



# Comparison of Airborne HSRL and ECMWF Aerosol Profiles

Richard Ferrare<sup>1</sup>, Sharon Burton<sup>1</sup>,  
Angela Benedetti<sup>2</sup>, Chris Hostetler<sup>1</sup>, John Hair<sup>1</sup>,  
Ray Rogers<sup>1</sup>, Mike Obland<sup>1</sup>, Jean-Jacques Morcrette<sup>2</sup>,  
Detlef Müller<sup>3</sup>, Eduard Chemyakin<sup>4</sup>

<sup>1</sup>NASA Langley Research Center, Hampton, VA USA  
([richard.a.ferrare@nasa.gov](mailto:richard.a.ferrare@nasa.gov)):

<sup>2</sup>ECMWF, Shinfield Park, Reading, Berkshire UK

<sup>3</sup>SSAI/NASA/LaRC, Hampton, Virginia USA

<sup>4</sup>ORAU/NASA/LaRC, Hampton, Virginia USA



# Motivation and Objectives

# Motivation and Objectives



## Motivation:

- Global forecasting centers (e.g. ECMWF, NASA, NRL, NOAA, JMA) are increasingly using lidar data to constrain aerosol vertical distributions
- Aerosol model verification using lidar data is also of great interest
- Techniques for verification are under development - model developers require help and seek new "unconventional" data for these activities (e.g. Benedetti et al., 2011, BAMS)

## Objectives:

- Examine and evaluate ECMWF/MACC aerosol model products using aerosol profiles acquired by the NASA Langley Research Center (LaRC) airborne High Spectral Resolution Lidar (HSRL)
  - Aerosol optical thickness (AOT)
  - Aerosol extinction profiles
  - Planetary Boundary Layer (PBL)
  - Anthropogenic/natural component fractions



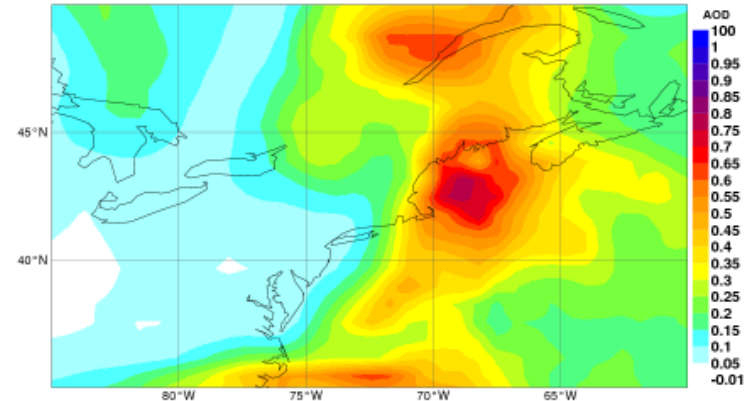
# ECMWF/MACC Aerosol Model

# ECMWF/MACC Aerosol Model



- Daily 5-day forecasts of aerosol fields
- Components
  - 3 bins of sea salt (0.03 - 0.5 - 5 - 20  $\mu\text{m}$ )
  - 3 bins of dust (0.03 - 0.55 - 0.9 - 20  $\mu\text{m}$ )
  - Black carbon (hydrophilic and -phobic)
  - Organic carbon (hydrophilic and -phobic)
  - $\text{SO}_2 \rightarrow \text{SO}_4$
- Horizontal resolution  $\sim 0.8$  deg, 60 vertical levels
- 4D-var aerosol assimilation - MODIS AOT at 550 nm
- Natural and anthropogenic components provided
- Diagnostic PBL height based on bulk Richardson number
- Verified routinely using AERONET AOT
- Airborne HSRL data can provide evaluation of vertical profiles of aerosol extinction, type, and PBL height

MACC forecast from Tuesday 26 June 2012 00Z valid at T+006: Tuesday 26 June 2012 06Z  
Total AOD at 550nm





# Airborne HSRL System



## HSRL Technique:

- Relies on spectral separation of aerosol and molecular backscatter in lidar receiver
- Independently measures aerosol backscatter, extinction, and optical thickness
- Internally calibrated
- Provides **intensive** aerosol parameters to help determine aerosol type

For a description of system and technique, see Hair et al., *Applied Optics*, 2008



## HSRL Aerosol Data Products:

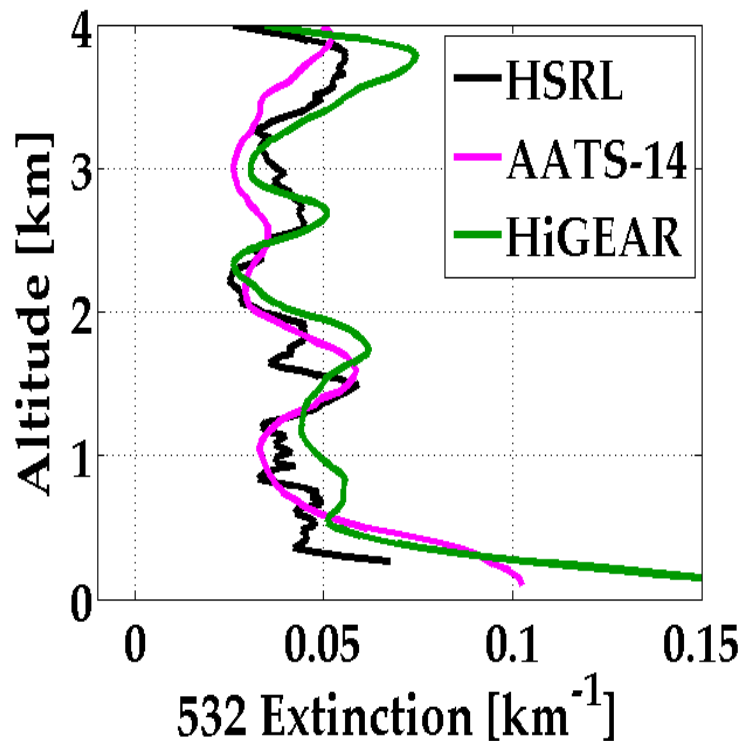
- Scattering ratio (532 nm)
  - Backscatter coefficient (532, 1064 nm)
  - Extinction Coefficient (532 nm)
  - Optical depth (532 nm)
  - **Backscatter Wavelength Dependence (532/1064 nm)**
  - **Extinction/Backscatter Ratio ("lidar ratio") (532 nm)**
  - **Depolarization (532, 1064 nm)**
  - Mixed Layer Height from aerosol backscatter gradients; HSRL ML heights are a good proxy for PBL height during the daytime
- 
- Deployed on NASA/LaRC King Air
  - Flight altitude ~ 9 km
  - Nadir pointing lidar

# Validation of HSRL Measurements of Aerosol Extinction and Optical Depth

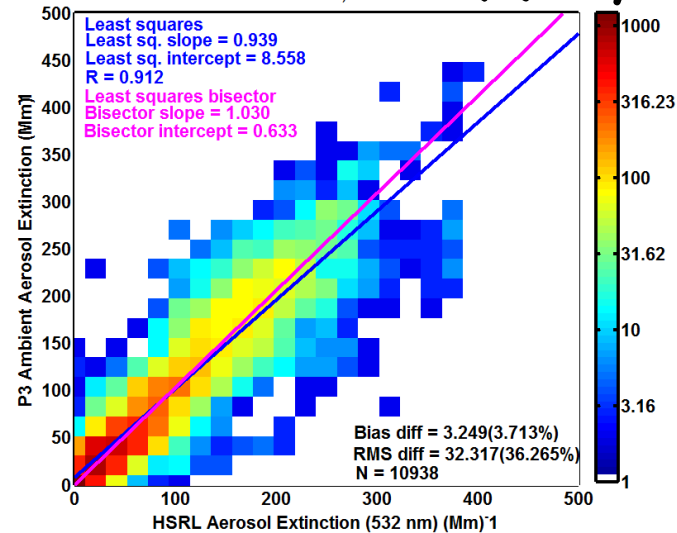


- Aerosol extinction and optical depth compared to airborne in situ and remote sensing instruments
- Validation - aerosol extinction
  - bias differences  $\leq 3 \text{ Mm}^{-1}$
  - rms differences  $\leq 15 \text{ Mm}^{-1}$

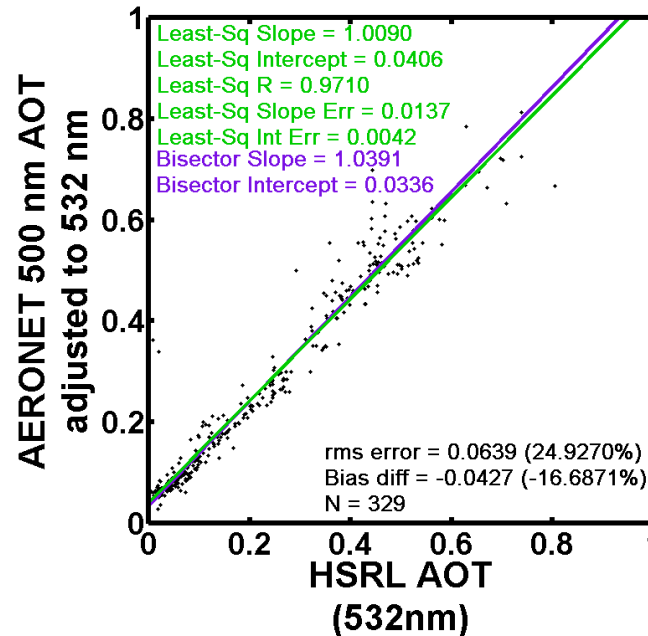
Rogers et al., (2009)- MILAGRO



## DISCOVER-AQ (July 2011)



Aerosol Extinction



AOT

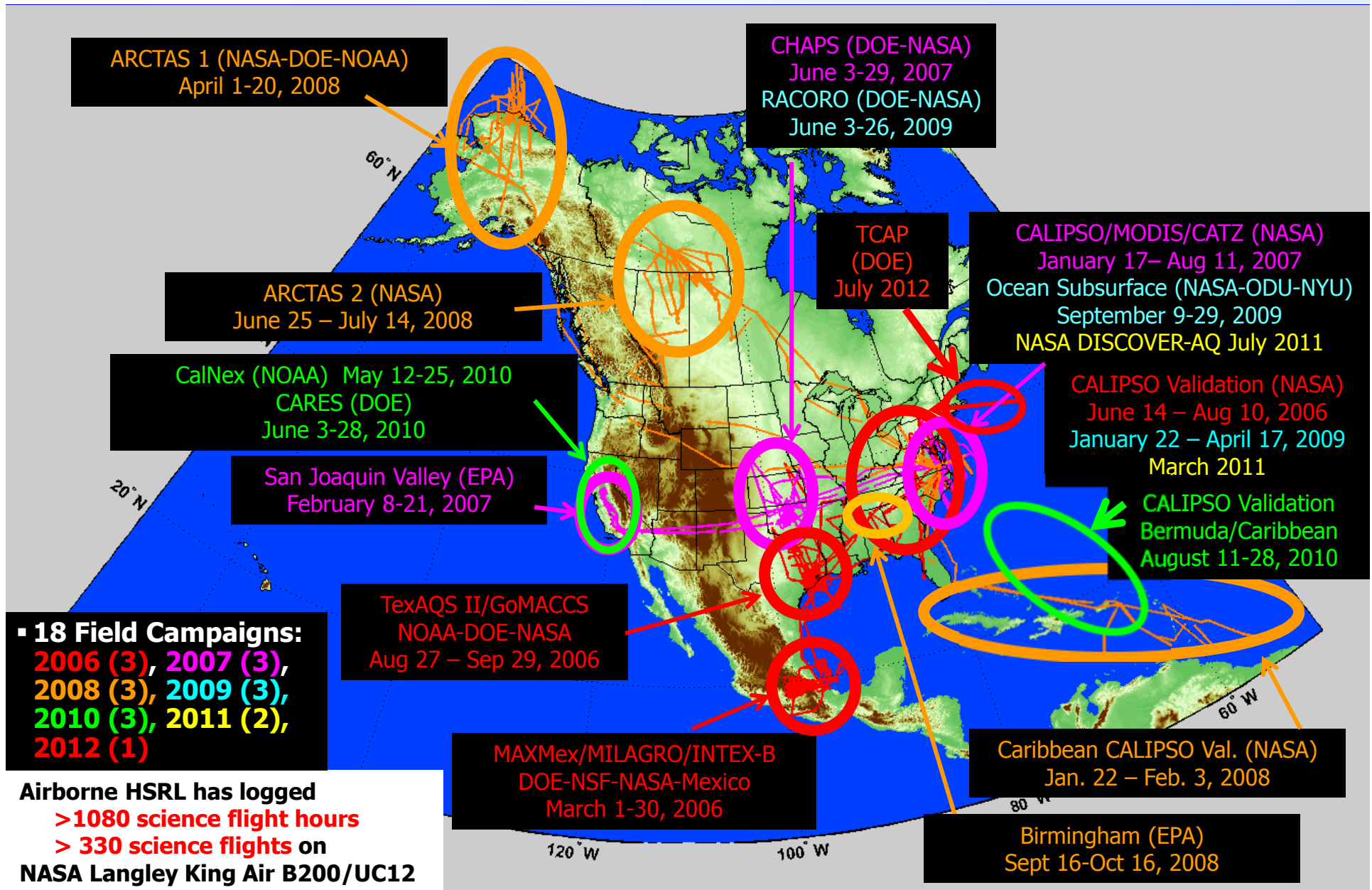




---

# Airborne HSRL Field Missions

# King Air B200 Field Campaigns with Langley High Spectral Resolution Lidar (HSRL)





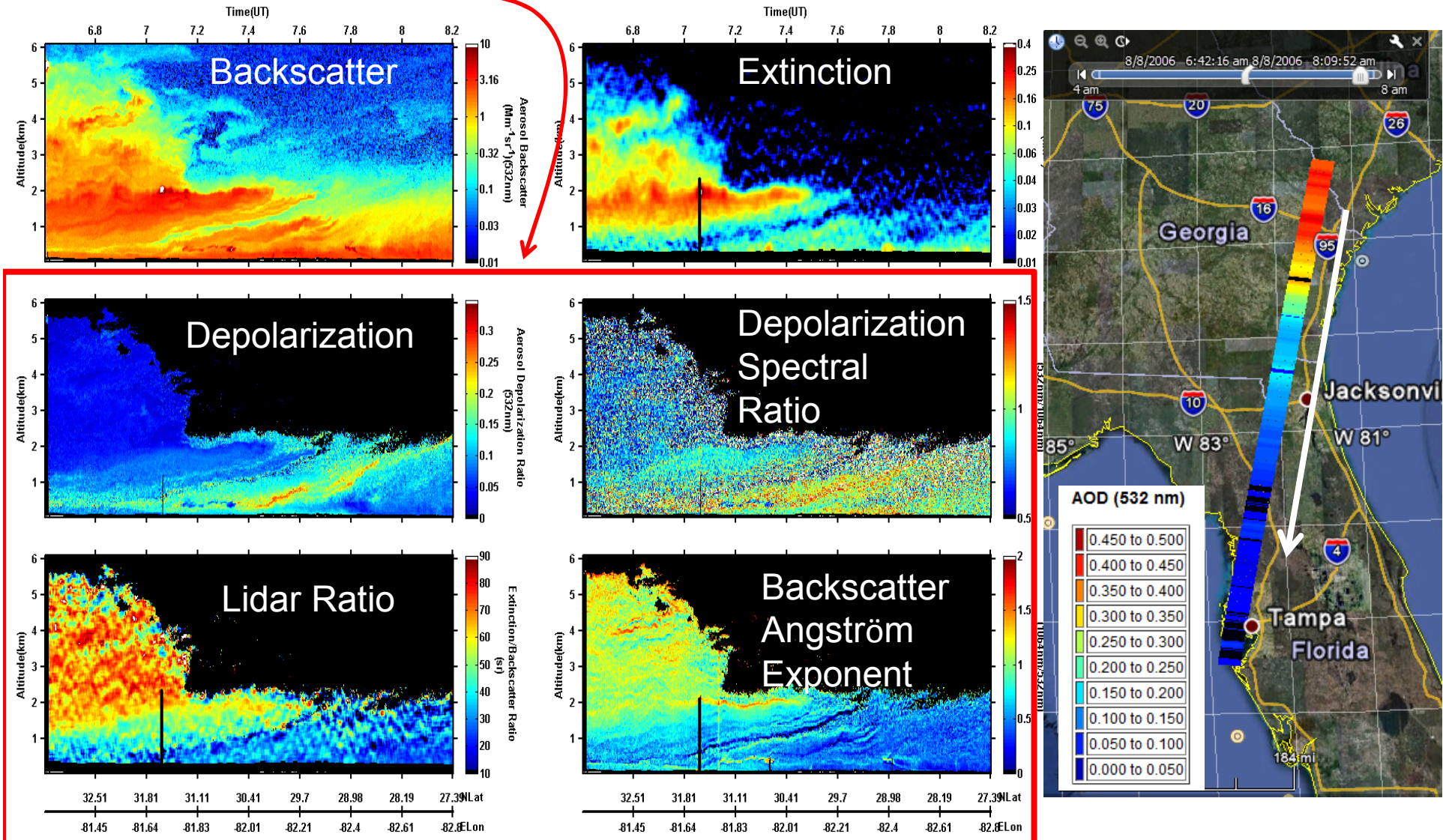
---

# Airborne HSRL Measurements

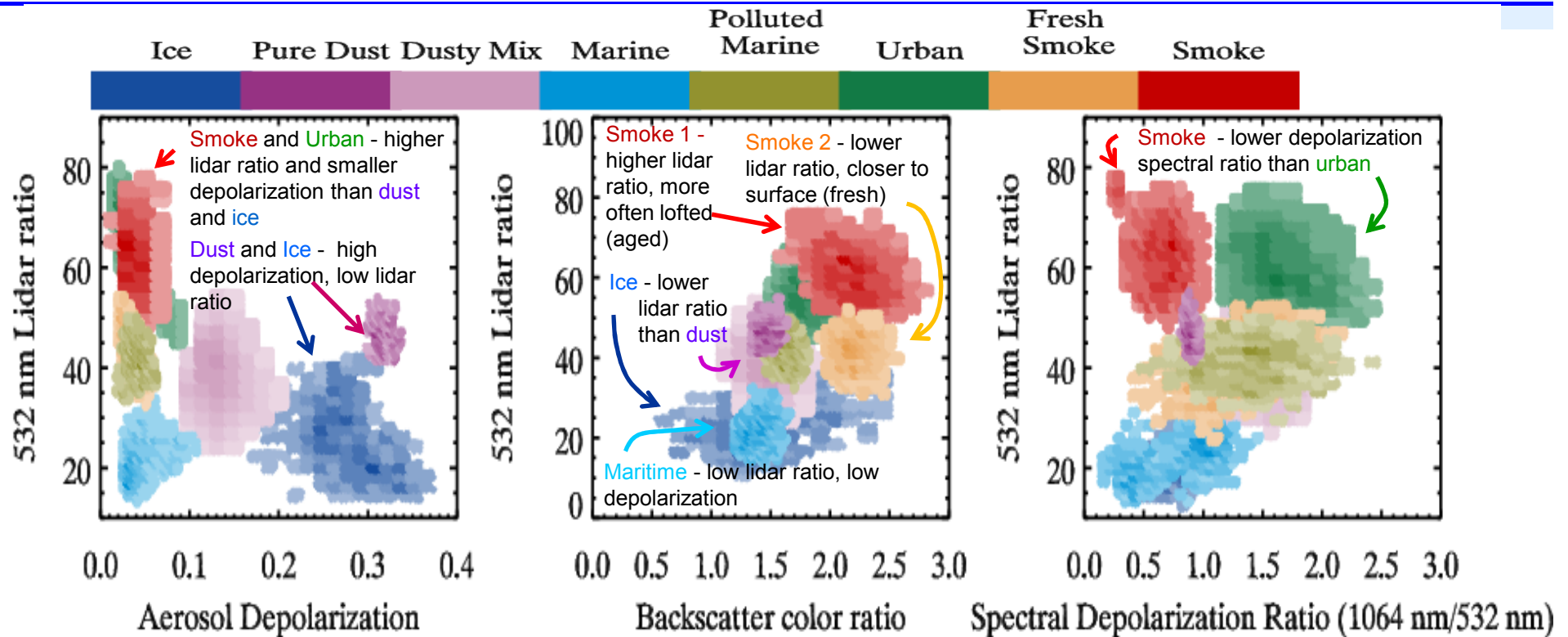
# Airborne HSRL Aerosol Data Products



Note the variability in aerosol intensive parameters

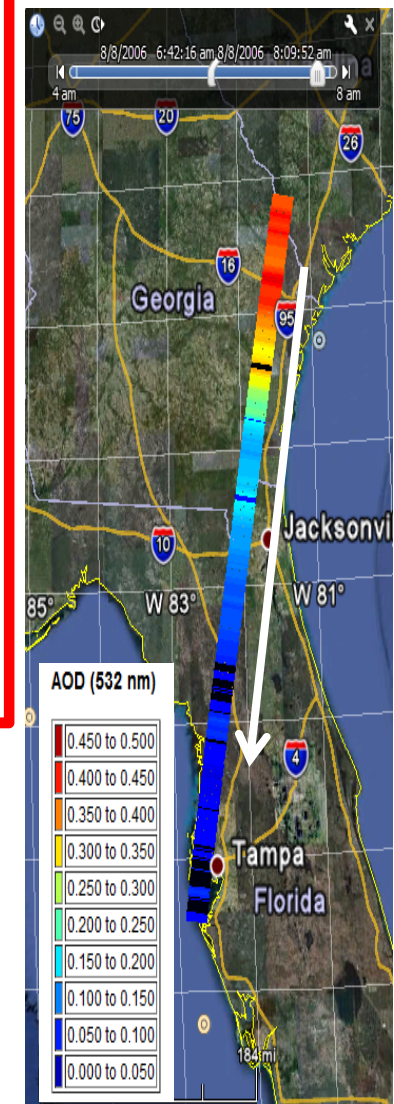
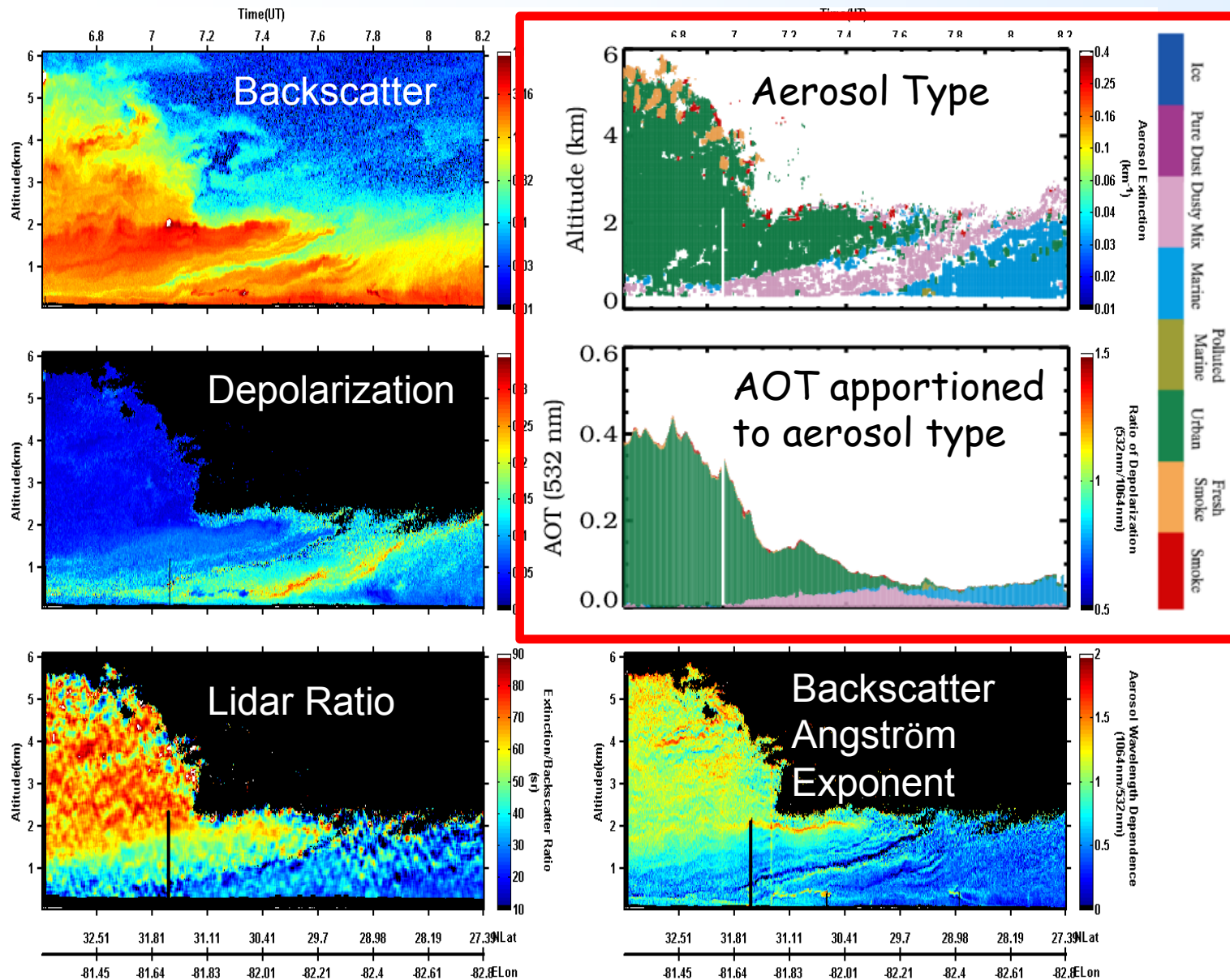


# Aerosol Classification Using HSRL Measurements



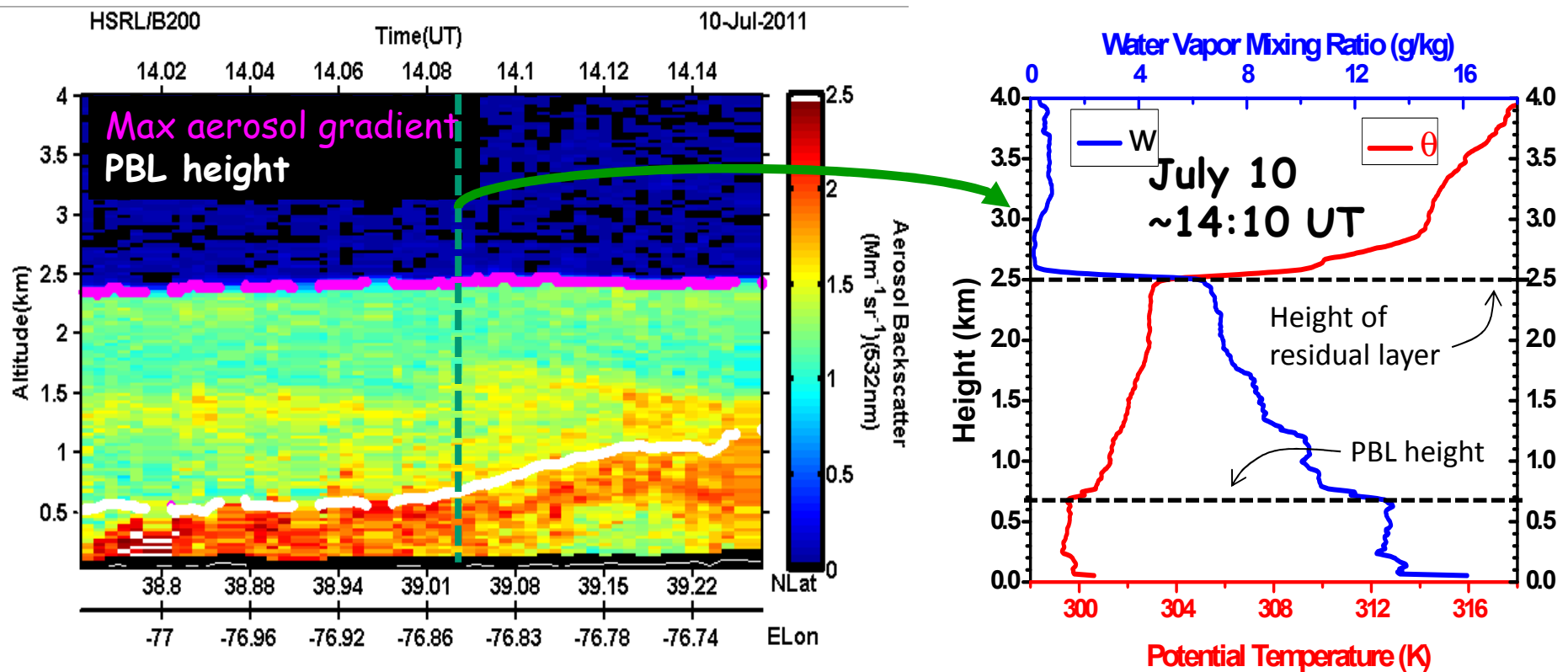
- Uses four aerosol intensive parameters to classify aerosols
- Employs a training set of known types
- Estimates the 4-D normal distributions of classes from labeled data
- Computes Mahalanobis distance to compute probability of each point belonging to each class
- HSRL data acquired from 2006-2012 are classified
- Technique described by Burton et al. (2012) (AMT)

# Aerosol Classification using HSRL Measurements



# HSRL data used to find height of Mixed Layer (~ PBL height)

- Mixed Layer (ML) heights derived from HSRL aerosol backscatter profiles
- Haar wavelet covariance transform identifies aerosol gradients at the top of the ML
- “Best-Estimate” HSRL ML heights combine results from automated algorithm and manual inspection of HSRL backscatter profiles
- Height of maximum aerosol gradient was also identified to provide an alternative height to describe the depth of the aerosol layer
- These heights often correspond to gradients in potential temperature and water vapor
- During daytime, ML height is normally good proxy for PBL height





---

# HSRL - ECMWF Comparisons

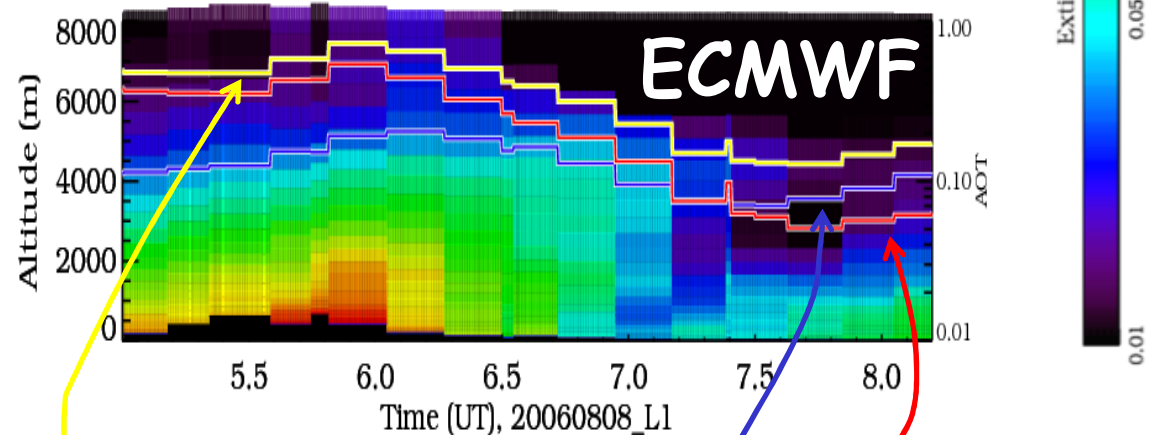
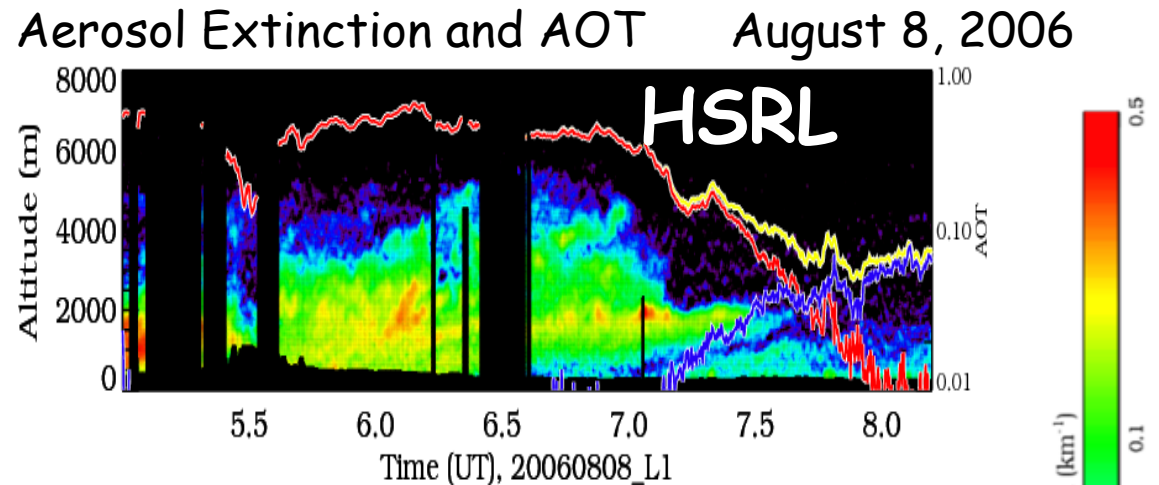


# HSRL and ECMWF Model Comparison Methodology

ECMWF model results and HSRL measurements were compared along the King Air flight tracks for 17 field missions conducted over North America since 2006

Comparisons include:

- AOT in the 0-7 km column
- Aerosol extinction profiles
- Fraction of AOT and extinction due to natural (ice, pure dust, marine) and anthropogenic (polluted marine, urban, smoke, fresh smoke) aerosols
- PBL height (mixed layer height from HSRL used as proxy for PBL height)
- Fraction of AOT within the PBL



Total (yellow), natural (blue), anthropogenic (red)  
AOT values are shown

# HSRL and ECMWF Model Comparison Methodology

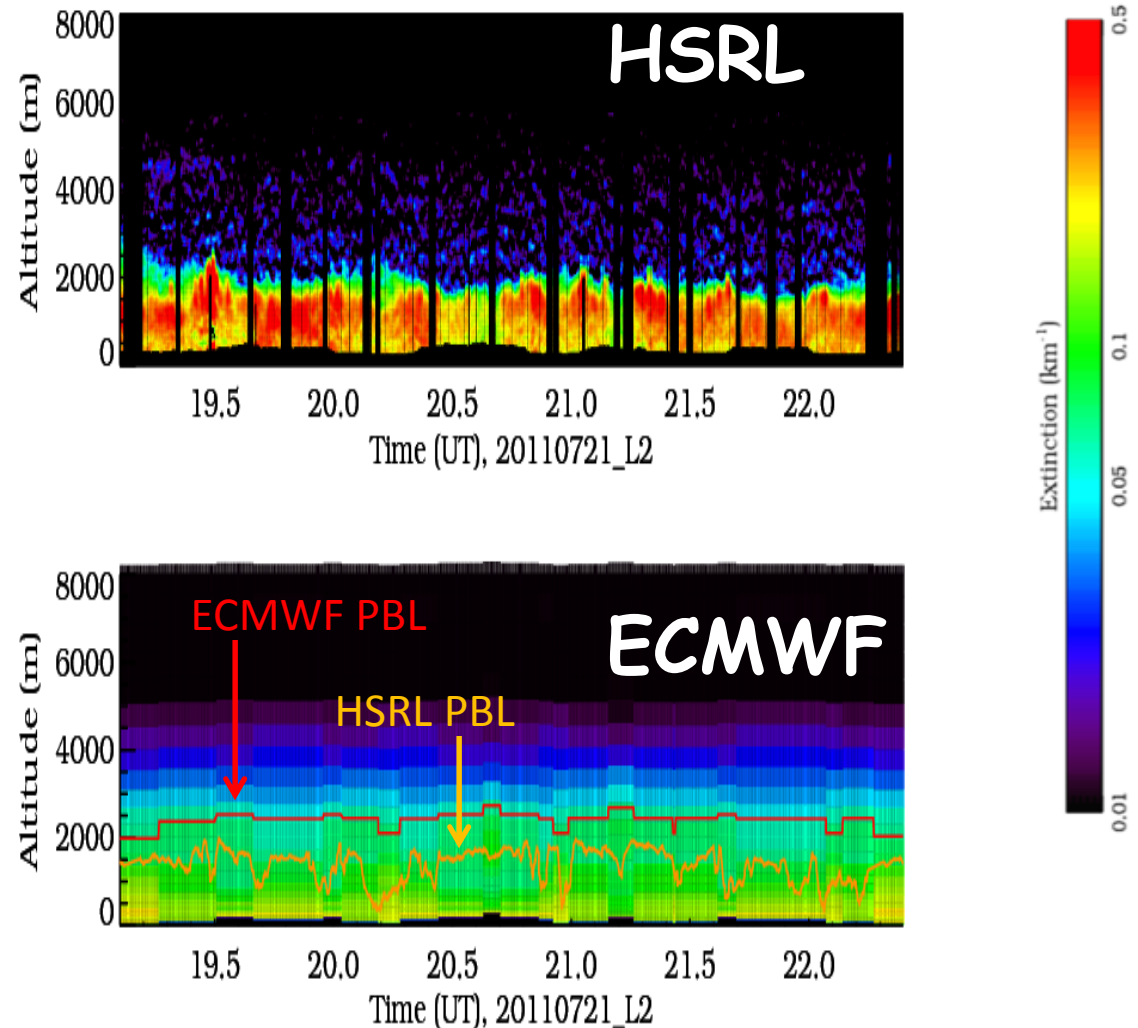
ECMWF model results and HSRL measurements were compared along the King Air flight tracks for 17 field missions conducted over North America since 2006

Comparisons include:

- AOT in the 0-7 km column
- **Aerosol extinction profiles**
- Fraction of AOT and extinction due to natural (ice, pure dust, marine) and anthropogenic (polluted marine, urban, smoke, fresh smoke) aerosols
- **PBL height (mixed layer height from HSRL used as proxy for PBL height)**
- Fraction of AOT within the PBL

Aerosol Extinction and PBL height

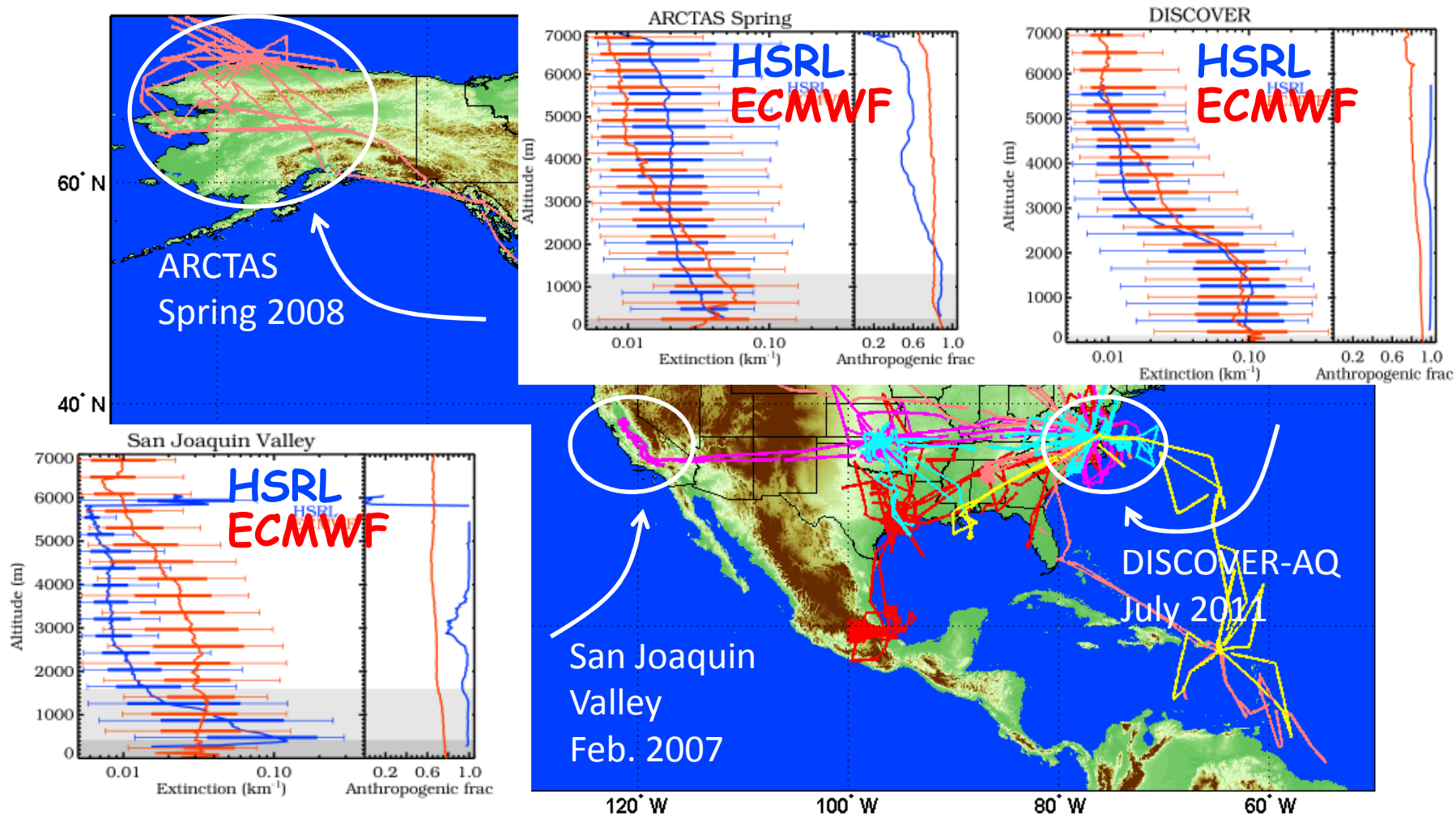
July 21, 2011



# Aerosol Extinction Profile Comparison



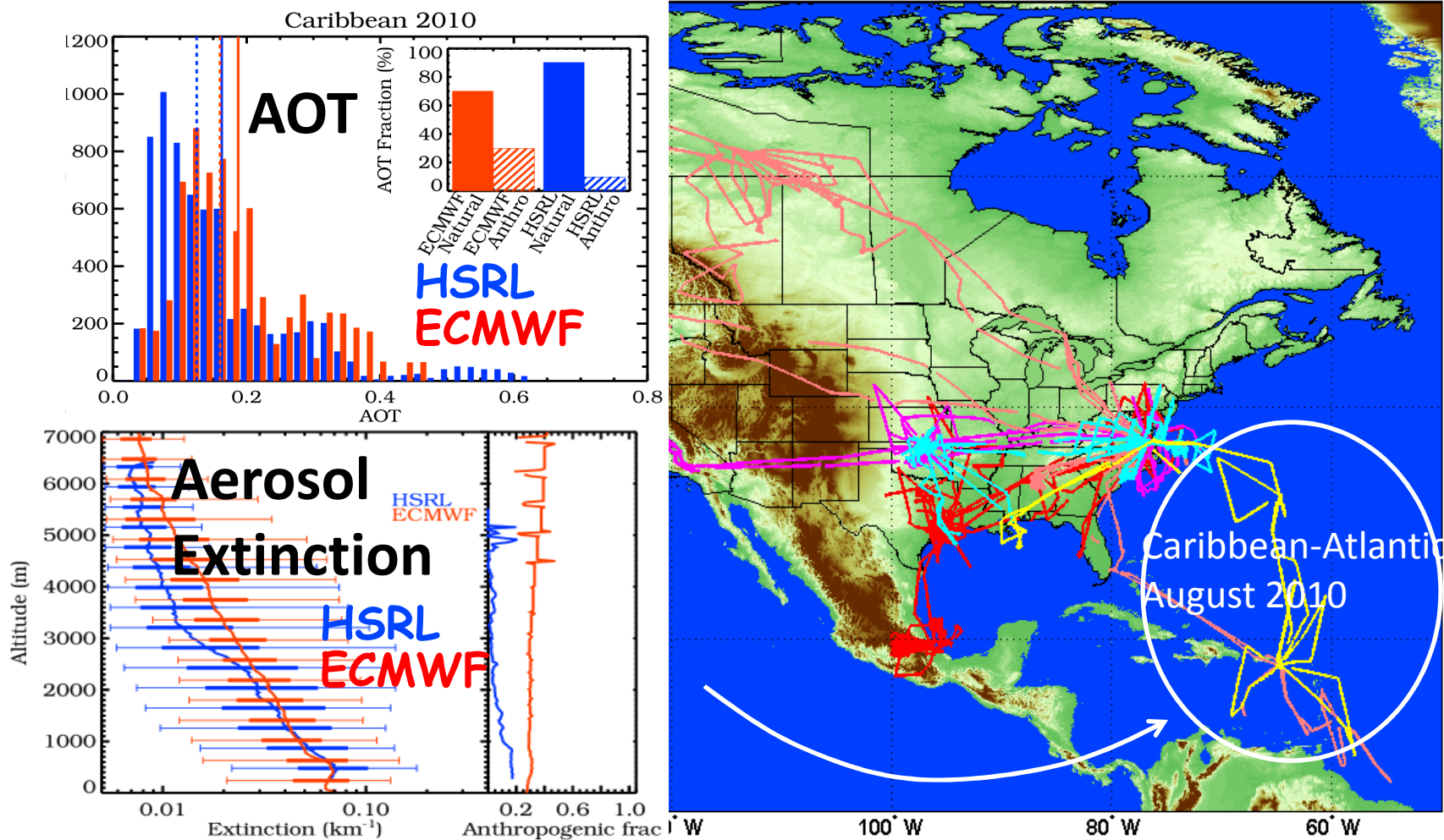
- Considerable variability in aerosol extinction profile comparisons
- Best agreement found in the PBL
- ECMWF often has higher extinction in free troposphere, especially over the western USA



# Saharan Dust over the Caribbean and Atlantic Ocean



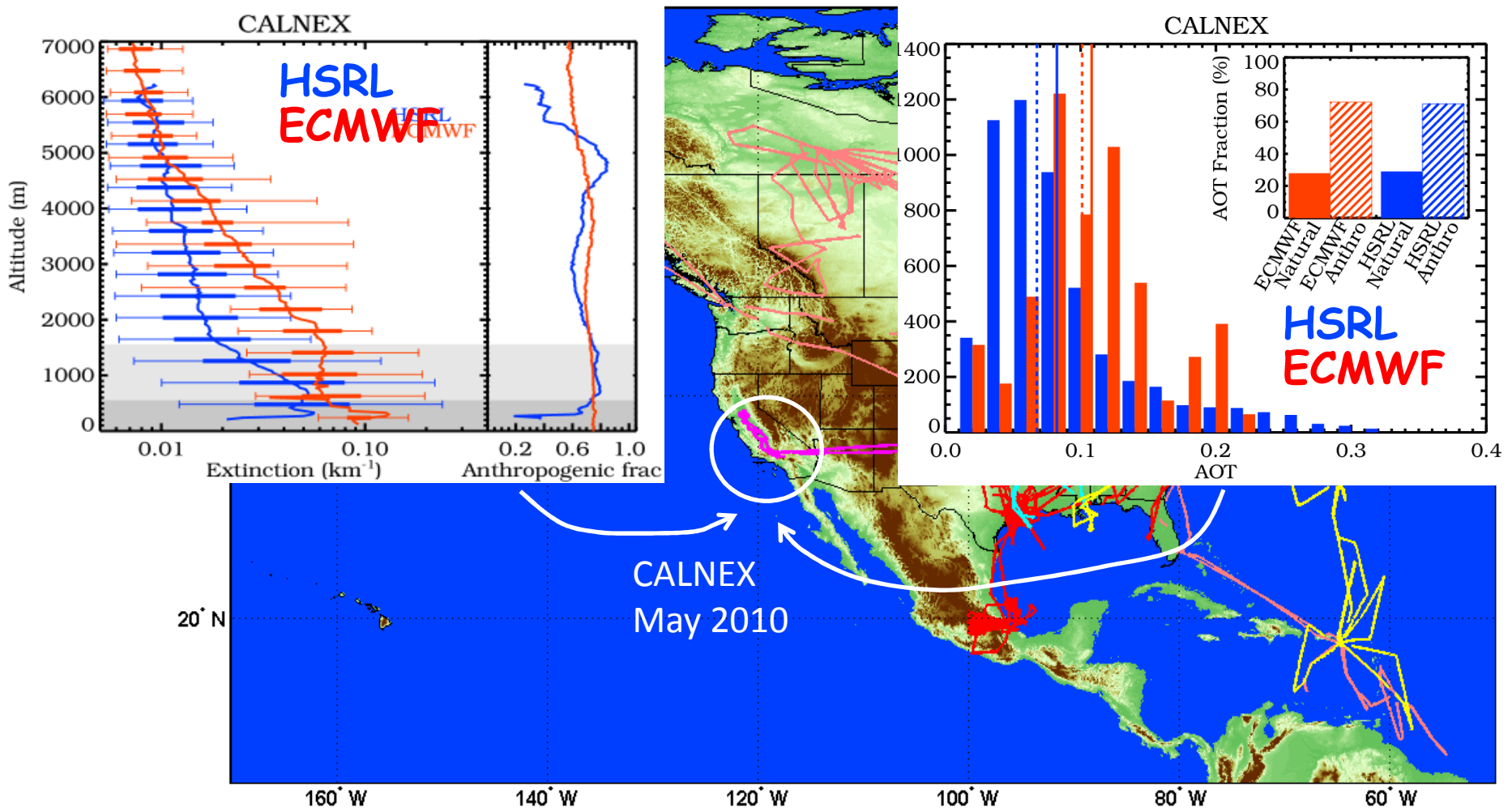
- Good agreement in AOT and aerosol extinction profiles associated with Saharan dust
- Good agreement in the large fraction of natural aerosols



# Larger differences over Los Angeles and Western USA



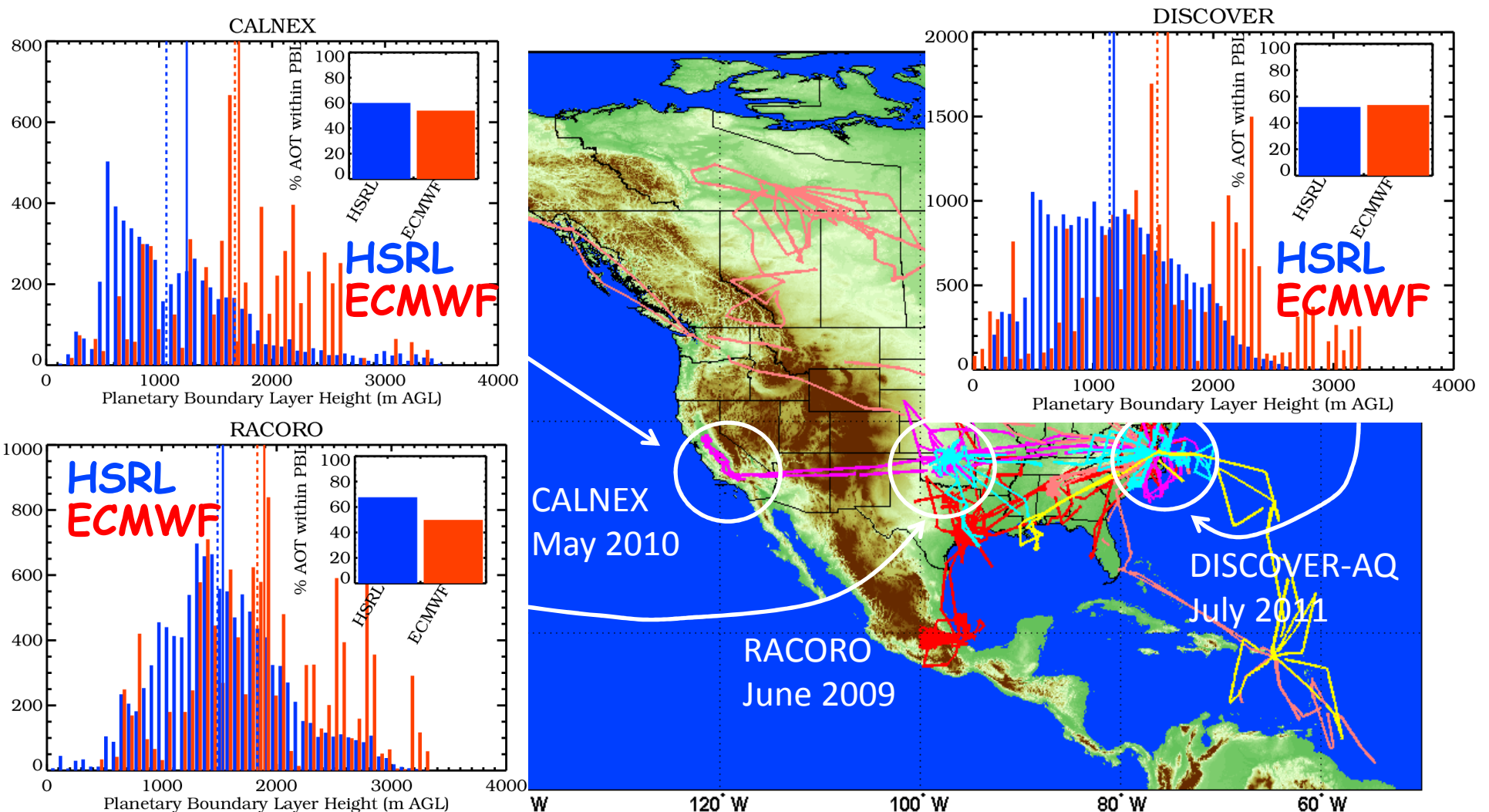
- Larger differences in AOT and aerosol extinction over Los Angeles and California likely associated with: small scale variability, accuracy and availability of assimilated MODIS AOT, local emission sources not well resolved, lack of nitrates in model





# PBL Height Comparisons

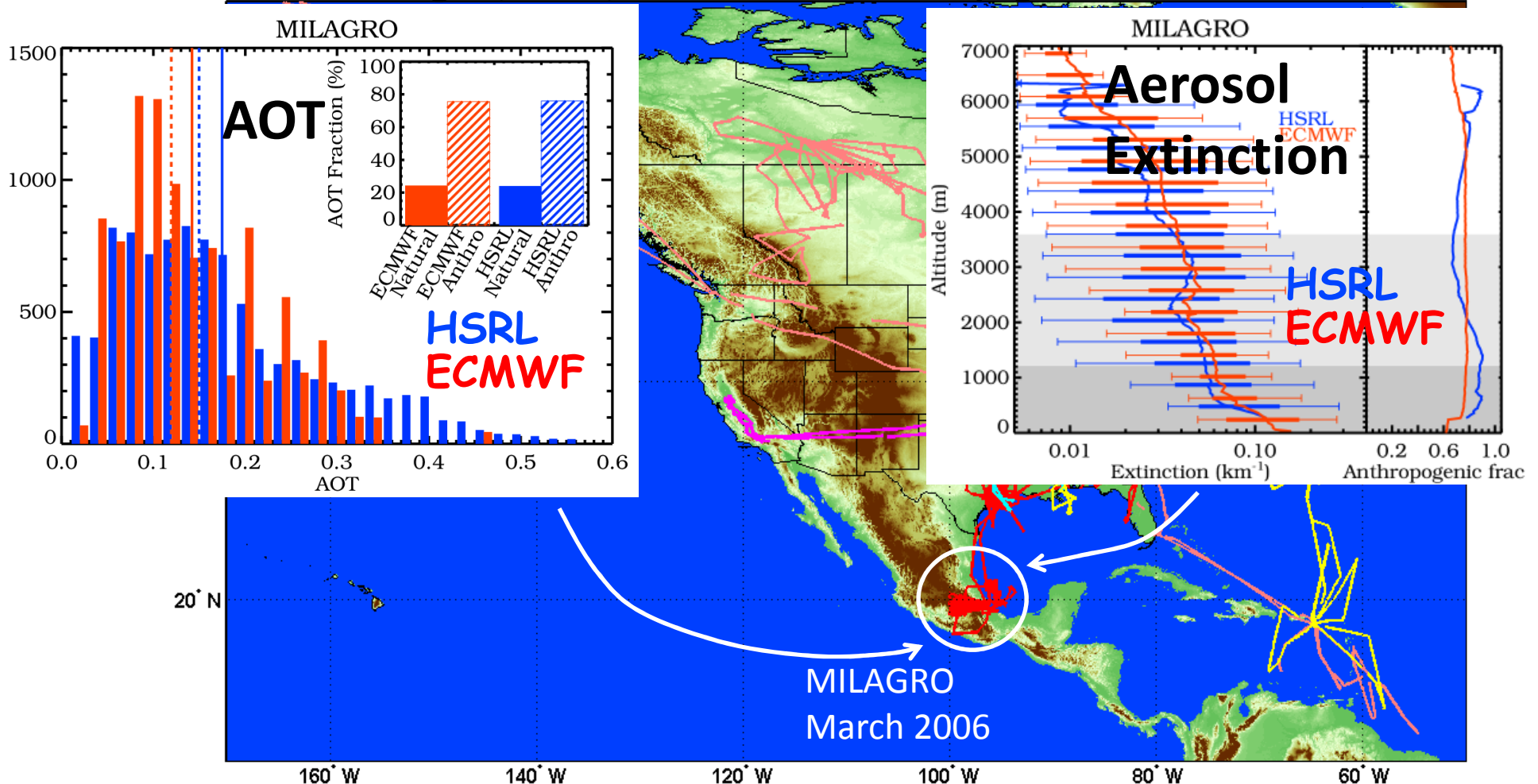
- Overall, ECMWF PBL heights are generally about 100-200 m higher than HSRL ML heights
- Fraction of AOT within the PBL is about the same



# Natural vs. Anthropogenic Aerosols



- Overall, very good agreement in fractions of AOT and aerosol extinction contributed by natural and anthropogenic aerosols
- HSRL anthropogenic fraction is about 5-10% higher than ECMWF
- Most missions saw high (>75%) fraction of anthropogenic aerosols





---

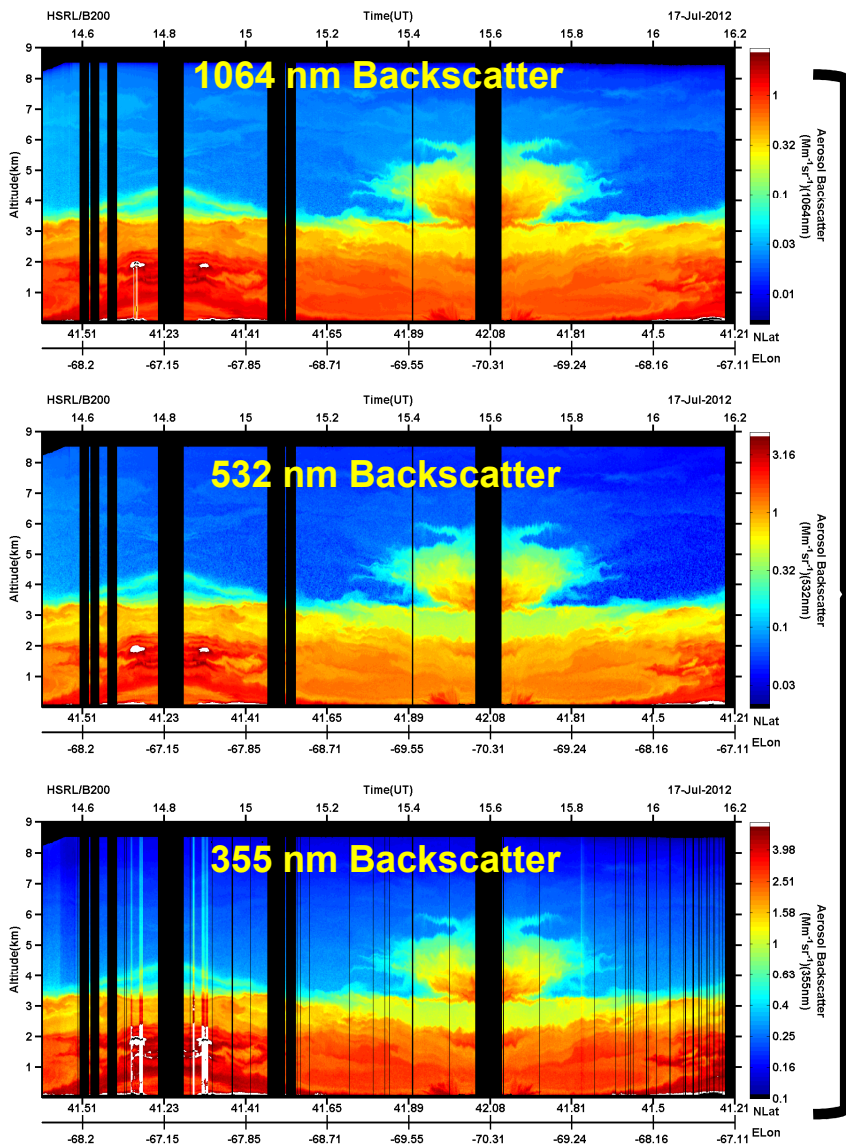
# New Advanced Airborne HSRL-2 Measurements



# LaRC Airborne HSRL-2: World's First Airborne Multi-wavelength HSRL



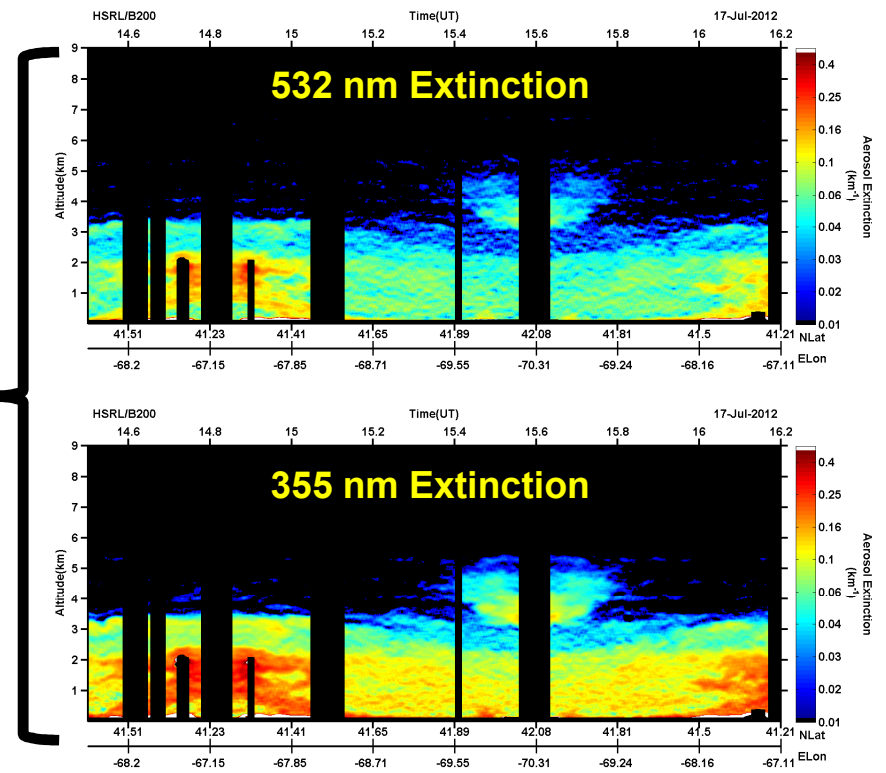
07/17/2012 TCAP flight on B200 aircraft



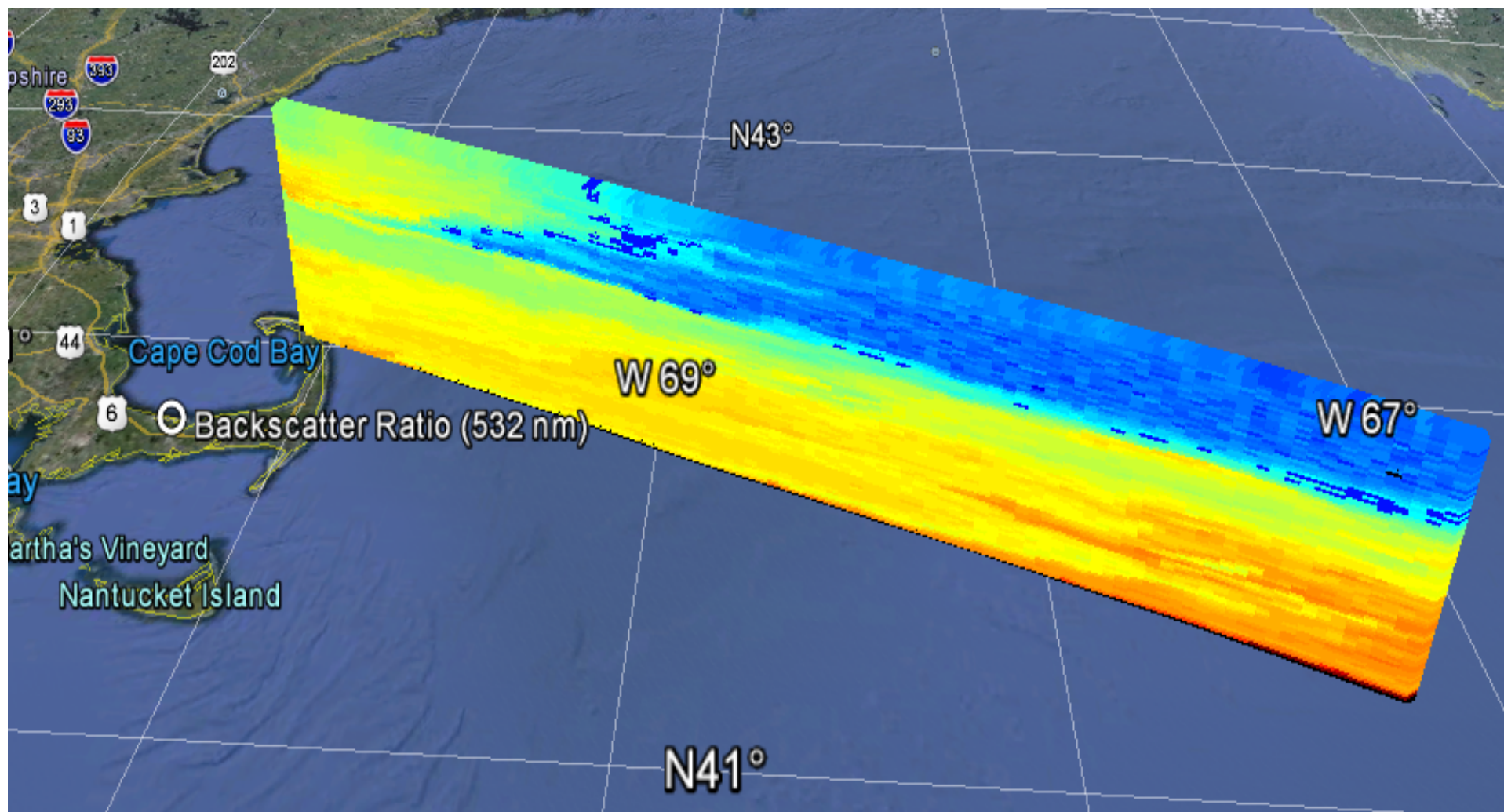
- High Spectral Resolution Lidar (HSRL) provides independent retrievals of aerosol extinction and backscatter
- HSRL-2 Capabilities
  - Backscatter at 355, 532, and 1064 nm
  - Extinction at 355 and 532 nm (HSRL)
  - Depolarization at 355, 532, 1064 nm

$3\beta$

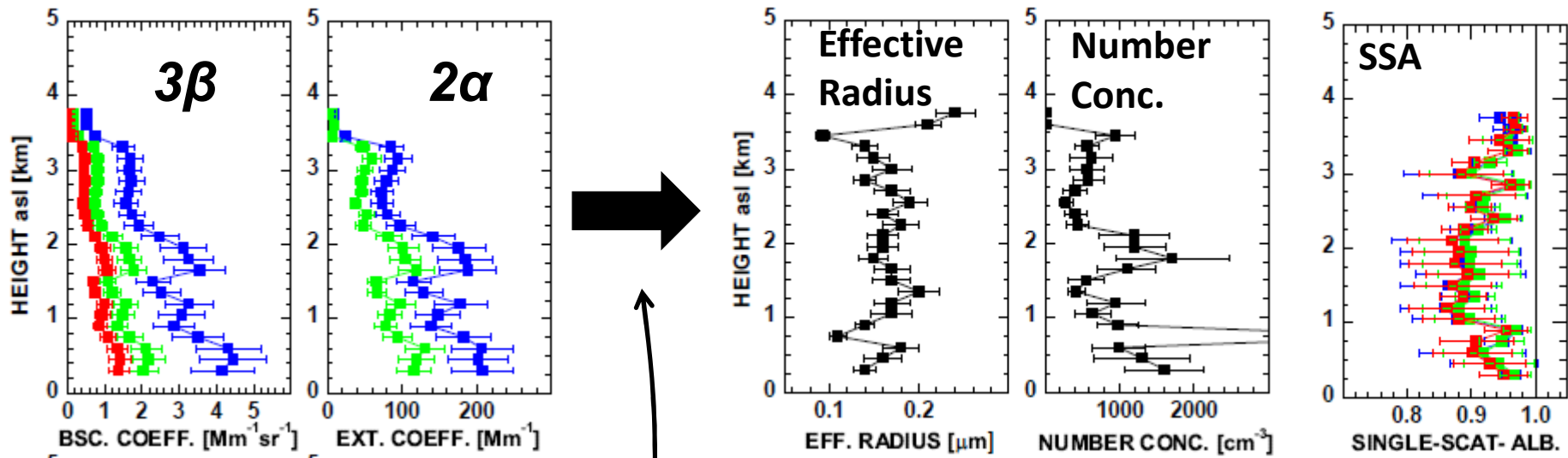
$2\alpha$



# HSRL-2 Measurements of 532 nm ASR 17 July 2012



# Preliminary Multiwavelength "3 $\beta$ +2 $\alpha$ " retrievals using data from airborne HSRL-2



Aerosol Backscatter:  
355 nm  
532 nm  
1064 nm

Aerosol Extinction:  
355 nm  
532 nm

Also:

- Real and imaginary index of refraction
- Scattering coefficient
- Absorption coefficient
- Surface concentration
- Volume concentration

Multiwavelength lidar retrieval algorithms (Müller et al, 2001, 2002; Veselovskii et al. 2002; Wandinger et al., 2002; etc.)

(Multiwavelength lidar retrievals provide vertically resolved quantitative aerosol information for model evaluation, assimilation, etc.)



# Summary



- Comparisons between airborne HSRL and ECMWF/MACC aerosol model
  - Aerosol extinction and AOT
    - Considerable variability
    - ECMWF model often has higher extinction in free troposphere
    - Better agreement in eastern USA; larger differences over the west
  - Anthropogenic vs. Natural
    - Generally good agreement
    - ECMWF aerosol model anthropogenic about 5-10% lower than HSRL
    - Fairly good agreement in representing Saharan dust over Caribbean
  - PBL height
    - ECMWF PBL generally about 100-200 m higher than HSRL ML
- Advanced HSRL-2 multiwavelength aerosol retrievals can help evaluate model aerosol optical and microphysical parameters