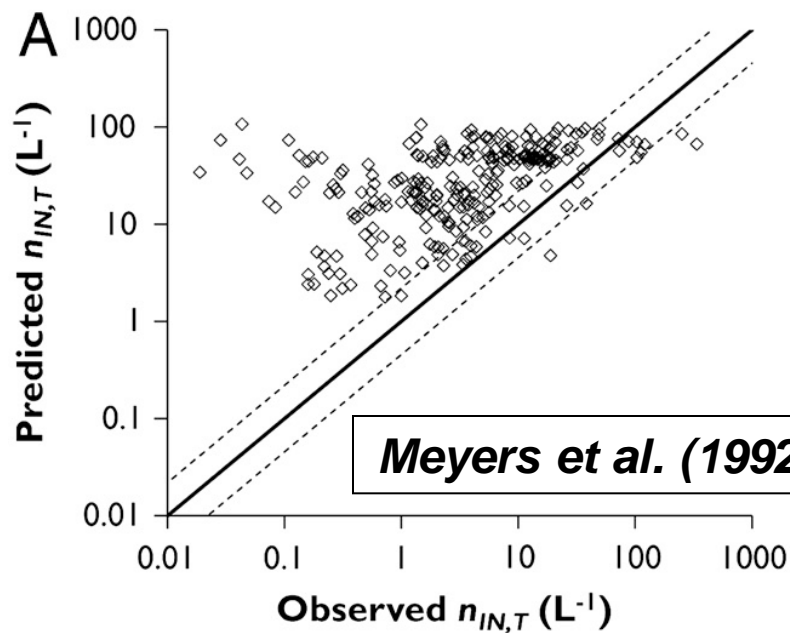
# Onset for heterogeneous ice nucleation



# Ice nucleation in mixed-phase clouds

DeMott et al. (2010, PNAS) parameterization: T and  $n_{\text{aer},0.5}$   
Composition matters as well

$$n_{\text{IN},T_k} = a(273.16 - T_k)^b (n_{\text{aer},0.5})^{(c(273.16 - T_k) + d)}$$



# Classical nucleation theory

Nucleation rate  $J = A' r_N^2 \sqrt{f} \exp\left(\frac{-Dg^\# - fDg_g^o}{kT}\right)$

$f(\alpha)$ ,  $\alpha$  is contact angle

## Immersion/condensation

Pruppacher and Klett (1997)

Hoose et al. (2010)

$$r_{g,imm} = \frac{2u_w S_{i/w}}{kT \ln(a_w e_{sw} / e_{si})}$$

$$DN_{i,imm} = \sum_x \text{Min}\{f_{l,x} N_{aer,x} f_{i,max,x} f_{l,x} N_{aer,x} [1 - \exp(-J_{imm,x} Dt)]\}$$

Activated/cloud-borne aerosol

## Deposition

$$r_{g,dep} = \frac{2u_w S_{i/v}}{kT \ln(e / e_{si})}$$

Interstitial & uncoated aerosol

$$DN_{i,dep} = \sum_x \text{Min}\{(1 - f_{l,x})(1 - f_{x,coated}) N_{aer,x} f_{i,max,x}, (1 - f_{l,x})(1 - f_{x,coated}) N_{aer,x} \times [1 - \exp(-J_{dep,x, RH_w=0.98} Dt)]\}$$

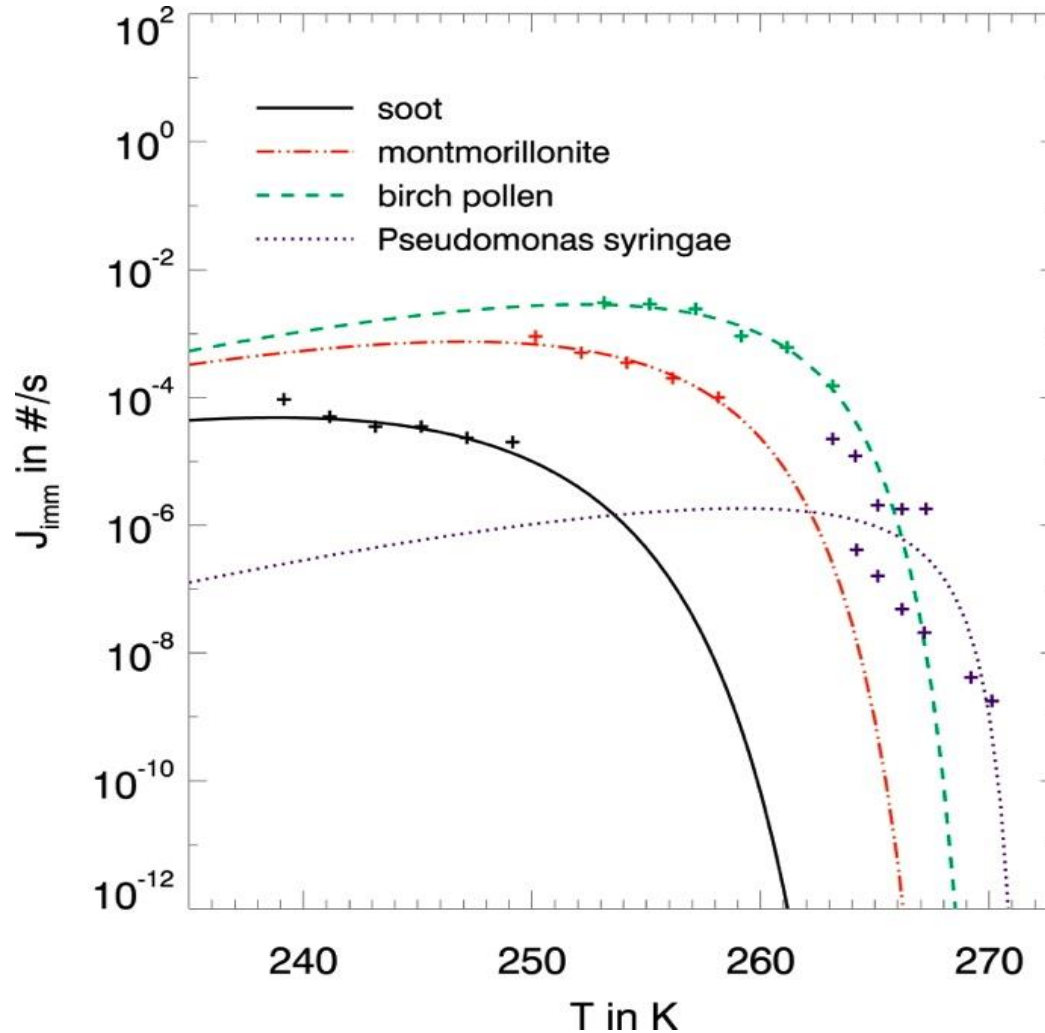
## Contact

$$N_{g,contact} \approx 4\rho r_N^2 \frac{e}{v_s \sqrt{2\rho m_w kT}} \times \exp\left[-\frac{Dg_{dep}^\# + fDg_{g,dep}^o(r_{g,imm})}{kT}\right]$$

$$DN_{i,contact} = \sum_x \text{Min}\{(1 - f_{l,x})(1 - f_{x,coated}) N_{aer,x} f_{i,max,x}, (1 - f_{l,x})(1 - f_{x,coated}) N_{aer,x} \times [1 - \exp[-K_{coll}(r_{N,x}, r_l) N_l \text{Max}(N_{g,contact,x}, 1) Dt]]\}$$

PDF- $\alpha$  model: integrate over the PDF of contact angle  $\alpha$

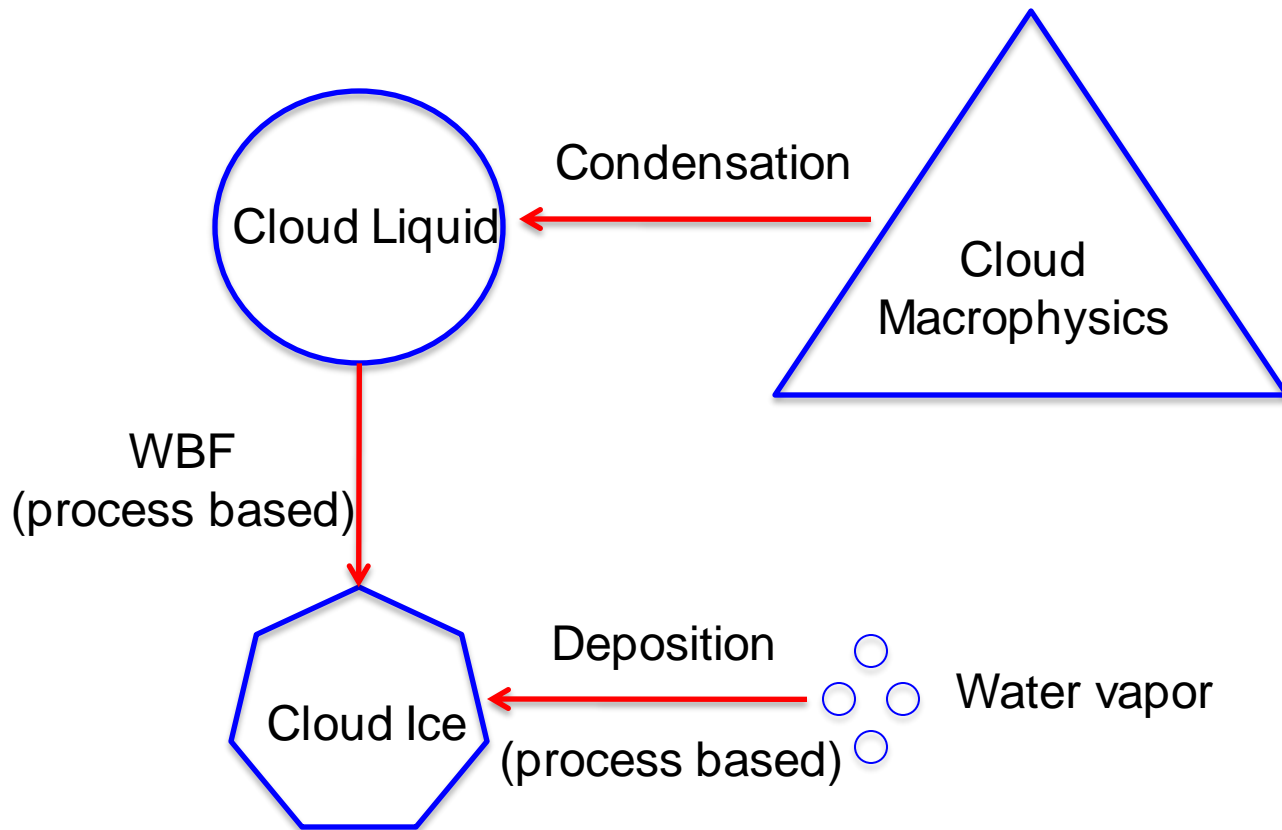
# Classical theory links ice nucleation rate to aerosol properties, constrained by experiments





# Vapor deposition and WBF process

## ► Intersection with macrophysics



# Subgrid WBF process (Korolev 2007)

**In mixed phase clouds, there are three scenarios:**

1)  $e > e_s > e_i$

Both droplets and ice crystals grow simultaneously.

2)  $e_s > e > e_i$

Ice crystals grow at the expense of cloud droplets (WBF process).

3)  $e_s > e_i > e$

Both ice crystals and droplets evaporate simultaneously

# Subgrid WBF process (Korolev 2007)

In mixed-phase clouds, there is a link between vertical velocity and vapor pressure.

1)  $U_z > U_z^*$

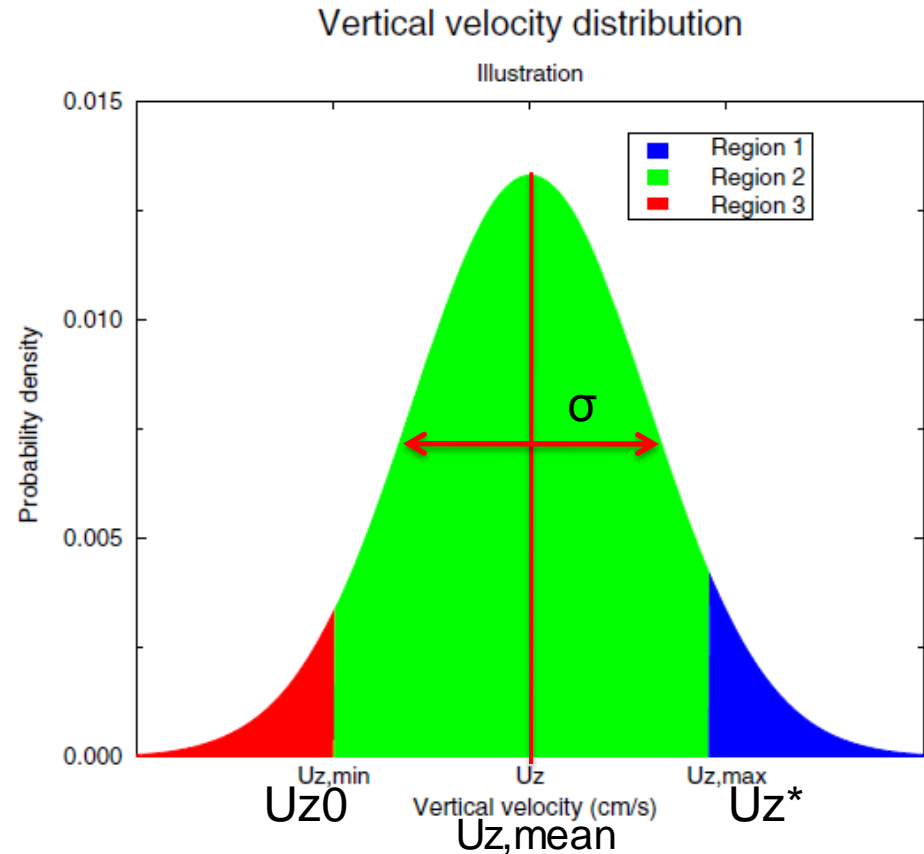
$$e > e_s > e_i$$

2)  $U_z^* > U_z > U_{z0}$

$$e_s > e > e_i$$

3)  $U_z < U_{z0}$

$$e_s > e_i > e$$

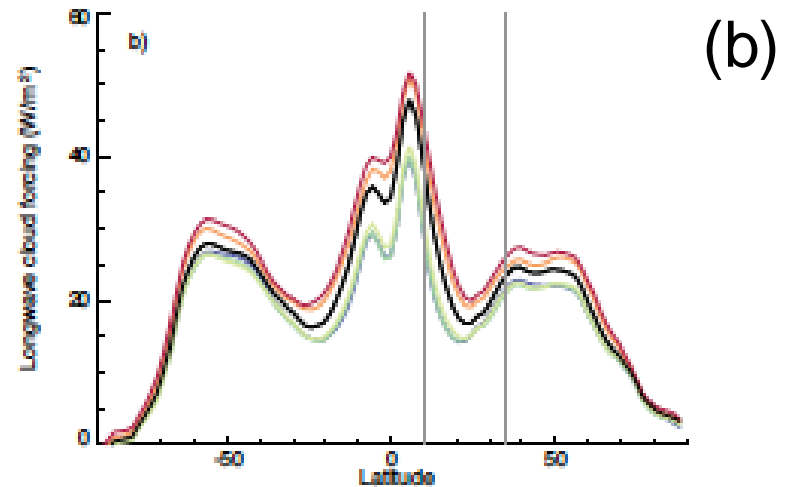
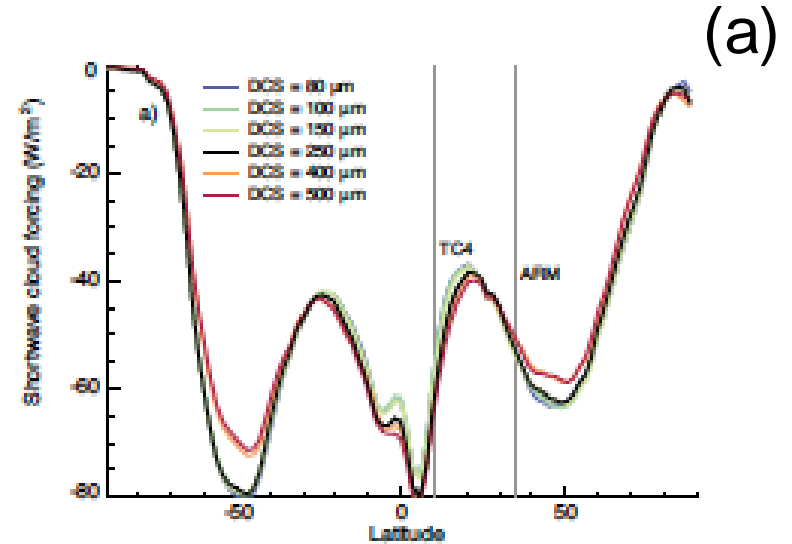


$$U_z^* = \frac{e_s - e_i}{e_i} \eta N_i \bar{r}_i$$

$$U_{z0} = \frac{e_i - e_s}{e_s} N_w \bar{r}_w \chi$$

# Autoconversion of cloud ice to snow

- **Dcs**, prescribed separating size that distinguishes cloud ice and snow, is one of the most effective knobs in the tuning of CAM5



Zonal averaged (a) shortwave and (b) longwave cloud forcing with varying Dcs

# Upgrade CAM5 ice microphysics to make it self-consistent

(Morrison, Mitchell, Eidhammer)

- ▶ Combine cloud ice/snow into a single ice category
- ▶ Use observed mass-diameter and area-diameter relationships from observations and apply self-consistently for all processes and parameters for all particle sizes

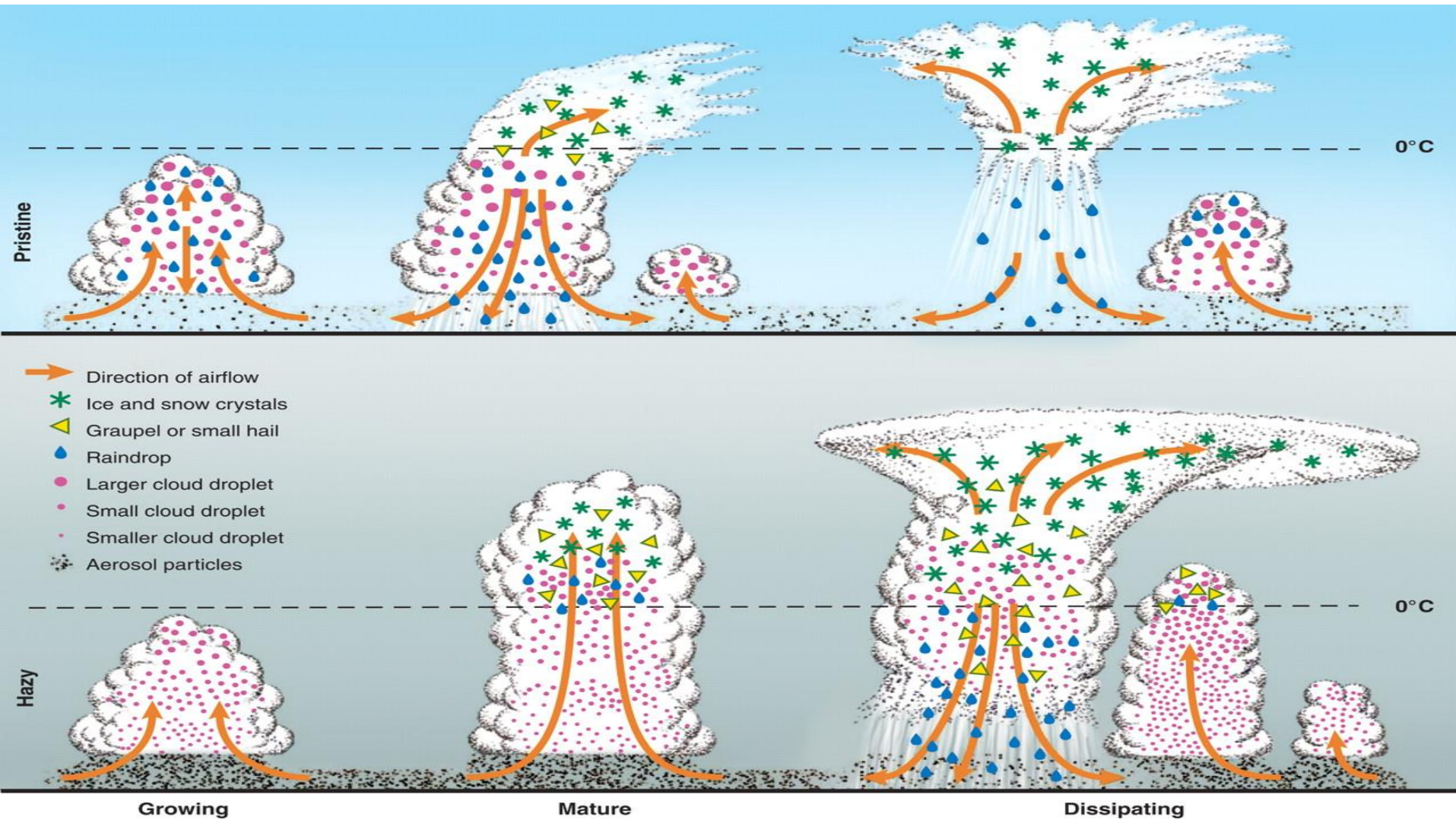
# Convective Cloud Microphysics

- ▶ Simple microphysics in convective clouds  
droplet conversion to rain (c0)
- ▶ Convective detrainment
  - Deep convection  
detrainment sizes: 8  $\mu\text{m}$  (cloud droplet);  
25  $\mu\text{m}$  (cloud ice)
  - Shallow convection  
detrainment sizes: 10  $\mu\text{m}$  (cloud droplet);  
50  $\mu\text{m}$  (cloud ice)
- ▶ Two-moment convective cloud microphysics  
Song and Zhang (2011), Song et al. (2012)

# Some remaining issues in GCMs

- ▶ Ice nucleation
  - link to aerosol & environment,
  - prognostic IN, scavenging of IN
- ▶ Subgrid processes (e.g., WBF)
- ▶ Convective cloud microphysics & aerosol scavenging by convective precipitation

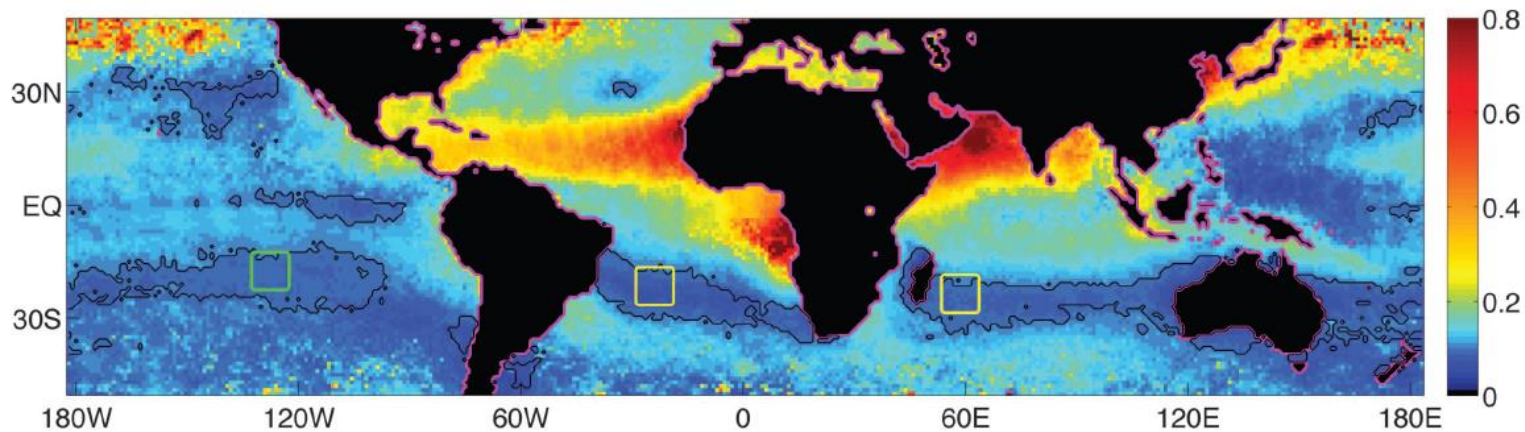
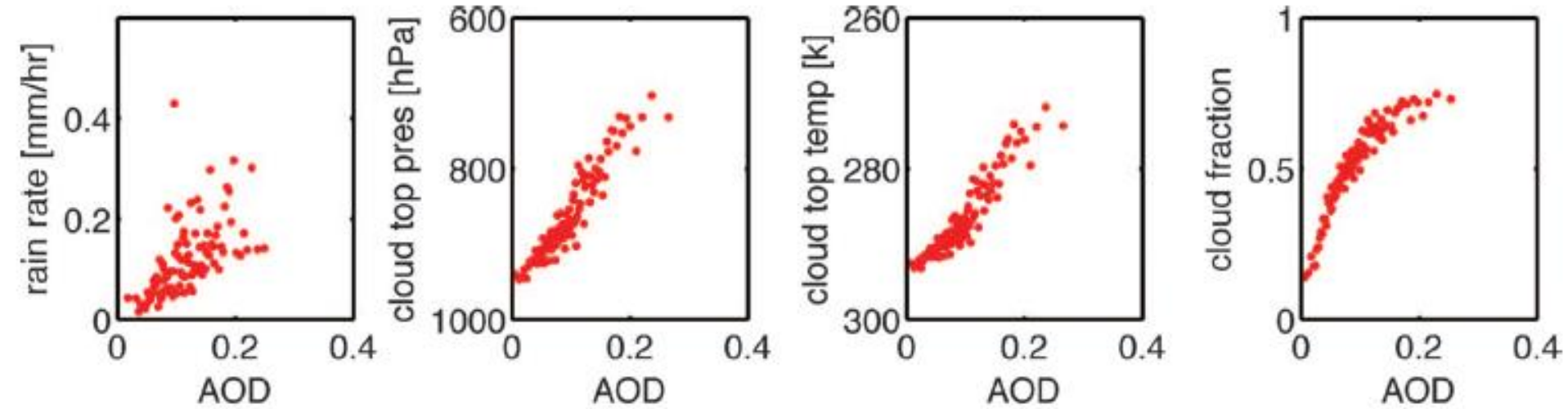
# Aerosol invigoration effects on precipitation





# Aerosol invigoration effects on warm convective clouds

Koren et al. (2014) Science



# Summary

- ▶ Strong observational evidences of aerosol effects on clouds
- ▶ GCMs have started to implement the two-way aerosol-cloud interactions
  - Important for aerosol lifecycle: wet scavenging of aerosols
  - Aerosol indirect forcing: major uncertainty in total climate forcing, critical for climate sensitivity based on 20th Century temperature change, and for future climate projection

# Summary

- ▶ Grand challenges of representing aerosol-cloud interactions in GCMs:
  - ❑ Multi-scale interactions: starting from micro- to global scale
  - ❑ Subgrid processes in GCMs: cloud microphysics (e.g., droplet and ice nucleation), turbulence (updrafts), dynamics (entrainment, convection)
  - ❑ Lack fundamental understanding of ice microphysics (e.g., ice nucleation)